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Two Competing Concepts of Residential Integration

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Two Competing Concepts of Residential Integration

Tim Iglesias

Introduction

A surge of contemporary social science research demonstrates the centrality of housing to one's quality of life and access to critical social goods. For example, an online resource called "How Housing Matters" sponsored by the Urban Institute and the MacArthur Foundation posts research and practical information demonstrating the importance of housing in the lives of individuals, families and communities in terms of income, health, education and more. (How Housing Matters, 2016). So, while "social equity" is a contested concept, evoking multiple definitions, housing is central to social equity in any definition.

There are seven distinct housing problems: lack of supply, poor physical condition, unaffordability, discrimination, problematic location, vulnerable tenure and cultural inadequacy. (Iglesias, forthcoming). Residential segregation, a particular locational problem, is the focus of this chapter.

Residential segregation causes a wide variety of negative impacts on people living in segregated neighborhoods. In response, U.S. national housing policy has embraced racial integration as a goal since at least 1968 with the passage of the federal Fair Housing Act (FHA) in the wake of the assassination of Dr. Martin Luther King, Jr. (Schwemm, 2016). Senator Mondale, one of the cosponsors of the bill that became the FHA explained in

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or income live clustered together and separated from other groups. (Massey & Denton, 1993). (In this chapter, when I dis- cuss "race," I am considering it as a social construction. (Haney- Lopez, 1994).) Such patterns have existed in many metropolitan areas for many decades. In 1968, the National Advisory Com- mission on Civil Disorders, commissioned by President Johnson in response to widespread race riots in American cities, issued its final report (popularly referred to as "The Kerner Report").	his comments supporting the bill that it was intended to pro- hibit housing discrimination and to promote "truly integrated and balanced living patterns." (Mondale, 2016). A 2014 U.S. Supreme Court decision named <i>Texas Department of Housing</i> <i>and Community Affairs et al. v. Inclusive Communities Project,</i> <i>Inc. et al.</i> , (ICP), reaffirmed the national commitment to residen- tial integration (U.S. Supreme Court, 2014). There are many obstacles toward achieving residential inte- gration, including continued discrimination and so-called "not- in-my-back-yard" resistance to the siting of affordable housing in privileged eities and neighborhoods. However, a critical but over- looked obstacle is even more fundamental. While there are many concepts of integration in academic literature, there are only two popular concepts of residential integration; they conflict, and so which definition is used has important practical consequences. This chapter contends that the progressive community needs to have frank conversations about which conception of residential integration it wants to work towards. This clarity of purpose is necessary both to select, design and implement policies that will be effective in attaining the desired goal and to engage in the inevitable public and political debates, about integration and whether and how to pursue it. After briefly summarizing the problem of residential segre- gation in the U.S., this chapter will explore the two conflicting popular conceptions of residential integration and then explain the practical consequences of these different conceptions for pol- icies intended to address residential segregation. It concludes with a call for a frank conversation about the conceptions of in- tegration by progressive advocates. Overview of the Problem of Residential Segregation Residential segregation is generally defined objectively as res-	Two Competing Concepts of Residential Integration
The Coming Debate about "Forced Integration" Residential integration advocates are encouraged and motived by recent events. Since around 2010, the U.S. Department of Housing and Urban Development (HUD) has more vigorous- ly enforced fair housing law and its integration goal, including by enforcing a long-dormant "duty to affirmatively further fair housing" (which requires cities receiving federal funding to not only avoid discriminating but also to take affirmative steps to	The Kerner Report documented the extent of racial residential segregation and famously warned: "Our nation is moving toward two societies, one black, one white—separate and unequal." (U.S. Department of Justice, 1968). The problem of residential segregation has spawned a truly vast scholarly literature. There is little dispute over the array of causes of initial racial segregation, including action by federal, state and local governments as well as organized private action and housing market dynamics, even though researchers dispute the relative contributions from each cause. (Baar, 1992; Farrell, 2002; Harvard Univ, The Civil Rights Project, 2001; Kushner, 1979; Power, 1983; Roisman, 1995; Shulman, Menendian, & Costa, 2014). While rates of racial segregation have declined, many areas are still quite segregated and some are hyper-segregated. (Glaeser & Vigdor, 2001; Frey, 2001). Substantial disagreement exists over how and why residential segregation persists in some communities. (Bell & Parchomovsky, 2000; Bell, 2000; Been, 1996; Rossein, Steil & White, 2016; Schelling, 1978; Seitles, 1998; Shapiro, 2005; Ware, 2002). There is a broad consensus that residential segregation causes a wide variety of negative impacts on people living in segregated neighborhoods, including low quality housing, inadequate public safety, poor education, limited access to jobs, and restricted recreational and shopping opportunities (Carr & Kutty, 2008; Harvard University, The Civil Rights Project, 2001; Iglesias, 2014; Institute on Race and Poverty, 1997; Seitles, 1998). The combination of racial segregation and high poverty in some communities has been the focus of a great deal of attention by the U.S. Department of Housing and Urban Development because the combination intensifies the negative impacts on people living in segregating in those neighborhoods. (Brookings Institution, 2008).	Tim Iglesias

