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A New Perspective on Visual Word Processing Efficiency

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Abstract

As a fundamental part of our daily lives, visual word processing has received much attention in the psychological literature. Despite the well established advantage of perceiving letters in a word or in a pseudoword over letters alone or in random sequences using accuracy, a comparable effect using response times has been elusive. Some researchers continue to question whether the advantage due to word context is perceptual. We use the capacity coefficient, a well established, response time based measure of efficiency to provide evidence of word processing as a particularly efficient perceptual process to complement those results from the accuracy domain.

Keywords: word perception, word superiority effect, capacity coefficient

1 As a fundamental part of our daily lives, visual word 29
2 processing has received much attention in the psycholog- 30
3 ical literature. However, the interest in visual word per- 31
4 ception extends beyond its value in communication. The 32
5 written word is a complex stimulus with which most adults 33
6 have a large amount of experience. Unlike faces, there is 34
7 no reason to believe we have any innate ability to perceive 35
8 words. Thus, word perception may represent the limit of 36
9 perceptual learning in the absence of innate ability. 37

10 Due to the relative ease with which most adults read, 38
11 it is reasonable to assume that word perception is an ef- 39
12 ficient process. This is further supported by the intuition 40
13 that with more experience with a process we become more 41
14 efficient and we are quite experienced with the written 42
15 word. Often, the efficiency is measured using single letter 43
16 perception as a base line. When word context offers an 44
17 advantage in the accuracy or processing time of perceiv- 45
18 ing a letter, this supports the claim that word perception 46
19 is efficient. 47

20 From the early days of experimental psychology, re- 48
21 searchers have been interested in the value of a word con- 49
22 text for perceiving letters. In one study, letters were dis- 50
23 played sequentially to participants at faster and faster rates 51
24 until they could no longer correctly identify the letters. 52
25 They found that participants maintained accuracy with 53
26 shorter durations when the letters were presented as part 54
27 of a word compared with random letter sequences (Cattell, 55
28 1886). 56

One problem with studies of this nature is that they do not control for the fact that forcing a string to be a word constrains the number of possible letters in the string. Hence, it is not clear from those early results whether the advantage is a perceptual advantage or a decisional advantage. For example, if the last letter of a four letter word is “h”, then the second to last is most likely an “s”, “t” or “c.” Thus, there is redundant information about the identity of the second to last letter: both the perceptual information about the shape of that letter and the decisional information about the letter conditioned on the last letter being an “h.” If random letter strings are used, there is no longer the same constraint on the likely identity of the second to last letter: “x” is just as likely as “s” so the only information is the perceptual information about the second to last letter.

In the late 1960’s an alternative task was designed to eliminate the decisional advantage of word context so as to examine the perceptual effects. In this task a letter or word was tachistoscopically displayed to a participant. Participants then chose from two possible choices, one of which was correct. In the letter condition, the choices were letters. In the word condition, both choices were words that differed in only a single letter. This design is depicted in Figure 1. Since both alternatives were words, the word context was no longer informative as to the identity of the letter. Participants were still more accurate at perceiving letters in the word condition than the letter condition (Reicher, 1969). Furthermore, they found that participants are also more accurate when identifying letters in words than random letter sequences. This is known as the word superiority effect.

In a follow-up paper, Wheeler (1970) falsified a number of alternative explanations for the word superiority effect. One possible explanation that Wheeler tested was

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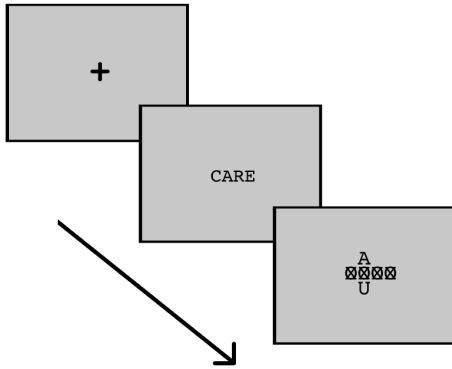


Figure 1: An example trial in the Reicher (1969) task. The participant initiated stimulus onset and the presentation time was calibrated such that participants had 90% accuracy.

that the fact that response choices in the Reicher task were letters, the choices may interfere more with letter stimuli than word stimuli. That interference could lead to worse performance when the stimuli were letters, and hence result in a word superiority effect. Although Wheeler found evidence for interference from the response choices, when the responses were delayed long enough such that there was no longer an effect of increased delay there was still a word superiority effect. In the task described below, we use a different method to eliminate this alternative: We use word responses to word stimuli and letter responses to letter stimuli. A second possible explanation Wheeler tested, which also foreshadows our experimental design, is that people may focus their attention on only the positions within a word that disambiguate that word with its orthographic neighbors. For example, the word “wren” can be morphed into “when” by changing the second letter, but cannot be changed into another word by changing the last letter. Like Wheeler, we use words that can be morphed into another word by a single letter change in any position within the word.

