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2012

Democracy and Dissent: Strauss, Arendt, and Voegelin in America

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During the 1930s, American democratic government underwent a paradigmatic transformation. From the framing through the 1920s, the United States operated as a republican democracy. Citizens and elected officials were supposed to be virtuous: in the political realm, they were to pursue the common good or public welfare rather than their own "partial or private interests." Intellectually, republican democracy had premodern roots stretching back to antiquity. As such, republican democratic theorists often conceptualized the common good in objectivist terms, as if there existed a distinct good that could be clearly ascertained. Equally important, for at least a century, republican democracy seemed to fit the agrarian, rural, and relatively homogenous American society. Thomas Jefferson, for one, insisted that the agrarian economy and widespread rural land ownership promoted a virtuous commitment to the common good. And given that, in the nation's early decades, an overwhelming number of Americans were Protestants who traced their ancestry to Western or Northern Europe, the people seemed sufficiently homogeneous to join together in the pursuit of the common good.

Of course, some Americans did not fit the mold. Not all were white Protestant Anglo-Saxons. Exclusion, however, preserved at least a surface homogeneity. According to republican democratic theory, non-virtuous individuals (or non-virtuous societal groups) would not be will

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2. See GORDON S. WOOD, THE CREATION OF THE AMERICAN REPUBLIC, 1776–1787, at 59 (1969); see also, e.g., VIRGINIA BILL OF RIGHTS (1776), reprinted in 2 BENJAMIN PERLEY POORE, THE FEDERAL AND STATE CONSTITUTIONS, COLONIAL ChARTERS, AND OTHER ORGANIC LAWS OF THE UNITED STATES 1908 (1877) (emphasizing government for "the common benefit").
ing to forgo the pursuit of their own private interests. Instead, they would form factions bent on corrupting republican democratic government. Thus, an alleged lack of civic virtue could justify the forced exclusion of a group from the polity. On this pretext, African Americans, Irish-Catholic immigrants, women, and other peripheral groups were precluded from participating in republican democracy for much of American history. Typically, then, particular conceptions of virtue and the common good mirrored mainstream white Protestant values and interests.

Over time, a variety of forces strained the republican democratic regime, especially in the late-nineteenth and early-twentieth centuries. These forces, including industrialization, urbanization, and immigration, redounded upon each other, their effects rippling through society. Yet, republican democracy proved flexible and resilient. Through the nineteenth century and into the 1920s, virtue and the common good remained the overarching principles of government, though their specific meanings changed in response to the cultural, social, and economic pressures. Eventually, however, in the early 1930s, the republican democratic regime collapsed and a new one—pluralist democratic—supplanted it. By this time, the reality was that the American population was more heterogeneous than ever before; the majority of Americans lived in cities, and more Americans were working for wages in factories than working their own farmland.

Unlike republican democracy, the intellectual furniture for pluralist democracy was thoroughly modern. Theorists who conceptualized the new democracy in the late 1930s and 1940s were committed to historicism, ethical relativism, and social science empiricism. From the historicist perspective, history demonstrated that social, cultural, and political arrangements were contingent and changeable and that human inventiveness could produce endless (though not inevitable) progress. Empiricists believed that the path to knowledge lay in experience: the study of

9. Feldman, supra note 1, at 166–97 (discussing in greater detail the development and effects of industrialization, urbanization, and immigration).
10. For example, political parties were initially considered to be illegitimate factions, see Stanley Elkins & Eric McKitrick, The Age of Federalism 596–617 (1993), but they became an accepted republican democratic institution in the 1820s and 1830s. See Edward Pessen, Jacksonian America: Society, Personality, and Politics 197–232 (rev. ed. 1985); Harry L. Watson, Liberty and Power: The Politics of Jacksonian America 171–74 (1990).
11. See The Statistical History of the United States from Colonial Times to the Present 409 (1965) (Table: Manufactures Summary: 1849 to 1954) (providing statistical measurements reflecting increasing industrialization); id. at 14 (Table: Population in Urban and Rural Territory) (providing statistics showing population from rural to urban areas).
external reality. Social science empiricism thus entailed the observation of human behavior, generalizations describing such behavior, and predictions about future behavior. Moreover, among intellectuals, the commitment to empiricism engendered an ineluctable acceptance of ethical relativism; facts and values were distinct. If knowledge must be grounded on experience, then ethical values seemingly could not be verified. Individuals could and did assert values, but scientists could not empirically test the validity of those values.13

These modern intellectual components—historicism, ethical relativism, and social science empiricism—were manifested in political realities. In his New Deal, Franklin Roosevelt pushed for progress (in accordance with historicism), for immediate action guided by empirical experts: he relied on legal and social-science experts to shape and administer legislation that responded to the economic needs of a multitude of American constituencies. The New Dealers passed fifteen legislative acts during the first 100 days of Roosevelt's first term, and they continued enacting legislation in accordance with the needs and interests of the American people fighting a depression.14 Instead of dismissing the preferences and values of immigrants, indigents, religious minorities, and other peripheral groups as being non-virtuous, instead of denigrating their desires and goals as contravening the common good, FDR and his New Deal colleagues sought to incorporate these groups into the polity and to satisfy their interests. As one of FDR's close advisers, Rex Tugwell, said, "[T]he New Deal is attempting to do nothing to people, and does not seek at all to alter their way of life, their wants and desires."15 Consequently, FDR led the nation toward a more open and inclusive form of democracy. Mainstream and old-stock Protestant values, long the foundation for the ideals of virtue and the common good, were now to be balanced with the values of other Americans who constituted the demographically diverse population. No single set of cultural values was authoritative. Consistent with ethical relativism, Roosevelt and the New Dealers considered all values and interests, or at least a plurality of such values and interests.16

13. See WALTER LIPPMANN, A PREFACE TO MORALS 3-4, 8 (1929) (arguing that individuals admitted that their own moral codes lacked foundations).
16. E.g., Franklin D. Roosevelt, Commonwealth Club Speech (Sept. 23, 1932), in 3 GREAT ISSUES IN AMERICAN HISTORY: FROM RECONSTRUCTION TO THE PRESENT DAY, 1864–1981, at 335, 341–42 (Richard Hofstadter & Beatrice Hofstadter eds., rev. ed. 1982). Roosevelt was far more solicitous of African American interests than any previous president, yet he often sacrificed black interests and values so as to keep white Southerners aligned with the Democratic party. See Feldman, supra note 1, at 327–28. Also, Roosevelt eventually broke with and became antagonistic toward big business. Id. at 318–19, 324.
Pluralist democracy thus revolved around the assertion of interests and values by sundry individuals and groups.\textsuperscript{17} The pursuit of self-interest no longer amounted to corruption; rather, it defined the nature of (pluralist) democracy. The crux of the new democracy was participation in the political process: to express one's values and interests through the appropriate channels; to have governmental officials listen to those expressions of values and interests; and to have the government, acting through experts, fulfill one's desires in a reasonable number of instances.\textsuperscript{18} According to pluralist democratic theorists, the only way to determine public values and goals was through a process of "free competition [among] interest groups."\textsuperscript{19} By "composing or compromising" their different values and interests,\textsuperscript{20} the "competing groups [would] coordinate their aims in programs they can all support."\textsuperscript{21} Legislative decisions therefore turned on negotiation, persuasion, and the exertion of pressure through the normal procedures of democratic government.\textsuperscript{22} Process rather than substance (such as the substance of the common good) determined the legitimacy of governmental actions.\textsuperscript{23}

Pluralist democracy achieved hegemony during the post-World War II era as the correct theory and practice of government, but it did not go unchallenged. European émigrés such as Leo Strauss, Hannah Arendt, and Eric Voegelin, all of whom had escaped from Nazi Germany in the 1930s, raised the most persistent oppositional views.\textsuperscript{24} By the end of the 1940s, Strauss, Arendt, and Voegelin were established political philosophers within the American intellectual community. Thus, they experienced the rise and entrenchment of pluralist democracy from both an insider perspective, living and working in the United States, and an outsider perspective, having matured intellectually in Europe. While they all appreciated the American constitutional system—the United States, after all, had provided them with refuge—they could not accept unbridled celebrations of American democracy.\textsuperscript{25} While in Europe, they had not