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eliminate housing discrimination in their communities) and in HUD's commitment to adopt a regulation to specify the requirements of the "duty to affirmatively further fair housing." (Allen, 2010; Gurian & Allen, 2010; King, 2013; Schwemm, 2011-2012; Smyth, Allen & Schnaith, 2015). Moreover, the 2014 U.S. Supreme Court's decision in the ICP case affirmed that even a usually conservative Court acknowledged and supported the policy supporting residential integration. "Much progress remains to be made in our Nation's continuing struggle against racial isolation. In striving to achieve our 'historic commitment to creating an integrated society,'...The Court acknowledges the Fair Housing Act's continuing role in moving the Nation toward a more integrated society." (ICP, 2014).

In the coming years, this increased interest will motivate substantial advocacy for "residential integration" by progressives in many cities all over the U.S. However, race, income, and integration are still difficult and volatile topics among elected officials, opinion leaders, and among the general public. In my view, we are not a "post-racial society" in any meaningful sense. Importantly, debates about residential integration are deeply fraught precisely because of the very importance of housing and its location (How Housing Matters, 2016).

Therefore, I anticipate that progressive advocacy in favor of residential integration will incite a series of controversial public debates. Such public conflict is predictable based upon the volatile conflicts that have emerged over four recent events: the "Black Lives Matter" movement, the 2016 Presidential race, the public storm that erupted over the Westchester County (N.Y.) consent decree in which the wealthy, predominately white county agreed to take some steps towards racial integration, and popular reactions to HUD's proposed affirmatively furthering fair housing regulation. (Jonsson, 2013; Applebome, 2013).

Those opposing integration typically frame their arguments as resisting "forced integration," arguing that policies aimed at residential integration "force communities to diversify in ways that may hurt local property values, their tax bases, and their overall economies." (Jonsson, 2013) Westchester County Executive Robert Astorino, complained: "Washington bureaucrats, who you will never see or meet, want the power to determine who will live where and how each neighborhood will look. . . . What's at

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stake is the fundamental right of our cities, towns, and villages to plan and zone for themselves." (Applebome, 2013).

If progressives are not ready for this debate about integration, it could doom their attempts to promote residential integration as well as hurt other efforts to develop affordable housing and to enforce fair housing law. In my view, unclear and conflicting popular models of "residential integration" create a great risk for progressive policies in this context.

Scholarly Controversies Over Residential Integration

While the existence of stable racially integrated communities has been documented, (Nyden, Maly & Lukehart, 1997), there is a wide range of opinion among scholars and commentators concerning whether some form of "residential integration" is a useful and viable solution. Some argue that we should abandon the ideal of integration as a public policy goal (Boudreaux, 2004; Cashin, 2001; Gilmore, 2010; Ho, 2002), while others continue to support some version of it (Anderson, 2010; Aoki, 1997; Days, 2002; Hartman & Squires, 2010; Harvard Law Review, 2001; Roisman, 1995; Schuck, 2002; Seitles, 1998; Young, 2000).