An efficiency gain of context over letters alone is not unique to words. If a sequence of letters conformed to the pronunciation rules of English (pseudoword), then participants were again more accurate than letters alone (e.g., McClelland & Johnston, 1977; Carr et al., 1978). This is the pseudoword superiority effect. Researchers have even found a superiority effect for familiar acronyms and initialisms such as DVD (Laszlo & Federmeier, 2007), however unfamiliar sequences of letters tend to be as bad or perhaps worse than letters alone (e.g., Reicher, 1969).

Despite the robustness of the word and pseudoword superiority effects, a comparable effect using response times (and controlling for decisional information due to context) has been elusive. In many studies, response times were

not recorded or at least not reported (e.g., Estes & Brunn, 1987; Allport, 2009; Ferraro & Chastain, 1997).² Wheeler (1970), for example, found that response times to words were slower than response times to letters, regardless of whether responses were correct or incorrect. The absence of a response time word superiority effect may be in part explained by the possibility that people will read an entire word even if the task does not require it. Indeed, the concept that words are always fully read has been put forth as further evidence that word perception is special (LaBerge & Samuels, 1974). One of the goals of this paper is to demonstrate a response time based word superiority effect, and possibly a pseudoword superiority effect as well.

The word superiority effect has another limitation, it has only been found with stimulus masking. When the stimulus screen is followed by a blank screen, letters can be identified with the same accuracy whether the letters were alone or in a word context (Johnston & McClelland, 1973; Massaro & Klitzke, 1979).

Even in the accuracy domain, some researchers continue to question whether there is a *perceptual* advantage due to word context. For example, Pelli et al. (2003) demonstrated evidence for a model of word perception in which letters are perceived independently and with separate detection decisions on each letter. Their evidence comes from comparing the efficiency of word perception as the number of letters in the word increases. Depictions of longer words have more information about their identity, since the more letters that are known, the fewer possibilities there are for the others. Hence, if a person is able to take advantage of this global information, they should need less per letter information as the number of letters increases. However, a model of word perception based on independent, separate decisions on the letters predicts that as the word length increases, the reader will still need the same amount of information per letter to maintain accuracy. In fact participants did need roughly the same amount of per letter information as the number of letters increased, supporting the latter model.

Pelli et al. (2003) were not the first to propose an independent parallel processing model for word perception. Massaro (1973) and Estes (1975), for example, proposed models in which letters are independently recognized during an initial stage, then word level information is used in a second stage. The second stage of processing accounts for the word superiority effect without appealing to dependence among the perception of the letters in the early stage and without any word to letter level feedback.

In the next section we describe the capacity coefficient, a response time based measure of efficiency. We propose that this measure, along with a task that controls for both the available information and possibly mandatory word

²Krueger (1970) found that participants were faster at searching for target letters in words than letters; however, the search task in which participants are focused on a particular letter differs significantly from the Reicher-Wheeler discrimination tasks.

151 reading, provides evidence of word processing as a partic-194
152 ularly efficient process to complement and extend those195
153 results from the accuracy domain. 196

154 0.1. The Capacity Coefficient 197

155 The capacity coefficient, $C(t)$, is a response time based199
156 measure of the effect of increased load on processing effi-
157 ciency (Townsend & Nozawa, 1995; Townsend & Wenger,200
158 2004; Houpt & Townsend, 2012). Specifically, $C(t)$ is a
159 measure of the change in processing rates as the task re-201
160 quires attention to more targets, or possibly more dimen-
161 sions of a single target. The basic idea of the measure is
162 to compare response times when performing a task with
163 all parts of the stimulus present to the times that would
164 be predicted if each part is processed in parallel, with no
165 difference in speed whether they are alone or with other
166 parts. In terms of word perception, the baseline model for
167 comparison assumes that letters are identified equally as
168 fast when alone or in a word context and, when the letters
169 are in words, they are perceived in parallel. We will refer
170 to this baseline model as the standard parallel model. 211