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\item \textsuperscript{17} See \textit{Elizabeth Cohen}, \textit{Making a New Deal: Industrial Workers in Chicago, 1919–1939}, at 254–57, 362–66 (1990) (discussing the transformation of ethnic urbanites into active participants on the national political stage).
\item \textsuperscript{18} John Dewey was an early pluralist democratic theorist. See, \textit{e.g.}, \textit{John Dewey, Freedom and Culture} 176 (1939) (discussing the "methods" of democracy).
\item \textsuperscript{19} \textit{Wilfred E. Binkley \& Malcolm C. Moos}, \textit{A Grammar of American Politics} 9 (1949).
\item \textsuperscript{20} \textit{Id.}
\item \textsuperscript{21} \textit{Id. at 8.}
\item \textsuperscript{22} \textit{Id. at 10–11.}
\item \textsuperscript{24} See \textit{John C. Gunning}, \textit{The Descent of Political Theory} 194–98 (1993) (discussing the arrival of the émigrés).
\item \textsuperscript{25} Strauss's writings include: \textit{Leo Strauss, Liberalism Ancient and Modern} (1968) [hereinafter \textit{Strauss, Liberalism}]; \textit{Leo Strauss, The City and Man} (1964) [hereinafter \textit{Strauss, City}]; \textit{Leo Strauss, What Is Political Philosophy} (1959) [hereinafter \textit{Strauss, Political Philosophy}]; \textit{Leo Strauss, Natural Right and History} (1953) [hereinafter

only witnessed the collapse of the democratic Weimar Republic into Nazi totalitarianism, they had suffered personal hardships and dislocations because of the Nazi perversions of the state. Strauss and Arendt fled Germany because they were Jews, while Voegelin left because he had published books contravening Nazi race ideology. From their vantage, American democracy was too fragile to leave unexamined.26

Part I of this Article explores the views of Strauss, Arendt, and Voegelin as they dissented from pluralist democracy.27 Part II discusses their influences on politics, political theory, and law.28 One caveat might clarify the goal of this Article. The transition from republican to pluralist democracy was unquestionably momentous, but whether it should be characterized as revolutionary is arguable, turning more on one’s definition of revolution than the nature of the transition itself. If one maintains that a revolution must be speedy or sudden, then this transition might not qualify. It started with a buildup of economic, cultural, and social pressures in the late-nineteenth century and lasted at least through the decade of the 1930s.29 One can reasonably argue that the Supreme Court did not accept pluralist democracy until 1937.30 Numerous commentators,
though, have referred to the transition as revolutionary.\textsuperscript{31} For purposes of this Article, the designation of the transition from republican to pluralist democracy is irrelevant. It is crucial, however, to comprehend the enormous transformation that American government underwent during this time, shifting from one paradigm of democracy to another. This Article is about those contemporaries who experienced and perceived the paradigm change but nonetheless opposed it. Given how Strauss, Arendt, and Voegelin will influence subsequent political and theoretical developments—by the late-twentieth century, for instance, Strauss will be known as the godfather of neoconservatism—their contemporaneous reactions to the emergence of pluralist democracy provide an unparalleled and invaluable glimpse inside a paradigm shift central to the American future.

I. THE ÉMIGRÉ DISSENTERS

A. Leo Strauss

Strauss launched a sustained critique of the interrelated intellectual components of modernity that supported pluralist democracy. Historicism, Strauss explained, "seems to show that all human thought is dependent on unique historical contexts that are preceded by more or less different contexts and that emerge out of their antecedents in a fundamentally unpredictable way."\textsuperscript{32} Put in different words, historicism stresses the (historical) context of all perceptions and experiences. With everything becoming contextual and therefore contingent, historicism allows us to look constantly toward the future.\textsuperscript{33} Awareness of the past can liberate us from that past. If we know when and why an institution—let's say a particular common law rule of property—first developed, then we can more readily choose to modify or abandon that institution.\textsuperscript{34} To be sure, we are not guaranteed to progress in the future, epoch by epoch, but we can nonetheless aim "toward ever greater prosperity . . . enabling everyone to share in all the advantages of society or life."\textsuperscript{35}

Yet, Strauss warned, historicism undermines the very possibility of knowledge and understanding. For example, historicism leads us to conclude that we cannot specify the content of justice because it appears to

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\item \textsuperscript{31} See, e.g., MAXWELL BLOOMFIELD, PEACEFUL REVOLUTION: CONSTITUTIONAL CHANGE AND AMERICAN CULTURE FROM PROGRESSIVISM TO THE NEW DEAL 124-64 (2000) (referring to New Deal as constitutional revolution).
\item \textsuperscript{32} STRAUSS, NATURAL, supra note 25, at 19.
\item \textsuperscript{33} STRAUSS, POLITICAL PHILOSOPHY, supra note 25, at 59.
\item \textsuperscript{34} Id. at 67.
\item \textsuperscript{35} STRAUSS, CITY, supra note 25, at 4. Strauss continued: The progress toward ever greater prosperity would thus become, or render possible, the progress toward ever greater freedom and justice. This progress would necessarily be the progress toward a society embracing equally all human beings: a universal league of free and equal nations, each nation consisting of free and equal men and women.
\end{itemize}

\textit{Id.}
vary from society to society, from context to context. Justice means one thing in the United States, another thing in China, and another thing in Egypt—or so the historicist claims. More broadly, "[a]ll knowledge . . . presupposes a frame of reference . . . , a comprehensive view within which understanding and knowing take place." Thomas Kuhn, the renowned historian and philosopher of science, would soon refer to this overarching frame of reference as a paradigm. The problem with this outlook, Strauss argued, is that "[t]he comprehensive view of the whole [or, in other words, a paradigm] cannot be validated by reasoning, since it is the basis of all reasoning." We always must choose among competing viewpoints, but we are left "without any rational guidance." Each viewpoint is "as legitimate as any other." But then, Strauss asked, is not historicism "self-contradictory?" How can historicism claim that it is a valid viewpoint itself? And even more important, when humanity is ostensibly freed of all "permanencies," such as knowing "the distinction between the noble and the base," then we are too apt to spiral into terror, as happened with Hitler and the Nazis. "It was the contempt for these permanencies which permitted the most radical historicist in 1933 [to gain power]."

Strauss attacked the pretensions of modern social science with equal vigor. Social scientists claim that facts and values must be separated: "the Is and the Ought" cannot be joined. They posit that all knowledge must be empirical, based on experience of facts, and that therefore social science must be "value-free" and "ethically neutral." But to Strauss, modern social science is wrong-headed on several counts. Most simply, he argued that value-free social science is impossible. Values seep into

36. See STRAUSS, NATURAL, supra note 25, at 97 (explaining how the conventionalist position undermines claims of justice).
37. See STRAUSS, POLITICAL PHILOSOPHY, supra note 25, at 63 (arguing that historicism ties political philosophies to specific places and times).
38. STRAUSS, NATURAL, supra note 25, at 26.
40. STRAUSS, NATURAL, supra note 25, at 27.
41. Id.
42. Id.
43. Id. at 25.
44. Id.
45. STRAUSS, POLITICAL PHILOSOPHY, supra note 25, at 26.
46. Id.
47. Id. at 27.
49. STRAUSS, NATURAL, supra note 25, at 41.
50. STRAUSS, POLITICAL PHILOSOPHY, supra note 25, at 19; STRAUSS, NATURAL, supra note 25, at 16–17, 40–41.
any social or political analysis in numerous ways, from the choice of research questions to the definition of terms.\textsuperscript{51} At a deeper level, to insist on value-free social science, including political science, would be to render it meaningless: “It is impossible to study social phenomena, i.e., all important social phenomena, without making value judgments. . . . A man who refuses to distinguish between great statesmen, mediocrities, and insane impostors may be a good bibliographer; he cannot say anything relevant about politics and political history.”\textsuperscript{52}

Even if value-free social science were possible, the single-minded focus on empirical research, on facts, would necessarily preclude any knowledge of values and ends. From the modern standpoint, values, which are the sources of our goals or ends, are not subject to scientific (empirical) determination and therefore are not knowable.\textsuperscript{53} Modern social science leads us, then, to ethical relativism.