Among those who promote some version of "residential integration" as a goal, there are substantial differences among their conceptions of what would constitute integration. One conflict concerns whether integration is consistent with continued "clustering" by existing communities of color, on the assumption that such clustering can be and is freely chosen. And, of course, there is substantial disagreement about the means through which any particular vision of integration should be pursued. (Briggs, 2002; Carey, 1997; Payne, 1998; Potter, 1990; Roisman, 2001; Schuck, 2002; Wiggins, 2002; Young, 2000).

Conflicting popular conceptions of residential integration

Despite a great deal of agreement among progressive advocates on the value of "integration" as a stated goal in the abstract, there is insufficient agreement on what integration actually means. Scholarly literature includes multiple treatments of integration—many of which are intellectually complex. Those debates are probably unresolvable, and are not likely to be the focus for practical integration efforts. Rather, I would argue that there are only two well-recognized popular concepts of residen-

tial integration, and these are the critical ones that progressive advocates need to wrestle with.

The two competing popular conceptions are: the *traditional integration model* and the *individual access to the opportunity structure model*. And, as will be argued below, these conceptions have very different implications for progressive policies.

sis of relative spatial location and concentration of Caucasians complexion of a community as a geographical unit and the social statistics. It seeks actual, authentic human interaction between and people of color within a defined geographical unit. (Massey relate to each other? It usually begins with a statistical analyes within it. This concept asks: Who lives there and how do they relationships among members of different income groups or raccerns the nature or quality of a community. It focuses on the a new integrated residential development, "I'm hoping that as City Manhattan Borough President Gale Brewer in reference to concept is well-expressed in the following quote by New York gration without any reference to the opportunity structure. The ing what scholars have called social distance. (Bogardus, 1947; people of different races and economic classes and overcomunit. However, this vision looks beyond simply improving the location of households by race within a specified geographical 2000). It defines integration statistically by the relative spatial BBQs together." (Kusisto, 2014). time goes on, people will share play dates and I hope they'll do Karakayali, 2009). This model focuses on racial and ethnic inte-First, there is the traditional integration model which con-

The second model is the *individual access to the opportunity structure* model. This model focuses on how the location of a household relates to the opportunity structure of a community (e.g. good schools, good jobs, decent shopping, healthy neighborhoods). This model comes from the writings of john a. powell and the work of the Kirwan Institute (and more recently the Haas Institute for A Fair and Inclusive Society at the University of California at Berkeley) as well as the "geography of opportunity" scholarship (Briggs & Wilson, 2005; powell, 2002). This model does not inquire into the relationships among the members of the households who live in a neighborhood. Its primary focus is maximizing the access of the new residents to the structure of opportunity available in the new neighborhood so that they can

nities enabled by the location of their housing. While not reducible to seeking "economic integration," this model does place a premium on the economic and social success of individuals and families.

The *Poor Door* Controversy Demonstrates the Conflict Between the Conceptions

The recent poor door controversy provides a vehicle to explore the two conceptions and their potential conflicts. (Briquelet, 2013). In 2013, a developer was using New York City's 421-A tax exemption voluntary inclusionary zoning ordinance to develop market-rate condos and affordable rental units. (Jacobs, 2014). The proposal envisioned two separate entrances: one for residents of the market-rate condos and another for residents of the affordable rental units. A reporter's story of the plan, along with a dramatic graphic, stirred a national furor. The controversy led to revision in the design. (Badami, Sept. 2014). Later, the City of West Hollywood voted against another poor door proposal. (Branson-Potts, 2014). Others opined that poor doors were not the issue or even that poor doors are not a problem at all. (Jacobs, 2014; Navarro, 2014).

If we hold to the traditional integration model, the poor door is significant because it seems to reinforce separation of people based upon income (and possibly race) and is likely to lead to stigma and to the experience of affordable housing residents being perceived as second class citizens. (Badami, Sept. 2014). We would not allow separate entrances to apartments based upon race, gender or religion. At the very least, a poor door violates the spirit of the traditional model of integration.

In contrast, if we employ the individual access to the opportunity structure model, the poor door probably should not matter because living in that same great neighborhood provides the affordable housing residents essentially equal access to the opportunities for schools, jobs, health and safety, etc. (Navarro, 2014).

