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Room-Temperature Electron Spin Dynamics in Free-Standing ZnO Quantum Dots

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Conduction band electrons in colloidal ZnO quantum dots have been prepared photochemically and examined by electron paramagnetic resonance spectroscopy. Nanocrystals of 4.6 nm diameter containing single S-shell conduction band electrons have $g^c = 1.962$ and a room-temperature ensemble spin-dephasing time of $T_2^* = 25$ ns, as determined from linewidth analysis. Increasing the electron population leads to increased $g^c$ and decreased $T_2^*$, both associated with formation of P-shell configurations. A clear relationship between $T_2^*$ and hyperfine coupling with $^{67}$Zn(I = 5/2) is observed.

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Electron spins in semiconductor quantum dots (QDs) are promising candidates for information processing using quantum particles (quantum computation) [1]. An attraction of this motif is the slower spin-dephasing predicted upon electron confinement. In QDs, dephasing mechanisms involving spin-orbit coupling are believed to be suppressed, making electron-nuclear hyperfine coupling the dominant source of spin relaxation [2,3]. In this Letter, we describe the use of electron paramagnetic resonance (EPR) spectroscopy to probe electron spin dynamics in colloidal QDs of ZnO, a prototype wide-gap semiconductor. With its well-defined doping and defect chemistry, suitability for transparent high-power high-conduction, and ability to lase at ultraviolet (UV) wavelengths, ZnO is attractive for many potential applications [4]. Recently, relatively long room-temperature spin-dephasing times ($T_2^* \leq 0.19$ ns) have been measured for bulk and epitaxial n-type ZnO using time-resolved Faraday rotation (TRFR) spectroscopy [5], but to date no studies of spin dynamics in ZnO nanostructures have been reported. Here, $T_2^*$ has been measured at room-temperature for freestanding ZnO QDs containing between 1 and 6 additional conduction band electrons. Values up to 25 ns, or $\sim 125$ times longer than in bulk or thin-film ZnO, are observed. The hypothesis that electron-nuclear hyperfine interactions dominate spin-dephasing dynamics is confirmed directly by variation of the $^{67}$Zn(I = 5/2) content.

Freestanding ZnO QDs capped with trioctylphosphine oxide (TOPO) and suspended in toluene were prepared, charged, and characterized as described previously [6,7]. Conduction band electrons ($e_{cB}$) were generated by UV irradiation in the presence of ethanol, a hole scavenger [8], under rigorously anaerobic conditions [7].

Figure 1(a) shows a photograph of as-prepared (ZnO) and charged ($e_{cB}$; ZnO) colloidal ZnO QDs. Figure 1(b) shows 298 K electronic absorption spectra collected before and after UV irradiation. With irradiation, the first excitonic peak in the UV was bleached, and a broad NIR absorption band of comparable oscillator strength appeared. The bluish hue visible by eye in the charged nanocrystals is due to tailing of this NIR band into the visible region. These spectral changes, prominent in the absorption difference spectrum (charged—as-prepared, Fig. 1(c)), agree well with those reported previously [7,9,10]. The UV bleaching has been attributed to electron filling of the conduction band, and the new NIR intensity to dipole-allowed intra-conduction-band excitation [9,10]. The multiple sharp absorption peaks in the NIR are solvent vibrational overtones. When kept anaerobic, the charged ZnO nanocrystals are kinetically very stable ($k_{\text{decay}} < 0.01/\text{week at 298 K}$), but they return rapidly and com-

FIG. 1 (color online). (a) Photographs of as-prepared and charged colloidal ZnO QDs. (b) The 298 K absorption spectra of as-prepared (solid line), charged (solid line, arrows), and reoxidized (filled circles) colloidal ZnO QDs. (c) Difference absorption spectra showing UV bleaching and IR absorption with charging (solid line, arrows) and reversal with reoxidation (filled circles). (d) The 298 K EPR spectra of as-prepared (solid line, no signal), charged (solid line), and reoxidized (filled circles) colloidal ZnO QDs.
pletely to their initial forms upon exposure to air (gray dots in Fig. 1(b) and 1(c)). This facile reversibility demonstrates that no photodegradation occurs under these charging conditions.