[T]here cannot be any genuine knowledge of the Ought. [The modern social scientist] denied to man any science, empirical or rational, any knowledge, scientific or philosophic, of the true value system: the true value system does not exist; there is a variety of values which are of the same rank, whose demands conflict with one another, and whose conflict cannot be solved by human reason. Social science or social philosophy can do no more than clarify that conflict and all its implications; the solution has to be left to the free, non-rational decision of each individual.\textsuperscript{54}

Modern social science, with its desire to be empirical and “neutral in the conflict between good and evil,”\textsuperscript{55} relegates us to a radical and irrational individualism—where each person acts on arbitrary preferences—and ultimately, to nihilism.\textsuperscript{56} Not only must we “recognize all preferences or all ‘civilizations’ as equally respectable,”\textsuperscript{57} we must accept that “[i]f our principles have no other support than our blind preferences, everything a man is willing to dare will be permissible.”\textsuperscript{58}

Strauss, in sum, concluded that modernity is imploding: its own premises inevitably cause the edifice of modernity to collapse upon itself. But as Strauss would insist, the rise of the Nazis and the ensuing Holocaust were not wrong merely from a relative perspective. According to Strauss, we must have more than irrational individual preferences and culturally relative values if we are to avoid sliding toward nihilism and

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  \item \textsuperscript{51} \textbf{STRAUSS,} \textit{POLITICAL PHILOSOPHY,} \textit{supra} note 25, at 21–25.
  \item \textsuperscript{52} \textit{Id.} at 21.
  \item \textsuperscript{53} \textbf{STRAUSS,} \textit{NATURAL,} \textit{supra} note 25, at 40–41.
  \item \textsuperscript{54} \textit{Id.} at 41–42.
  \item \textsuperscript{55} \textbf{STRAUSS,} \textit{POLITICAL PHILOSOPHY,} \textit{supra} note 25, at 18.
  \item \textsuperscript{56} \textbf{STRAUSS,} \textit{NATURAL,} \textit{supra} note 25, at 4–5; \textbf{STRAUSS,} \textit{POLITICAL PHILOSOPHY,} \textit{supra note 25, at 18–19.}
  \item \textsuperscript{57} \textbf{STRAUSS,} \textit{NATURAL,} \textit{supra} note 25, at 5.
  \item \textsuperscript{58} \textit{Id.} at 4–5.
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accepting genocide. And as modernity goes, Strauss added, so goes pluralist democracy. Built on the modernist premises of historicism, empiricism, and relativism, not only is pluralist democracy indefensible from a Straussian standpoint, but it also perches us precariously on the edge of a moral abyss.\textsuperscript{59} But then what should we do? Strauss did not want to repudiate democracy, though he found its current instantiation in the United States to be frail and dangerous.\textsuperscript{60} To a degree, he sought to modify and therefore save democracy. Strauss, it seems, wanted answers. After all, Strauss criticized modernity for leaving us with only contingencies, for undermining the certainty of ostensible answers. But what solutions did Strauss propose in response to the problem of democracy and the crisis of modernity?

Unfortunately, at this very point, Strauss’s writings became far murkier. He turned to philosophy—specifically classical political philosophy—because, he argued, it could lead us from opinion to truth.\textsuperscript{61} Strauss feared that the methods of modern social science structure our understandings of politics and government by injecting the fact-value dichotomy.\textsuperscript{62} To avoid being led astray in this manner, we must return to a “pre-scientific understanding” of politics—“a coherent and comprehensive understanding of what is frequently called the common sense view of political things.”\textsuperscript{63} And ancient or classical philosophy can provide us with that pre-scientific or “original form of political science.”\textsuperscript{64} Yet, Strauss acknowledged that classical political philosophy cannot provide us with clear and direct access to solutions for our current difficulties.\textsuperscript{65} We cannot solve our problems by pretending to live in a Greek polis; a global economy, nuclear weapons, and the proliferation of nation-states present us with unique political dilemmas. Even so, we must quest after “universal knowledge” of the truth,\textsuperscript{66} quest for answers to our dilemmas, and ancient philosophy might guide us on our journey.\textsuperscript{67} But

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\item \textsuperscript{59} E.g., STRAUSS, POLITICAL PHILOSOPHY, supra note 25, at 37–38; see STRAUSS, LIBERALISM, supra note 25, at 3–25 (discussing liberal education); PANGLE, supra note 25, at 77–78 (discussing Strauss’s emphasis on the degeneration of democracy); TARCOV & PANGLE, supra note 25, at 927–30 (discussing Strauss’s criticisms of liberal democracy).
\item \textsuperscript{60} “We are not permitted to be flatterers of democracy precisely because we are friends and allies of liberal democracy.” STRAUSS, LIBERALISM, supra note 25, at 24; see SMITH, supra note 25, at ix (describing Strauss as friend to democracy); TARCOV & PANGLE, supra note 25, at 909 (noting that Strauss viewed himself to be a friend to liberal democracy). But see DRURY, supra note 25, at 133–35 (arguing that Strauss was hostile to democracy).
\item \textsuperscript{61} STRAUSS, POLITICAL PHILOSOPHY, supra note 25, at 11–12, 66.
\item \textsuperscript{62} STRAUSS, CITY, supra note 25, at 11–12.
\item \textsuperscript{63} \textit{id.} at 11.
\item \textsuperscript{64} \textit{id.}
\item \textsuperscript{65} \textit{id.} at 12.
\item \textsuperscript{66} \textit{id.} at 11; PANGLE, supra note 25, at 26–28; TARCOV & PANGLE, supra note 25, at 918–19.
\item \textsuperscript{67} STRAUSS, POLITICAL PHILOSOPHY, supra note 25, at 11.
\item \textsuperscript{68} STRAUSS, CITY, supra note 25, at 11; PANGLE, supra note 25, at 76–77; TARCOV & PANGLE, supra note 25, at 910–13.
\end{itemize}
the end of the quest might never be reached—it might never become visible. 69

In his quest for truth, Strauss insisted that we consider whether the ancients had correctly linked political philosophy with natural right. 70 To explore this possibility, Strauss distinguished between the classical (or ancient) and modern concepts of natural right. According to the ancients, natural right could be comprehended only in connection “with a teleological view of the universe,” Strauss explained. 71 “All natural beings have a natural end, a natural destiny, which determines what kind of operation is good for them.” 72 Natural right, then, arose from the inherent end or purpose of a political regime. That is, “classic natural right doctrine . . . is identical with the doctrine of the best regime.” 73 The philosophical quest for natural right amounts to a search for “the perfect moral order.” 74 Strauss left ambiguous, it should be noted, the precise relationship between classical (or ancient) natural right and classical (or ancient) natural law. Sometimes he appeared to distinguish between natural right and natural law, but other times he appeared to use the terms interchangeably. 75 In fact, when Strauss equated classical natural right with the best regime or perfect order, he defined natural right in a manner that resonated closely with commonplace definitions of natural law. 76 Strauss himself referred to natural law as “an objective order.” 77 That societal order or best regime, Strauss suggested, entailed “doctrines [that] taught the duties of man.” 78 Thus, at one point, Strauss wrote: “Traditional natural law is primarily and mainly an objective ‘rule and measure’, a binding order prior to, and independent of, the human will.” 79

Regardless, Strauss unequivocally stressed a break between classical natural right (and natural law) and modern natural right (and natural

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69. Straussians might claim that Strauss engaged in esoteric writing. He distinguished the exoteric—political writings or teachings that were useful and palatable in the philosopher’s particular context—from the esoteric—political writings or teachings that were aimed for universal truths but that were left more obscure. STRAUSS, POLITICAL PHILOSOPHY, supra note 25, at 226–29; PANGLE, supra note 25, at 56–65; TARCOV & PANGLE, supra note 25, at 914.

70. See STRAUSS, NATURAL, supra note 25, at 81–89 (discussing the origin of natural right).

71. Id. at 7.

72. Id.

73. Id. at 144.

74. Id.; see MCALLISTER, supra note 25, at 30 (explaining that Strauss characterized natural right as referring “to proper governing”); TANGUAY, supra note 25, at 118 (explaining that Strauss’s notion of natural right focused on the best regime).

75. For instance, when discussing the relationship between religion and the best regime, Strauss wrote that “natural right or, rather, natural law becomes independent of the best regime and takes precedence over it.” STRAUSS, NATURAL, supra note 25, at 144. But see TANGUAY, supra note 25, at 118–19 (distinguishing between natural right and natural law).

76. See, e.g., FELDMAN, VOYAGE, supra note 12, at 85–89 (describing how proslavery advocates argued for a natural order in society).

77. STRAUSS, HOBBES, supra note 25, at xii. Strauss also wrote: “According to the classics, the best constitution is a contrivance of reason . . . . It is in accordance with nature, or it is a natural order.” STRAUSS, NATURAL, supra note 25, at 314.

78. STRAUSS, NATURAL, supra note 25, at 182.

79. STRAUSS, HOBBES, supra note 25, at xi.
law). To be sure, Strauss's historical narrative is idiosyncratic: traditional accounts tend to describe a logical movement from premodernity to modernity that links the ancient and modern notions of natural right (passing relatively smoothly from antiquity through Christianity and into secularity). But Strauss emphasized discontinuity: the sharp distinction between the ancients and the moderns helped explain, according to Strauss, the fragility of modern democracy. Machiavelli initiated modern political theory by rejecting the ideals of Plato and Aristotle for the nitty-gritty of realpolitik. Instead of describing the just or perfect republic, Machiavellian political theory guides the ruler who must maneuver through the pitfalls of reality. Strauss argued, then, that Hobbes sought to retain Machiavelli's realism while reintroducing "moral principles" into politics—reintroducing, that is, natural right (and natural law). To do so, Hobbes focused on "the fear of death, and, more particularly, the fear of violent death at the hands of others." In Hobbesian political theory, as interpreted by Strauss, "[d]eath takes the place of the telos," and "natural law must be deduced from the desire for self-preservation." Thus, unlike in classical political philosophy where natural right starts with the best political regime, which then engenders certain duties and responsibilities, Hobbes's modern political theory starts from an assertion of individual natural right—"from an absolutely justified subjective claim which, far from being dependent on any previous law, order, or obligation, is itself the origin of all law, order, or obligation." Consequently, Hobbes concluded that "[t]he state has the function, not of producing or promoting a virtuous life, but of safeguarding the natural right of each."