Clarifying our Model of Integration

Exploring the poor door controversy forces us to clarify our model of integration. While scholars have and continue to engage in sophisticated and meaningful debates about concepts of integration, this is not by any means a merely an academic question. Rather, it is **also a profoundly practical** and political question:

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What do we mean by integration? What is the goal? How does it happen? What are the mechanisms? How do you operationalize it for purposes of city planning, individual siting of developments and evaluation? What counts as an integrated community? And, if we do not assume that after it is sufficiently established it will be self-replicating, how can it be maintained?

etc. There are several well-developed methodologies that operaat least better) access for individuals to good schools, good jobs, For the access to opportunity model, location facilitates equal (or eventually overcome prejudice and enable harmonious relations. ingful interactions among members of different groups that will traditional integration model, the location will facilitate meanminative). But they differ in how or why location matters. For the model assume that the location of housing is critical (if not deterconcept and the individual access to the opportunity structure evaluate neighborhoods for the levels or amounts of good opporogy that operationalizes the traditional integration model.) One (The author is not aware of a similar comprehensive methodoltionalize the access to opportunity model using geospatial tools. Kirwan Institute. (Kirwan Institute, n.d.). These methodologies methodology called opportunity mapping was pioneered by the duty to affirmatively further fair housing. (HUD, n.d.). being the best. (Kirwan Institute, n.d.). Similar models are being tunity available in them, with a high opportunity neighborhood provided by HUD to cities as tools for helping them satisfy their Location, location, location. Both the traditional integration

This difference affects what locations for housing, especially affordable housing, will serve each model's integration purpose. Is siting affordable housing somewhere within the jurisdiction good enough? For the traditional model: unless we assume a lily white city, the answer is "no" because siting the affordable housing may be just another form of *de facto* segregation. (There is an important exception. If a low-income neighborhood is primarily occupied by people of color and gentrification is occurring, new affordable housing that replaces lost affordable units could be consistent with the traditional model of integration because it will tend to maintain economic and racial diversity.) For the access to opportunity model: Locating a new affordable housing anywhere in the jurisdiction may be fine if all of the neighbor-

neighborhoods or if there are substantial public transit options. Generally, the location of the affordable housing units within the jurisdiction will matter if there are significant relevant differences among the neighborhoods within the jurisdiction, as there indeed are in many cities in America.

In the same way that statistics alone do not tell us if the traditional model's goal has been met, neither does locating a person in a high opportunity neighborhood mean that the opportunity model's goal has been or will be met. Physical proximity alone—whether to a person from another community or to an element of the opportunity structure—is a necessary but not sufficient condition for achieving the goal. This means we need to get to the operational assumptions of the models.

take place between participants of equal status" (Allport, 1954). operative, based on the pursuit of common objectives, and should for contact to have the desired effect: "it should be sustained, cotypes or bias. Allport himself articulated four optimal conditions efficacy of mere physical proximity in reducing racial stereo-2017). She demonstrates that scholarly literature is split on the ful review of the potential for the contact hypothesis. (Stanley, forthcoming book Sharon Stanley offers a careful and thoughteliminate prejudice, bias, and stereotypes. (Allport, 1954). In her members of groups with a prior history of conflict will reduce or hypothesis which suggests that interpersonal contact between suggestion has been Gordon W. Allport's notion of the contact the social distance that currently separates them. One common proximity are expected to form meaningful relationships across the mechanisms by which neighbors living in close geographic district, the city, or the region? And, this model must address the block, the neighborhood, the census district, the planning come and the degree of integration to be sought: the building, graphical unit to measure the degree of segregation to be over-The traditional model must answer: What is the relevant geo-

The opportunity structure metaphor reifies opportunity and access. Attaining realistic or meaningful access is likely to be much more complicated than achieving mere physical proximity. Therefore, the opportunity model must explain: What are the necessary and sufficient conditions for access beyond mere physical proximity? How physically close do people need to be to elements of the opportunity structure to have realistic access? To what degree can available public transit substitute for greater

Presumably, the necessary and sufficient conditions will vary based upon each element of opportunity. For example, regarding schools, if the jurisdiction has neighborhood-based schools, then location matters by neighborhood; if the jurisdiction has magnet schools or another system to allocate students to particular schools, the neighborhood may not matter for that element of opportunity. For employment, it will depend upon the mechanism by which residents are expected to access better job opportunities. Will an address alone matter or one's social network of contacts?