Figure 1(d) shows the 298 K X-band (9.5 GHz) EPR spectra of colloidal ZnO nanocrystals before and after charging. The as-prepared ZnO nanocrystals showed no EPR signal. After UV irradiation, an intense new EPR signal at \( g^* = 1.96 \) was detected, similar to those reported in nano- and microcrystalline aggregates of ZnO [11–13]. Since n-type grain-boundary defects are abundant in ZnO aggregates and may severely complicate analysis of their EPR spectra, TOPO-capped ZnO nanocrystals [6,7] were used here to ensure that the physical properties observed are those of the freestanding QDs. The deviation from \( g_e = 2.0023 \) indicates that the new EPR signal in Fig. 1(d) does not originate from deeply trapped electrons. From \( K \cdot P \) treatment of the ZnO band structure (Eq. (1), [13,14]), the reduction of \( g^* \) derives from the combination of interband mixing (\( P \)) and spin-orbit coupling (\( \Delta \)), both of which are relatively small in ZnO. From Eq. (1), \( g^* \) also depends on QD diameter since the energy gap (\( E_g \)) is size dependent [13,14]. As with the NIR absorption, the \( g^* = 1.96 \) signal disappeared upon exposure of the charged QDs to air (Fig. 1(d)). Collectively, the data in Fig. 1 confirm that the added electrons reside in the ZnO QD conduction band. One electron in a \( d = 4.6 \) nm QD corresponds to a carrier density of \( 2 \times 10^{19} \) cm\(^{-3} \).

\[
g^* = g_e - \frac{2}{3} \left( \frac{P^2 \Delta}{E_g (E_g + \Delta)} \right)
\]

Both the NIR absorption and \( g^* = 1.96 \) EPR intensities increase with increasing UV irradiation times. Interestingly, plots of EPR vs NIR intensities are not linear. To understand this observation, the average number of electrons per ZnO nanocrystal (\( \langle n \rangle \)) was determined by chemical titration for several samples by titration with recrystallized methyl viologen dichloride [15]. Whereas the rate of change of the integrated NIR intensity increases slightly with increasing \( \langle n \rangle \), the data in Fig. 2(a) show a pronounced curvature in the EPR intensity with increasing \( \langle n \rangle \). Tight-binding calculations [16] describe the lowest conduction energy levels of ZnO quantum dots as having \( S, P, \) and \( D \) symmetries with orbital degeneracies of 2, 6, and 10, respectively. To analyze the data in Fig. 2(a), it was assumed that electron filling of these levels in each QD follows the Aufbau principle (as observed with electrochemical charging [16]) and Hund’s rules, and that Poissonian statistics govern electron distributions over the ensemble of QDs. To test these assumptions, spin-weighted Poissonian population distributions were calculated using Eq. (2). Here, \( n \) is the number of electrons in a given QD and \( \alpha \) represents the number of possible EPR transitions allowed (\( \Delta M_e = \pm 1 \)) in the resulting multielectron configuration. Equation (2) thus allows estimation of the EPR intensity as a function of average electron occupancy \( \langle n \rangle \) within the Poissonian filling model.

\[
P(\alpha; n; \langle n \rangle) = \frac{\alpha^{\langle n \rangle} e^{-\langle n \rangle}}{n!}
\]

The sum of spin-weighted populations calculated for all of the EPR active configurations \( S(S = 1/2) \), \( P(S = 1/2, 1, 3/2) \), and \( D(S = 1/2, 1, 3/2, 2, 5/2) \) is plotted vs \( \langle n \rangle \) in Fig. 2(b). The calculated curve reproduces the experimental data (Fig. 2(a)) remarkably well. The minor differences in curvature are reasonable given the simplicity of the model, since non-Poissonian populations due to the finite particle size distribution may be reasonably anticipated. Notably, curves calculated excluding either \( S = 1 \) (non-Kramers) or all \( S > 1/2 \) configurations fail to reproduce the experimental curvature of Fig. 2(a) adequately, suggesting that zero-field splittings of the \( S > 1/2 \) configurations are small relative to the X-band photon energy. The curvature in Fig. 2(a) thus ultimately reflects differences between unpaired and total (\( \langle n \rangle \)) electron populations in charged QDs with level filling governed predominantly by Poissonian statistics. These conclusions are supported by use of the same statistical model to analyze the change in NIR intensity with \( \langle n \rangle \), which yields \(-0.25 \) for the relative \( S-P; P-D \) oscillator strengths, in good agreement with the published ratio \((-0.33) \) [17].
The $e_{CB}^-$ EPR signal is substantially broader than that of the internal reference DPPH (diphenylpicrylhydrazyl radical) at all values of $\langle n \rangle$ (Fig. 2(c)). Although the EPR line shapes are nearly Lorentzian, analysis reveals some inhomogeneous broadening, as might be expected from the finite QD size distribution and the size dependence of $g^*$ (Eq. (1)). For analysis of the linewidths, the homogeneous ($\Gamma_L$, Lorentzian fwhh) and inhomogeneous ($\Gamma_{\Delta e^*}$, Gaussian standard deviation) contributions to the lineshape in each EPR spectrum were therefore deconvolved. The resulting homogeneous linewidths are plotted vs $\langle n \rangle$ in Fig. 2(d). Whereas $\Gamma_L$ increases with increasing $\langle n \rangle$, $\Gamma_{\Delta e^*}$ remains small and nearly constant (1.5 $< \Gamma_{\Delta e^*} < 3.3$ G) over the entire range of $\langle n \rangle$ [18]. This broadening and the concomitant $g^*$ shift (Fig. 2(e)) with increasing $\langle n \rangle$ are discussed in more detail below.