80. Id. at xi–xii; STRAUSS, NATURAL, supra note 25, at 120.
81. TANGUAY, supra note 25, at 102–03, 118.
82. STRAUSS, NATURAL, supra note 25, at 177–79; MCALLISTER, supra note 25, at 154–56; e.g., NICCOLO MACHIAVELLI, THE PRINCE (Luigi Ricci trans.), reprinted in THE PRINCE AND THE DISCOURSES I (Modern Library ed. 1950); NICCOLO MACHIAVELLI, DISCOURSES ON THE FIRST TEN BOOKS OF TITUS LIVIUS (Christian E. Detmold trans.), reprinted in THE PRINCE AND THE DISCOURSES, supra, 99. While Aristotelian virtue strove for human excellence, Machiavellian virtù strove for political usefulness, for the preservation of the political community (or republic) in a treacherous world. FELDMAN, VOYAGE, supra note 12, at 12, 14–15; TARCOV & PANGLE, supra note 25, at 916–17.
83. STRAUSS, NATURAL, supra note 25, at 179; e.g., THOMAS HOBBES, LEVIATHAN (C.B. Macpherson ed., 1968).
84. STRAUSS, NATURAL, supra note 25, at 180.
85. Id. at 181.
86. Id.
87. STRAUSS, HOBBES, supra note 25, at xii. "Traditional natural law is primarily and mainly an objective 'rule and measure', a binding order prior to, and independent of, the human will, while modern natural law is, or tends to be, primarily and mainly a series of 'rights', of subjective claims, originating in the human will." Id. at xi–xii; see STRAUSS, NATURAL, supra note 25, at 181 ("[T]he fundamental moral fact is not a duty but a right . . . .")
88. STRAUSS, NATURAL, supra note 25, at 181. "Hobbes jettisoned the entire tradition of political philosophy oriented toward human excellence, thus freeing the state from any obligation other than safeguarding individual natural rights." MCALLISTER, supra note 25, at 157.
Even further, according to Strauss, not only did the early moderns, like Hobbes, transform (and diminish) natural right, the later moderns repudiated it altogether.89 Jeremy Bentham, for one, is famous for denigrating “[n]atural rights [as] simple nonsense: natural and imprescriptible rights, rhetorical nonsense,—nonsense upon stilts.”90 Bentham’s disciple, John Austin, rejected the concept of natural law as “ambiguous and misleading.”91 Indeed, many critics insisted that widespread disagreements about the content of natural right demonstrated that it was inherently and hopelessly ambiguous.92 In the United States, the Framers’ generation had been firmly committed to natural right (and natural law), yet after the Civil War, numerous American jurisprudents repudiated it.93 According to jurist and scholar Oliver Wendell Holmes, Jr., natural-law jurists suffered from a “naive state of mind.”94 Modernists stopped searching for universals (rights and laws) in nature and instead focused on historical changes, individual claims of right, and sovereign assertions of law.95

When Strauss considered whether the ancients had correctly linked political philosophy with natural right, he emphasized that the mere disagreement among individuals and societies about the content of natural right does not logically necessitate its repudiation.96 Because the rejection of natural right ultimately leads, he argued, to the monumental modernist problems of historicism and relativism, we should demand stronger proof before jettisoning the possibility of natural right, and from Strauss’s perspective, such proof is not forthcoming.97 Not only did Strauss, then, want to contemplate the truth and implications of natural right, he reconsidered the fundamental republican democratic principles. In opposition to pluralist democracy and its countenanced pursuit of self-
interest, Strauss sought to resurrect the common good. "Laws are just to the extent that they are conducive to the common good. But if the just is identical with the common good," he reasoned, "the just or right cannot be conventional: the conventions of a city cannot make good for the city what is, in fact, fatal for it and vice versa. The nature of things and not convention then determines in each case what is just." Consequently, Strauss continued, the political activities of citizens and governmental officials should be virtuous, aiming for perfection and justice.

B. Hannah Arendt

Despite the difficulty of deciphering Strauss’s writings, Arendt’s work might be even more complex. Like Strauss, Arendt criticized modernity in the shadow of the Holocaust. To Arendt, modernity generated danger: it not only rendered possible totalitarianism, Nazism, and the Holocaust, but also threatened the United States and its pluralist democratic system with risks unrecognized by most Americans. Arendt maintained that modernity relegates humanity to a meaningless quest after the best instrumental means for achieving ultimately arbitrary ends. A vacuous willingness to conform replaces a desire for genuine freedom. Individuals dwell on self-interest and economic prosperity, while humanity celebrates the processes that produce specious historical progress. People universally “demand . . . happiness,” but suffer “the mass phenomenon of loneliness” and “widespread unhappiness.” In short, Arendt argued that modernity drains away the juices that sustain human vitality and leaves a shriveled, moribund carcass. But unlike Strauss, Arendt concentrated less on the problems of modernity and more on a solution: politics. For Arendt, politics (or political action) could provide a cure for the ills of modernity: politics could be the source and the realm of meaningful human existence.

98. See id. at 106–07.
99. Id. at 102.
100. See Tarcov & Pangle, supra note 25, at 920, 923–24 (discussing common good).
101. STRAUSS, NATURAL, supra note 25, at 133–34; STRAUSS, POLITICAL PHILOSOPHY, supra note 25, at 40, 94.
103. See MCGOWAN, supra note 25, at 15–17, 24, 38–39. According to Arendt, “[I]dentity has been disconnected from citizenship in modern society, with the result that we moderns have lost our sense of what politics is for. What characterizes the masses from whom totalitarian leaders derive their support is their lack of political commitment . . . .” Id. at 16. Arendt, in other words, emphasized the danger inherent in personal isolation and political apathy, which subsequent commentators would stress in describing the United States. ROBERT D. PUTNAM, BOWLING ALONE: THE COLLAPSE AND REVIVAL OF AMERICAN COMMUNITY 46–47, 107 (2000).
104. See VILLA, ARENDT, supra note 25, at 10–11.
105. ARENDT, HUMAN, supra note 25, at 40–41.
106. Id. at 33, 296–97; MCGOWAN, supra note 25, at 15–17, 36–38.
107. ARENDT, HUMAN, supra note 25, at 134.
108. Id. at 59.
109. Id. at 134.
110. Id. at 29–31.
What, then, is political action, according to Arendt? In modernity, we often associate politics with economic interests and disputes. For instance, a debate about whether to enact social welfare legislation that would provide food stamps for indigent individuals would be an archetypal political contest. But for Arendt, this debate would be social rather than political, where the social is a bastard combination of the private and public (political) spheres. Arendt sought to maintain a sharp “division between the public and private realms, between the sphere of the polis and the sphere of household and family, and . . . between activities related to a common world and those related to the maintenance of life.”