Moreover, there are likely both objective and subjective aspects of opportunity. In other words, being objectively aware of an opportunity is different from subjectively believing you have a reasonable chance at actually accessing it so that you are motivated to seek it. Thus, objective opportunity alone may be insufficient to meet the model's objectives. Location near or within a high opportunity neighborhood may need to be supplemented with other interventions to create sufficient subjective opportunity as well.

Perhaps most importantly, discrimination may limit the access of the residents living in high opportunity neighborhoods to the opportunities that now are closer to them. Recent studies reveal that employment discrimination by race is still prevalent. (U.S. Department of Housing and Urban Development, 2013). A national study showed that employers reviewing candidates' resumes with equal qualifications regularly disfavor those with names that sound like minority communities or foreign. (Bertrand & Mullainathan, 2003). And, apart from intentional discrimination, a large body of evidence now demonstrates that unconscious or implicit bias, including based upon race, has widespread effects on social interactions and decisions. (Greenwald, 1998; Greenwald, Poehlman, Uhlmann & Banaji, 2009).

Given the strong statistical linkages between race and income, it is possible that if we dig deeper into the assumptions and mechanisms of the two models that they are not so different and perhaps they even merge at points. Perhaps the traditional model also seeks economic and social opportunity for the individuals in the households and expects that these will follow (possibly even naturally flow from) enhanced interpersonal relationships. If so, we need to be clear about how improved economic

and social opportunity are likely to occur, because these results assume an additional step beyond improved interpersonal relationships.

And, perhaps the opportunity model assumes that meaningful personal relationships across race and income will develop among the residents by virtue of the new neighbors in the high opportunity neighborhoods taking up the newly available opportunities. If so, we need to think through how this is likely to occur. As Marion Young reflects:

Racial segregation is an overlapping, but separate problem [to lack of equal opportunity] that deserves the attention of policy makers. Granted, policies that break down economic segregation might also help break down racial segregation. Minorities are disproportionately poor in this country, so any policy that benefits the poor should disproportionately benefit minorities. Because racial segregation is so severe and harmful in this country, however, policies designed to help the poor should include mechanisms to maximize the chance that poor minorities will benefit from the policy. (Young, 2000).

And perhaps the opportunity model assumes that over time the benefits that individuals and families accrue from economic integration will redound to the entire community of which those individuals and families are members, so that the broader patterns of discrimination will be relieved and historical inequities will be resolved.

What Difference Does the Integration Model Make for Policy Choices?

If every policy would not serve both concepts equally, which concept of integration one is pursuing will affect which policies one pursues to achieve the goal. Some policies may serve both models equally well. But perhaps some policies will only serve one model, or will serve one model better than the other. If so, then choosing the appropriate policies will be an important consequence of which model of integration is selected.

Traditionally, policies aimed at solving the residential segregation problem are separated into those that would revitalize the communities in which there is a high concentration of people of color now (e.g. redevelopment programs) and those that would

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enable members of those disadvantaged communities to move to more advantaged communities (e.g. policies aimed at siting affordable housing in privileged white suburbs and mobility programs, such as HUD's Moving to Opportunity program).

Ideally, we could implement both types of programs in order to maximize the scope of choice that all members of the community would have to decide where they would want to live. However, since most of these programs require substantial government subsidies, which are increasingly limited, often choices must be made between them. In this context, promoters of the traditional model of integration tend to push and try to hold out for a "both/ and" solution, i.e. pursuing both kinds of programs in concert, in part because this model particularly values the sense of community and place in which interpersonal relationships are fostered. In contrast, those who support the opportunity model tend to favor mobility programs.