The $e_{CB}^-$:ZnO EPR linewidths are of fundamental importance since they directly reflect electron spin dynamics. The longitudinal relaxation time ($T_1$) for the charged ZnO QDs was investigated by pulse saturation recovery (Fig. 3(a)) [19] and saturation rollover EPR measurements (not shown). Both experiments show $T_1$ to be on the microsecond time scale at 298 K. To a good approximation, $\Gamma_L$ is then related to the ensemble spin-dephasing time $T_2^*$ according to Eq. (3).

$$T_2^* = \frac{2\hbar}{g_e \mu_B \Gamma_L}$$  \hspace{1cm} (3)

In contrast with GaAs, InAs, and CdSe QDs, spin dephasing has been associated with the electron-nuclear hyperfine interaction [2,3], which is large in these lattices because many of their ions have nuclear spin. Following Ref. [2], $T_2^*$ in this scenario depends on the strength and number of hyperfine interactions in the QD as described by Eq. (4), where $N_j$ is the total number of ions in the QD, $n$ is the number of ions in the unit cell, $I^j$ is the nuclear spin on the $j$-th ion, and $A^j$ is the hyperfine coupling constant at the $j$-th ion, and the sum is over all ions in the unit cell.

$$T_2^* = \frac{3N_L}{2n \sum_j I^j (I^j + 1) A^j}$$  \hspace{1cm} (4)

In addition to hyperfine coupling, overcharging is very effective in reducing $T_2^*$. The vertical dashed line in Fig. 3(d) plots $T_2^*$ vs ($^{67}$Zn) for the three $\langle n \rangle = 2$ QD samples from Fig. 3(b). $T_2^*$ decreases from 18 to 13 ns upon increasing ($^{67}$Zn) from 4.1 to 9.6%. The solid line shows the best fit of the function $T_2^* = (c\sqrt{\langle 67\text{Zn} \rangle})^{-1}$ (from Eq. (4)) to the data, where $c$ is a constant. The excellent fit demonstrates that hyperfine coupling with $^{67}$Zn is responsible for the majority of the room-temperature $e_{CB}^-$ EPR linewidth (and hence also $T_2^*$) in these $e_{CB}^-$:ZnO QDs. Extrapolation of the fit from Fig. 3(d) allows estimation of $T_2^* = 100$ ns for $\langle n \rangle = 2$ and $\langle 67\text{Zn} \rangle = 0$. These results indicate that long room-temperature electron spin-dephasing times can be achieved in QDs by chemical modification to eliminate ions with $I \neq 0$.

In addition to hyperfine coupling, overcharging is very effective in reducing $T_2^*$. The vertical dashed line in
In summary, electron spin dynamics in ZnO QDs have been probed by EPR spectroscopy. $T_2^*$ values up to 25 ns at 298 K have been observed, and a clear relationship between $T_2^*$ and $e_{CB}^{67}$Zn hyperfine coupling has been demonstrated. These results point to chemical control over $^{67}$Zn as a promising avenue for increasing $T_2^*$ in ZnO QDs. More generally, chemical preparation of freestanding charged QDs has been shown to offer rich opportunities for exploration of spin dynamics in semiconductor nanostructures related to quantum computation and spintronics.

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[18] Control experiments show that these EPR spectra are independent of QD concentration in our experimental range, ruling out artifacts from interparticle interactions.