Arendt, in other words, narrowed the scope of politics. Indeed, critics have wryly noted that “Arendt rules out as nonpolitical almost everything we usually think of politics being ‘about.’” The precondition for politics, as Arendt conceptualized it, was plurality: “the fact that men, not Man, live on the earth and inhabit the world.” To be clear, Arendt emphasized a plurality or “diversity of opinions” rather than a plurality or “multiplicity of interests”—where opinions refer to individual views of, for instance, the common good, and interests refer to individual or group desires or goals. And a community could not sustain the requisite plurality of opinions without protecting the “unique distinctness,” the individuality, of each person. “If men were not distinct, each human being distinguished from any other who is, was, or will ever be, they would need neither speech nor action to make themselves understood. Signs and sounds to communicate immediate, identical needs and wants would be enough.” Arendt explained that the medium of political action, the way that people can initiate and participate in politics, is by speaking. And it is through political speech—that is, political action—that “men distinguish themselves instead of being merely distinct; they are the modes in which human beings appear to each other, not indeed as physical objects, but qua men.” In other words, political action is how one realizes his or her humanity—taking the initiative to be a unique

111. See id. at 27–29.
112. Id. at 28.
113. McGowan, supra note 25, at 75; see Bernstein, Rethinking, supra note 25, at 248–49 (criticizing Arendt’s distinction of the political and the social).
114. ARENDT, HUMAN, supra note 25, at 7; see Kateb, supra note 25, at 14.
115. ARENDT, REVOLUTION, supra note 25, at 226.
116. Id.
117. ARENDT, HUMAN, supra note 25, at 176.
118. Id. at 175–76. Plurality also assumes a type of equality among individuals. Kateb, supra note 25, at 14.
119. ARENDT, HUMAN, supra note 25, at 26–27, 179; Villa, ARENDT, supra note 25, at 31–35. Arendt, in effect, justifies the protection of free expression: “Opinions will rise wherever men communicate freely with one another and have the right to make their views public . . . .” ARENDT, REVOLUTION, supra note 25, at 227.
120. ARENDT, HUMAN, supra note 25, at 176.
person in meaningful coexistence with other unique persons.\footnote{121} Arendt depicted the ancient Greek polis as illustrating a political or “public realm”\footnote{122}; it “was reserved for individuality; it was the only place where men could show who they really and inexchangeably were.”\footnote{123} Plurality and individuality, then, are integrally intertwined. Plurality exists only when humans can realize or actualize their uniqueness, while unique individuality can be actualized only in relation to a plurality of others.\footnote{124} Conformity, it follows, obliterates the possibility for political action: “no formation of opinion is ever possible where all opinions have become the same.”\footnote{125}

Political action, Arendt continued, not only “must be done in company with others,”\footnote{126} but also must be “for the sake of all.”\footnote{127} That is, through “the benefit of a multitude of opinions,”\footnote{128} a community can specify the “public spirit” or common good.\footnote{129} What, then, is the content of the common good? Arendt never answered this question with more than vague conundrums. She explained that political action “is not a means to some end beyond itself,”\footnote{130} and that “[t]o act politically is to talk about politics.”\footnote{131} The common good, it would seem, equates with political action itself. To act politically is to achieve the common good. Thus, the creation, preservation, or both of a site facilitating political action would constitute the common good.\footnote{132}

Humans can experience true freedom, Arendt maintained, only when they engage in political action. It is “a body politic which guarantees the space where freedom can appear.”\footnote{133} When we confound the political with the private and slide into the social—as we have in moder-

\footnote{121. Arendt emphasized taking the initiative, an “impulse” to begin “something new.” \textit{Id.} at 177; see \textit{ARENDT, REVOLUTION, supra} note 25, at 216 (emphasizing “a deliberate act” as “the foundation of freedom”); \textit{Id.} at 223 (discussing “the spirit of the new”).}

\footnote{122. \textit{ARENDT, HUMAN, supra} note 25, at 41.}

\footnote{123. \textit{Id.} Arendt emphasized speech in describing politics in the Greek polis: “To be political, to live in a polis, meant that everything was decided through words and persuasion and not through force and violence. In Greek self-understanding, to force people by violence, to command rather than persuade, were prepolitical ways to deal with people characteristic of life outside the polis, of home and family life, where the household head ruled with uncontested, despotic powers, or of life in the barbarian empires of Asia, whose despotism was frequently likened to the organization of the household.” \textit{Id.} at 26–27.}

\footnote{124. \textit{BERNSTEIN, Judging, supra} note 25, at 223.}

\footnote{125. \textit{ARENDT, REVOLUTION, supra} note 25, at 225.}

\footnote{126. \textit{KATEB, supra} note 25, at 14.}

\footnote{127. \textit{Id.}}

\footnote{128. \textit{ARENDT, REVOLUTION, supra} note 25, at 225.}

\footnote{129. \textit{Id.}}

\footnote{130. \textit{KATEB, supra} note 25, at 16.}

\footnote{131. \textit{Id.} at 17.}

\footnote{132. See \textit{ARENDT, REVOLUTION, supra} note 25, at 255 (describing Thomas Jefferson’s desire for a ward system of government as emphasizing a public space or site for politics); \textit{KATEB, supra} note 25, at 119 (discussing how “[t]he common interest is the preservation of the frame of action, a constitution”).}

\footnote{133. \textit{ARENDT, REVOLUTION, supra} note 25, at 125.}
nity—we tend to define freedom in accord with the social realm.\textsuperscript{134} We think freedom is the pursuit, for instance, of economic prosperity. We believe freedom “requires and justifies” only “the restraint of political authority.”\textsuperscript{135} Freedom becomes “located in the realm of the social, and force or violence becomes the monopoly of government.”\textsuperscript{136} We forget that freedom lies in political action itself. Arendt insisted that freedom “means the right ‘to be a participator in government’, or it means nothing.”\textsuperscript{137} Thus, freedom and politics are indivisible: “Freedom is a good valued for its own sake, not for anything it allows us to produce, gain, or achieve. And freedom is exercised only in [political] action.”\textsuperscript{138}

Arendt’s most sustained discussions of politics revolve around antithetical examples: the combined actions of revolution and constitution making as prototypical political activities, on the one hand, and the imposition of totalitarianism, on the other. A revolution, Arendt argued, aims “to bring about the formation of a new body politic;”\textsuperscript{139} it is when “the political way of life begins.”\textsuperscript{140} Building “the foundation of a body politic which guarantees the space where freedom can appear,”\textsuperscript{141} a successful revolution establishes a “foundation of freedom”\textsuperscript{142} and demands “the constitution of a republic.”\textsuperscript{143} The key to the triumphant American Revolution, then, was the drafting of constitutions in numerous states simultaneous with the issuance of the Declaration of Independence.\textsuperscript{144}

Under modern conditions, the act of foundation is identical with the framing of a constitution, and the calling of constitutional assemblies has quite rightly become the hallmark of revolution ever since the Declaration of Independence initiated the writings of constitutions for each of the American States, a process which prepared and culminated in the Constitution of the Union, the foundation of the United States.\textsuperscript{145}

Arendt underscored the nature of revolution and the narrowness of the political realm, as she conceptualized it, by comparing the American and French Revolutions. While the American Revolution succeeded largely because it concentrated on establishing a public space for freedom—that is, for political action—the French Revolution failed largely because it failed to do the same. The American revolutionaries included

\begin{thebibliography}{99}
\bibitem{134} Arendt, Human, supra note 25, at 30–31, 40.
\bibitem{135} Id. at 31.
\bibitem{136} Id.
\bibitem{137} Arendt, Revolution, supra note 25, at 218.
\bibitem{138} McGowan, supra note 25, at 45.
\bibitem{139} Arendt, Revolution, supra note 25, at 35.
\bibitem{140} Id. at 33.
\bibitem{141} Id. at 125.
\bibitem{142} Id.
\bibitem{143} Id. at 33.
\bibitem{144} Id. at 141.
\bibitem{145} Id. at 125.
\end{thebibliography}
the poor, but these people, according to Arendt, were "not miserable."\(^{146}\) The French revolutionaries, though, needed to deal with an immense number of wretchedly impoverished people.\(^ {147}\) Consequently, during the French Revolution, the private and the social infected the political realm. And when a revolution tries to solve the social problem of poverty—the drive of the impoverished for basic needs—the opportunity to achieve political freedom is sacrificed to economic necessities.\(^ {148}\) "Nothing, we might say today, could be more obsolete than to attempt to liberate mankind from poverty by political means; nothing could be more futile and more dangerous. . . . The result was that necessity invaded the political realm, the only realm where men can be truly free."\(^ {149}\) Indeed, Arendt insisted that the Framers of the United States Constitution erred by dwelling more on the creation for citizens of a private space for economic activities than a public space for political freedom.\(^ {150}\) American (national) government, for instance, operates through representatives, so citizens too frequently forget politics; they confuse economic liberty with political freedom.\(^ {151}\) The people lose "the opportunity of being republicans and of acting as citizens."\(^ {152}\)

While the making (or defending) of a constitution exemplifies political action,\(^ {153}\) the imposition of totalitarian government contravenes politics and freedom.\(^ {154}\) Totalitarianism, Arendt explained, destroys plurality: in the political realm, individuals are isolated, and in the private realm, they are doomed to loneliness.\(^ {155}\) A totalitarian government dismantles both the public and private realms. "It is the very nature of totalitarian regimes to demand unlimited power. Such power can only be secured if literally all men, without a single exception, are reliably dominated in every aspect of their life."\(^ {156}\) Hence, under totalitarian rule, the people endure "the experience of not belonging [t]o the world at all, which is among the most radical and desperate experiences of man."\(^ {157}\) The epitome of totalitarianism is the concentration camp, where inmates suffer severe deprivation and isolation and can barely remember political freedom.\(^ {158}\) The inmates are forced to view survival as "the competition of

\(^{146}\) Id. at 68.

\(^{147}\) Id. at 67–68.