Perhaps more interesting is how the choice of models makes a difference for inclusionary zoning, a policy that could potentially serve both models equally and does not require government subsidies. Upon analysis, we will find that even in this context the choice of integration model significantly affects how one would design and implement this policy.

opments (Iglesias, 2015). Usually, the ordinance will provide a certain percentage of affordable housing units in their develcentivize or require market-rate housing developers to include a difference for how inclusionary zoning ordinances ought to be other dimensions. The model of integration we support makes strategy to its housing market, current settlement patterns and ing ordinances are very flexible, enabling a city to adapt this economic impact of the policy (Iglesias, 2015). Inclusionary zoners, fast-track permitting and others) to reduce or eliminate the numerous benefits to developers (e.g. a density bonus, fee waivunits, dedicating other land to the jurisdiction for its developcompliance options, such as, off-site development of affordable able housing units on the same location as the market-rate units decision is whether to require developers to locate the afforddesigned, implemented, and evaluated. One important design ment of affordable units or paying money instead of developing (called on-site development), or to offer the developer alternative units, viz. in lieu fees (Iglesias, 2015). If we promote the tradi-Inclusionary zoning (or inclusionary housing) policies in-

tional integration model, then there should be a strong emphasis on on-site development of affordable housing units with market-rate units (avoiding the poor door problem). If we follow the individual access to the opportunity structure model, then we could put less emphasis on on-site development and we can be more open to other locations within a jurisdiction and their tradeoffs as long as they meet that model's criteria.

Of course, the selection of appropriate policies will be largely influenced by a particular community's actual situation as regards segregation, its history, leadership and many other factors. No matter which model of integration we promote, we will probably need to include program features such as counseling of potential residents and support programs to maximize the chance that the program will increase meaningful racial integration under either model. (HUD, 2011).

Conclusion

As the 2016 Presidential race has demonstrated, race is still a very difficult and often polarizing issue to in American politics. The opportunity structure model is probably more palatable to the American public, but risks underestimating the effects of existing racism/implicit bias and the legacy of racism. But many believe integration *means* the traditional integration model... and this scares some other people.

Advocates need to decide on a good analysis and help decision-makers, opinion leaders (including the media), and the general public understand it so that they can talk about it with others. If we do not successfully engage this public debate, there will be more local opposition to affordable housing, fewer and weaker progressive policies and more opposition to their implementation.

Of course, the conversations I am proposing are complex, sensitive, and require mature, thoughtful engagement. There are profound language issues underlying the substantive topics. For example, one might try to frame the conversation as being about "strengthening communities" or "promoting diversity" to avoid potentially problematic integration. Politically, individual access to the opportunity structure model appears to be the less controversial of the two models because it is somewhat less threatening to local leaders and the general public because it is based on the bipartisan notion of America being a nation of

equal opportunity. But it would be naïve to assume that even this model is widely accepted outside of the progressive community because of different models of meritocracy and economic-social mobility.

Note

A version of this argument was presented at the 2016 University of San Francisco Social Equity Leadership Conference on June 1, 2016 in San Francisco. My thanks to Dr. Richard Greggory III for inviting me to present at the conference and to contribute this chapter to the book.

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Promoting Social Equity to Achieve the Dream at Minority Serving Institutions

Susan T. Gooden, Kasey J. Martin, Lindsey L. Evans, and Kashea N. Pegram

Introduction

agenda and including institutions with high concentrations of dents (Rutschow et al., 2011). is also particularly concerned with promoting an equity-based research into effective practices at community colleges; public low-income students, students of color, and nontraditional stuture outcomes" (Achieving the Dream, 2009, p. 3). The initiative tiative promotes, "ground-level strategies to accomplish big-picpublic. It emphasizes the use of data to drive change. The inipolicy work; and outreach to communities, businesses, and the the institutional practices and policies at participating colleges; ing the Dream works across multiple fronts, including changes in cess, including students of color and low-income students. Achievgroups that have traditionally faced significant barriers to sucsuccess. The initiative is particularly concerned about student uitable outcomes for historically under-represented students A focus on closing the college education gap and achieving eq-Lumina Foundation for Education in 2003 to improve student the Dream is a multi-year national initiative launched by the is an increasingly important part of the national and state higher education agenda (Carnevale & Rose, 2011). Achieving

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