\(^{148}\) Id. at 113–14; Bernstein, Rethinking, supra note 25, at 243–45.

\(^{149}\) Arendt, Revolution, supra note 25, at 114.

\(^{150}\) Id. at 253.

\(^{151}\) Id. at 268–69; Kateb, supra note 25, at 19.

\(^{152}\) Arendt, Revolution, supra note 25, at 253.

\(^{153}\) See Kateb, supra note 25, at 17 (discussing "the defense of a constitution or form against internal erosion or external attack").

\(^{154}\) See Arendt, Origins, supra note 25, at 475, 478–79; McGowan, supra note 25, at 29; Villa, Politics, supra note 25, at 187–91.

\(^{155}\) Arendt, Origins, supra note 25, Id. at 475; McGowan, supra note 25, at 16.

\(^{156}\) Arendt, Origins, supra note 25, at 456.

\(^{157}\) Id. at 475.

\(^{158}\) See id. at 437–41; see also McGowan, supra note 25, at 15–16, 29.
all against all, creating a highly atomized society.”159 As Arendt conclud-
ed, “A life without speech and without action . . . is literally dead to the
world; it has ceased to be a human life because it is no longer lived
among men.”160 A concentration-camp survivor, in Arendt’s words, liter-
ally “returns to the world of the living.”161

From Arendt’s perspective, as should now be clear, the deprivation
of political freedom and action is catastrophic, even though she casts the
scope of political action as extraordinarily narrow. Indeed, if one thinks
in terms of republican and pluralist democracies, Arendt’s concept of
politics is neither.162 Unlike republican democratic thinkers, who stressed
the significance of having a relatively homogenous population, Arendt
urged the importance of plurality, which obviously resonates with plural-
ist democracy. But unlike pluralist democratic theorists, Arendt repudiat-
ed a plurality of interests and instead emphasized a plurality of opinions.
Like a republican democratic thinker, Arendt alluded to the common
good, proclaiming that politics should aim to be “for the sake of all,”163
but then she left us with a puzzling tautology, explaining that the com-
mon good equated with political action in pursuit of the common good.164
Like a pluralist democratic theorist, Arendt favored “equal and full par-
ticipation in the political,”165 but then she rejected any pursuit of eco-
nomic well-being in the political realm.166 Arendt would admit that stra-
ategic and instrumental thinking might be appropriate in the social realm,
but they are never acceptable in politics; thus, social science experts who
might guide us in choosing the best means to achieve predetermined ends
can never contribute to political action.167 Ultimately, Arendt scorned
pluralist democracy as a process-structured pursuit of self-interest:

Through pressure groups, lobbies, and other devices, the voters can
indeed influence the actions of their representatives with respect to
interest, that is, they can force their representatives to execute their
wishes at the expense of the wishes and interests of other groups of
voters. In all these instances the voter acts out of concern with his
private life and well-being, and the residue of power he still holds in
his hands resembles rather the reckless coercion with which a black-

160. ARENDT, HUMAN, supra note 25, at 176; see VILLA, POLITICS, supra note 25, at 181–82
(emphasizing the uniqueness of totalitarianism).
161. ARENDT, ORIGINS, supra note 25, at 444.
162. See MCGOWAN, supra note 25, at 81 (discussing the difficulty of categorizing Arendt’s
concept of political action).
163. KATEB, supra note 25, at 14.
164. Arendt is often criticized for emptying political speech of all significant content. See
VILLA, ARENDT, supra note 25, at 36–40–41.
165. MCGOWAN, supra note 25, at 25.
166. Id. at 48–49; cf. id. at 48 (discussing general welfare as economic and thus not appropriate
for political realm). Also, Arendt sometimes suggested that only those able or qualified to be politi-
cal should be allowed to participate in political activity. VILLA, ARENDT, supra note 25, at 35, 39–
40.
167. MCGOWAN, supra note 25, at 61; BERNSTEIN, Rethinking, supra note 25, at 253–54.
mailer forces his victim into obedience than the power that arises out
of joint action and joint deliberation.\textsuperscript{168}

As Arendt peeled away elements of republican democracy and plu-
ralist democracy, her goal emerged, however nebulously. She aimed to
articulate a “pure politics,” an exhilarating communal connection among
political actors.\textsuperscript{169} Individuals engage in political speech or action solely
for the purpose of creating and disclosing their meaningful identities to
other individuals.\textsuperscript{170} They seek to achieve no other ends because if they
do quest after more, then they necessarily corrupt their political actions.
When an individual pursues self-interest, acts instrumentally or strategi-
cally, or seeks economic or social justice, then he or she cannot actualize
meaningful self-disclosure. The true person is obscured. “Without the
disclosure of the agent in the act,”\textsuperscript{171} Arendt wrote, “action loses its spe-
cific character and becomes one form of achievement among others. It is
then indeed no less a means to an end than making is a means to produce
an object.”\textsuperscript{172} When the purity of politics is violated, then political
“speech becomes indeed ‘mere talk,’ simply one more means toward the
end, whether it serves to deceive the enemy or to dazzle everybody with
propaganda.”\textsuperscript{173} Thus, from Arendt’s standpoint, “\textit{Nothing} justifies [po-
litical] action; \textit{nothing} is gained or accomplished through action. Action
is simply the embodiment, the realization, of freedom—and requires
nothing further to be experienced as a good.”\textsuperscript{174}

But there is a problem: Arendt has refined politics to a point so mi-
croscopic that it has perhaps disappeared from view. The concrete occur-
rence of political action would seem to be “almost miraculous.”\textsuperscript{175} In her
effort to lead us toward a potentially exhilarating form of politics, she
instead has led us to a utopian abyss.\textsuperscript{176} If politics is neither the republi-
can democratic pursuit of a substantive common good nor the pluralist
democratic pursuit of self-interest, then what is it? Why would individu-
als engage in political speech, as limited by Arendt? What, exactly,
would they talk about? Arendt would answer: individuals would speak to
achieve self-disclosure. Maybe so, yet one must wonder: would Arendt’s
notion of self-disclosure be enough to prompt political action in a real as
opposed to a utopian world, or would it leave the political realm inert?
Arendt wrote that the “\textit{revelatory quality of speech and action comes to

\textsuperscript{168} ARENDT, REVOLUTION, supra note 25, at 269.
\textsuperscript{169} KATEB, supra note 25, at 21.
\textsuperscript{170} In pure politics, “[a]lthough nobody knows whom he reveals when he discloses himself in
deed or word, he must be willing to risk the disclosure.” ARENDT, HUMAN, supra note 25, at 180.
\textsuperscript{171} Id.
\textsuperscript{172} Id.
\textsuperscript{173} Id.
\textsuperscript{174} Id.
\textsuperscript{175} See McGOWAN, supra note 25, at 61 (emphasis added).
\textsuperscript{176} BERNSTEIN, Rethinking, supra note 25, at 243 (describing manifestations of the “\textit{revo-
olutionary spirit}” as “almost miraculous”).
\textsuperscript{176} See McGOWAN, supra note 25, at 34.
the fore where people are with others and neither for nor against them—that is, in sheer human togetherness.”\footnote{177} Sheer human togetherness? Is Arendt, here, urging us toward political action or some type of unformulated communal ecstasy?\footnote{178}

\section*{C. Eric Voegelin}

Like Strauss and Arendt, Voegelin criticized modernity. He argued that individuals always view their respective societies as structured or ordered so as to be endowed “with meaning in terms of ends divine and human.”\footnote{179} Thus, individuals develop a “self-interpretation” that supposedly reflects the “cosmic order.”\footnote{180} The main problem with modernity, according to Voegelin, is that its roots are twisted around a cancerous misinterpretation of society vis-à-vis “the transcendent order of being.”\footnote{181}

In particular, modernity has developed askew because of an acceptance of “gnosticism.”\footnote{182} In Voegelin’s terminology, gnosticism is the belief that a particular type of knowledge can enable an individual to attain salvation or deliverance (or escape an alienated existence). Put in different words, gnosticism is “a belief in the power of knowledge to transform reality, to create earthly perfection.”\footnote{183} Modernity evolved as gnostics adopted the concept of Christian (sacred) eschatology and applied it to the saeculum. Christian eschatology posited stages of spiritual advancement culminating in the City of God, but early modernists extended this sacral periodization of time into the secular realm.\footnote{184} The result was a belief in the modernist idea of progress: the conviction that human ingenuity could engender endless advancement in the material world, endless forward movement for humankind.\footnote{185}

As Voegelin phrased it, the idea of “Christian transcendental [or eschatological] fulfilment” had become “immanentized”—brought within

\begin{footnotes}
\item[177] ARENDT, HUMAN, supra note 25, at 180.
\item[179] VOEGELIN, ORDER, supra note 25, at 19.
\item[180] VOEGELIN, NEW, supra note 25, at 167.
\item[181] Id. at 126.
\item[182] Id. at 126.
\item[183] MCALLISTER, supra note 25, at 21; see McKnight, supra note 25, at 60.
\item[185] MCALLISTER, supra note 25, at 22–23; McKnight, supra note 25, at 59.
\end{footnotes}
the reach of humans in “mundane existence.”\textsuperscript{186} And Voegelin here was insistent: “Such an immanentist hypostasis of the eschaton . . . is a theoretical fallacy.”\textsuperscript{187} In the perverted world of modernity, “man assumes God’s role as the primary actor leading history to its culmination (telos), reason rather than revelation becomes the instrument for attaining saving knowledge, and concrete European nation-states become terrestrial paradises in which humanity finds the fulfillment of its highest aspirations.”\textsuperscript{188} In short, the modern world suffers from a spiritual disorder or pathology that confounds the sacred and the secular.\textsuperscript{189}

Of course, Voegelin explained, modernists do not recognize that their idea of progress arises from a perverted gnosticism. They believe that a conviction in progress rests firmly on a rational (and secular) ground. They convince themselves that humanity advances by questioning or doubting religion, but in reality, modernists have immanentized Christian concepts, applying them to the mundane world. Therefore, modernists are hubristic: they display excessive pride in their capabilities to achieve untold tasks that, in actuality, lie beyond their limited powers. Such hubris is most evident in the social sciences. Social scientists mistakenly assume that they can apply the methods of the natural sciences to gain objective knowledge of human society and politics.\textsuperscript{190} But, Voegelin insisted, the insistent application of scientific method leads social scientists to skew their inquiries. Because they practice “value-free science,” which maintains a dichotomy between facts and values,\textsuperscript{191} social scientists treat humans “as discrete objects,” separated “from the transcendental context,” from the spiritual realm.\textsuperscript{192} By necessity, social scientists limit their research goals to fit the constraints of their (scientific or empirical) method, and consequently, they must dismiss (as unscientific) any potential insights gleaned from other methods.\textsuperscript{193}

To be certain, the empiricism of scientific method produces some types of progress, but at a steep cost. We gain formal or instrumental rationality, but lose our substantive moorings. Voegelin wrote:

\begin{quote}
The death of the spirit is the price of progress. Nietzsche revealed this mystery of the Western apocalypse when he announced that God was dead and that He had been murdered. This Gnostic murder is constantly committed by the men who sacrifice God to [secular] civilization. The more fervently all human energies are thrown into the
\end{quote}

\begin{footnotes}
\item[186] VOEGELIN, NEW, supra note 25, at 120; McKnight, supra note 25, at 66.
\item[187] VOEGELIN, NEW, supra note 25, at 120 (emphasis added).
\item[188] McKnight, supra note 25, at 59.
\item[189] See COOPER, supra note 25, at 136; MCALLISTER, supra note 25, at 169–71.
\item[190] See McKnight, supra note 25, at 58–59.
\item[191] MCALLISTER, supra note 25, at 173 (quoting Voegelin).
\item[192] COOPER, supra note 25, at 67–70; MCALLISTER, supra note 25, at 173 (quoting Voegelin).
\item[193] See COOPER, supra note 25, at 68; VOEGELIN, NEW, supra note 25, at 3–26; McKnight, supra note 25, at 55–56.
\end{footnotes}
great enterprise of salvation through world-immanent action, the farther the human beings who engage in this enterprise move away from the life of the spirit. And since the life of the spirit is the source of order in man and society, the very success of a Gnostic civilization is the cause of its decline.194

Thus, modernist societies are spiritually, religiously bankrupt. And to make matters worse, gnosticism encourages people to believe that mundane "ersatz realities" can fill the spiritual "vacuum."195 Modernist societies are, in a sense, "narcissistic": they dwell on humans as the source of salvation (and progress).196 Human inventiveness and ideas replace faith.197 This narcissistic reliance on human ideologies, Voegelin lamented, has led to catastrophic modernist experiments in civilization, including not only Nazism and Communism but also liberalism and democracy.198 "The closure of the soul in modern Gnosticism can repress the truth of the soul," Voegelin wrote, "but it cannot remove the soul and its transcendence from the structure of reality."199

Even so, Voegelin still found that "there is a glimmer of hope" in our situation, though "it will require all our efforts to kindle this glimmer into a flame by repressing Gnostic corruption."200 What must we do to defeat gnostic modernism? Return to the "divine ground of being": we must comprehend the natural order of society.201 For Voegelin, this return, this comprehension, requires a resurrected devotion to Christianity, which he deemed the best interpretation of God and experience.202 Furthermore, Voegelin argued that, by recognizing the fundamental spiritual element of human existence, we could create a "new science of politics."203 To do so, instead of blindly following empirical scientific method, we would need to specify the proper object of study and then develop and apply the best method for understanding that object.204 Given Voegelin's focus on the spiritual, he drew on Aristotelian methodology to describe how people interpret (or self-interpret) the interrelated experiences of the secular and spiritual realms. By developing a "history of order"—a history of how various societies have structured or ordered themselves and have interpreted their "ends divine and human”—

194. VOEGELIN, NEW, supra note 25, at 131. In fact, Voegelin even criticized Strauss and Arendt for each using methods that reproduce gnostic modernism. See COOPER, supra note 25, at 132–39.
196. Id. at 171 (quoting Voegelin).
197. Id. at 22.
198. See McALLISTER, supra note 25, at 172–74; VOEGELIN, NEW, supra note 25, at 164–65, 173–74; see also NASH, supra note 25, at 74 (describing a trend of conservative critics of liberalism in the early 1950s).
199. VOEGELIN, NEW, supra note 25, at 165.
200. Id. at 189.
201. McALLISTER, supra note 25, at 172.
202. Id. at 252; VOEGELIN, NEW, supra note 25, at 163–65.
203. VOEGELIN, NEW, supra note 25, at 3.
204. Id. at 4–5; COOPER, supra note 25, at 68; McKnight, supra note 25, at 55–56.
Voegelin believed that he could help humanity struggle toward a "true order." Most important, this true order would entail a spiritual marshaling because, ultimately, "God and man, world and society form a primordial community of being." To Voegelin, we need to grasp the significance of this intertwined existence of God and humanity and hold it tightly. For without spirituality, Voegelin warned, we are doomed to desolation.

II. THE INFLUENCE OF THE DISSENTERS

Pluralist democracy might be distasteful, with its emphasis on self-interest, its grounding on ethical relativism (tied to empiricism), and its excessive reliance on process. Any governmental system, it seems, must ultimately pursue substantive goals, which implicitly if not explicitly manifest certain values. Is pluralist democracy so bereft of foundational values that it might be unworkable and even dangerous in the long run, as all three political theorists feared? Strauss, Arendt, and Voegelin proffered diverse solutions: Strauss looked to ancient philosophy to provide foundational values; Voegelin believed religion could reconnect us to a spiritual order; and Arendt sought to purify politics of all corrupting forces at the outset. But despite their efforts, one is left with an uncomfortable conclusion: we cannot easily identify a practical alternative to pluralist democracy. Viewed together, Strauss, Arendt, and Voegelin demonstrate a crucial truth about our pluralist democratic world. The transition from republican to pluralist democracy cannot be undone.

Take Voegelin. Compared to Strauss and Arendt, Voegelin was "the mystic." Political salvation, he maintained, lay in religious salvation. To restore "the forces of civilization," we would need to return to a spiritually ordered society. And here lies the problem with Voegelin’s solution. With his emphasis on religion and, more specifically, on Christianity, he appeared to seek a resurrected "medieval order." Unsurprisingly, then, his message appealed to a coterie of "Catholic, traditional conservatives," but it could not captivate a more diverse group of American intellectuals. And without doubt, most Americans could not abide a historical approach that equated Hitler and Harry Truman as practitioners of gnostic politics—regardless of whether many of those same Americans could believe that "[h]istory is a story told by God." Meanwhile, according to Strauss, philosophy—especially ancient political philosophy—revealed a potential answer to the messy political maneuverings

205. VOEGELIN, ORDER, supra note 25, at 19.
206. Id. at 39.
207. MCALLISTER, supra note 25, at 222.
208. VOEGELIN, NEW, supra note 25, at 189.
209. VOEGELIN, ORDER, supra note 25, at 22.
210. MCALLISTER, supra note 25, at 252, 271, 297 n.1; NASH, supra note 25, at 536.
211. MCALLISTER, supra note 25, at 252; see NASH, supra note 25, at 74; VOEGELIN, NEW, supra note 25, at 170–73.
that infected modernity. He repudiated pluralist democracy and, like Voegelin, sought to lead us on "a return to origins." Whereas Voegelin sought to resurrect a medieval Christian order, Strauss sought to resurrect republican democracy. But Strauss's desired republican democratic regime could not skirt an overwhelming obstacle: it did not culturally and socially fit Strauss's twentieth-century world. Finally, Arendt, too, repudiated pluralist democracy, yet she refused to attempt the full reversal of direction necessary for a return to either a republican democratic regime or a Christian order. Instead, she imaginatively tried to reconceptualize politics to avoid the pitfalls of both republican and pluralist democracies. For Arendt, politics rather than philosophy or religion provided the solution for the ills of modernity. But Arendtian politics was so purified of the issues usually associated with political debate that one would be hard-pressed to find any practical implications for American government. In the end, despite Strauss's, Arendt's, and Voegelin's contrasting tendencies—Strauss favoring ancient philosophy; Arendt favoring politics; and Voegelin favoring religion—none of them was able to provide a ready alternative to pluralist democracy.

I do not mean to suggest, though, that the three were not influential. As mentioned, Voegelin, without exerting wide political pull, appealed to a handful of traditionalist conservatives. The sheer impenetrability of his writings undoubtedly dissuaded some other potentially sympathetic readers. Displaying an astounding erudition in philosophy, religion, and history, Voegelin was compelled to coin numerous terms and phrases to communicate his complex thoughts; to understand Voegelin, then, one needs to penetrate this unique vocabulary. For that reason, his expositors often include a glossary of Voegelinian terminology to enlighten wary readers. Even so, despite the denseness of Voegelin's writings, Time remarkably featured him in a 1953 article, but alas, frustrated magazine readers responded with letters condemning the article as "gobbledygook," "revolting," and "garbled nonsense."

Arendt, no easy read herself, claimed to focus more on politics than philosophy, yet her political theory had little connection to social reality.

212. See Villa, Arendt, supra note 25, at 8.
213. "While Arendt is unquestionably antimodern in a broad sense, she hardly shares the cultural conservative's wish to return to the premodern. Arendt refuses to deal in this type of nostalgia." Id. at 174. Yet, as Villa discusses, some commentators have characterized Arendt as also attempting to resurrect the civic republican tradition. Villa, Politics, supra note 25, at 165–66.
214. See Villa, Politics, supra note 25, at 155–56 (contrasting Strauss's turn to philosophy and Arendt's turn to politics).
215. The three thinkers, though, also overlapped significantly. For instance, Arendt might have favored politics, but she was an accomplished political philosopher. Voegelin might have favored religion, but his erudition on political philosophy was unsurpassed. Strauss might have favored ancient philosophy, yet he demonstrated great respect for religious traditions.
218. Federici, supra note 25, at xxiv, 192 n.8.
Consequently, her abstractions have not significantly swayed politicians or political advocates. Regardless, her writings have been heralded within the world of political theory. Most notably, her conception of a pure politics influenced the German philosopher Jürgen Habermas’s development of his renowned communication theory. Habermas posited the existence of an ideal speech situation: a counterfactual intersubjective encounter that is cleansed of domination, coercion, and other distortions arising from economic power and strategic maneuvering. The ideal speech situation, as such, “makes possible unforced universal agreement.” A consensus that emerges from the ideal speech situation reflects only the force of the best argument and thus allows us to identify truth and normative legitimacy. Thus, just as Arendt aimed for a politics purified of extraneous considerations, Habermas aimed for communication purged of distorting material and strategic forces. Habermas then built on this theory of communicative action to articulate a discourse theory of democracy, grounded on his notion of an “ideal communication community.”

Strauss is unique, being the only of the three émigrés to have wielded substantial influence in the concrete world of American politics. Numerous neoconservatives have drawn sustenance from Strauss’s thought. Of course, one should not mistake influence for intent. Strauss rarely wrote with the purpose of directly intervening in American political debates, though he was not apolitical. Despite the ambiguities in his writings, Strauss’s imprint of the common good, virtue, and natural right manifested unmistakably conservative political leanings. He admitted that the pursuit of virtue and the common good might require the exclu-

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219. MCGOWAN, supra note 25, at 98.
220. See Jürgen Habermas, Hannah Arendt’s Communications Concept of Power (Thomas McCarthy trans.), reprinted in HANNAH ARENDT: CRITICAL ESSAYS 211, 212–14 (Lewis P. Hinchman & Sandra K. Hinchman eds., 1994) (tying Habermas’s theory of communicative action to Arendt’s political theory).
223. Id. According to Habermas, “all speech acts oriented to reaching understanding” presuppose the validity claims of sincerity, truth, and normative legitimacy. JÜRGEN HABERMAS, REASON AND THE RATIONALIZATION OF SOCIETY 307 (1984) (emphasis added). We presuppose, in other words, that speakers sincerely assert the truth and normative legitimacy or rightness of their propositions. Id.
226. See MCALLISTER, supra note 25, at 221.
227. See PANGLE, supra note 25, at 83–85; cf. DRURY, supra note 25, at xi (noting that even Strauss’s students disagree about his meaning); STRAUSS, LIBERALISM, supra note 25, at v–viii (discussing the difficulty of even defining liberalism and conservatism).
sion of some individuals from the polity, as well as the coercive control of others. An individual "cannot achieve the perfection of his humanity," cannot be virtuous, "except by keeping down his lower impulses." To control such lower impulses, Strauss argued, sometimes demands coercion. Hence, rather than stressing the maximization of freedom and autonomy, Strauss suggested that coercion sometimes amounts to the common good. "Justice and coercion are not mutually exclusive; in fact, it is not altogether wrong to describe justice as a kind of benevolent coercion. Justice and virtue in general are necessarily a kind of power. To say that power as such is evil or corrupting would therefore amount to saying that virtue is evil or corrupting." In light of such sentiments, Strauss inclined predictably toward other conservative outlooks. He was adamantly anti-communist and was far more elitist than egalitarian, stressing that not everyone is equally virtuous. On this point, Strauss’s notion of political practice can be fruitfully contrasted with that advocated by Arendt.

For Arendt, a healthy politics is an agonistic politics of open, never-ending debate; a politics that takes place in a public realm free of force and coercion, upon a 'stage' suitable for the expression of human plurality and civic equality. For Strauss, a healthy politics is one in which the gentry or gentlemen rule; in which the passions of the demos are restrained by the virtues of their betters; in which enough order and freedom are present for the pursuit of philosophy; and in which philosophers can stand as potential 'umpires' over political-moral disputes.

Regardless of Strauss's intentions and the sparseness of his overtly political writings, he has wielded considerable sway as the so-called "godfather" of neoconservatism. Neocons such as Irving Kristol and Allan Bloom echoed Straussian themes with their attacks on the ethical relativism of modernity and the substantive vacuity of pluralist democracy. They called for a renewed "moral clarity" that harkened back to the

228. See STRAUSS, NATURAL, supra note 25, at 130–32.
229. Id. at 132.
230. Id. at 132–33.
231. Id. at 133.
232. Tarcov & Pangle, supra note 25, at 933. "[I]t could no longer be denied that Communism will remain, as long as it lasts in fact and not merely in name, the iron rule of a tyrant . . . ." STRAUSS, CITY, supra note 25, at 5.
233. STRAUSS, NATURAL, supra note 25, at 134–35, 140–1. The masses form and follow opinions, but the elite seek the truth. See id. at 12.
republican democratic concepts of virtue and the common good. They denounced liberal social engineering programs, such as affirmative action, as being grounded on falsities propagated by hubristic social scientists. Moreover, because the neocons exerted political power during Republican presidencies, several neoconservative oriented Justices have been appointed to the Supreme Court and have subsequently brought neoconservative themes to bear in their decisions and opinions, especially those related to constitutional jurisprudence. The now standard conservative invocation of originalism denotes a desire to return to a pre-1937 republican democratic style of judicial review. For instance, the neoconservative emphasis on virtue and moral clarity has surfaced in several free expression-religious freedom cases where the Court has required the government to provide funding or public building access to organizations spreading religious messages. In the Establishment Clause context, neoconservative Justices, such as Clarence Thomas and Antonin Scalia, have attacked the ‘wall’ metaphor, which suggests that church and state are strictly separated. Maintaining that the wall metaphor is too hostile to religious values, they instead have advocated for non-preferentialism: the government, according to this viewpoint, cannot prefer one religion over another but otherwise can favor religion over irreligion. Supposedly following an originalist approach, Thomas has gone so far as to argue that state and local governments should constitutionally permitted to establish religion. In short, while pluralist democracy remains predominant, the émigrés’ dissenting voices have made (and continue to make) their marks in American political thought and government.