The capacity function for an exhaustive task is defined
171 using the cumulative reverse hazard function, $K(t) =$
172 $\ln F(t); F(t) = P\{RT \leq t\}$, and is similar to the cumula-
173 tive hazard function used in survival analysis (cf. Chechile,
174 2011). If K_{c1} is the cumulative reverse hazard for the first
175 character response times, K_{c2} is the cumulative reverse
176 hazard for the second character, etc., and K_S is the cumu-
177 lative reverse hazard for the string condition, the capacity
178 coefficient is given by, 220

$$179 C(t) = \frac{\left[\sum_{i=1}^4 K_{c_i} \right]}{K_S}. \quad (1) \quad 223$$

171 More details on the motivation for this particular form and
172 its connection to the baseline model are given in Appendix
173 A. 225

174 Interpretation of the capacity coefficient is based on the
175 participant’s performance relative to the standard paral-
176 lel model baseline. If a person performs better than the
177 standard parallel model, $C(t) > 1$, their performance is
178 referred to as super-capacity. This may happen if there
179 is facilitation of perception between characters. Perform-
180 ance worse than the standard parallel model, $C(t) < 1$,
181 is limited capacity. Inhibition between characters or seri-
182 al processing of each character individually would lead
183 to limited capacity. When performance is about the same
184 as the standard parallel model, $C(t) \approx 1$, then we refer to
185 it as unlimited capacity. 237

186 Houpt & Townsend (2012) developed a null-hypothesis-
187 significance test for workload capacity analysis. If the null
188 hypothesis that the capacity coefficient is equal to one (un-
189 limited capacity) is true then the test statistic will have a
190 standard normal distribution. Conclusions about the ca-
191 pacity coefficient for each individual can be made using a
192 z -test and group level hypothesis can be tested by appro-
193 priately combining individuals’ statistics. Despite the fact

that the capacity coefficient and thus the Houpt-Townsend
statistic are nonparametric, the statistic is quite powerful.
Furthermore, because the measure is not based on partic-
ular distribution of the underlying processes, the conclu-
sions are quite general. Further details of the capacity
coefficient are included in Appendix A.

1. Experiment 1

1.1. Method

To properly compare perceptual efficiency across words,
pseudowords, nonwords, upside-down nonwords and unfam-
iliar characters, our task must eliminate the extra in-
formation available given a word context. Furthermore,
the possibility that words are exhaustively processed au-
tomatically may lead to a disadvantage for words on re-
sponse time measures. To address these issues, we adapted
a task from Blaha (2010) which forces exhaustive process-
ing of the characters in a string using an approach similar
to Baron & Thurston (1973). This experiment consists of
two components. First, we measure the participants’ re-
sponse times to correctly identifying the target string. To
ensure exhaustive processing, i.e., that participants base
their identification on the entire string and not any subset,
we include a distractor of a string with a single character
different in each position in the string. For example if the
target is “care” then “bare,” “cure,” “cave” and “card”
are used as distractors (see Table 1). Second, the partici-
pants distinguish between letters in isolation. Whereas in
the exhaustive case the participant needed to distinguish
between “bare” and “care,” we now only require them to
distinguish between “b” and “c.” The response times on
these tasks are used for computing the predicted perfor-
mance of the standard parallel model. 222

1.1.1. Participants

Participants were recruited from the Indiana Univer-
sity population. Eight females and two males participated
in this study, all of whom were native English speakers and
reported that they did not read or speak Japanese. Their
ages ranged from 19-34. All participants reported having
normal or corrected to normal vision, no difficulty reading
English, and no prior diagnoses of a reading disorder. 233

1.1.2. Stimuli

Table 1 gives the complete list the stimuli used for both
the single character and exhaustive trials for each type.³
There were five types of stimuli used: words, pronounce-
able nonwords (pseudowords), unpronounceable nonwords,
upside-down unpronounceable nonwords, and strings of
Katakana characters. All strings used were four characters

³The use of only one target stimulus per version facilitated the modeling, but in future it will be important to test these effects with a variety of target strings.

	Target		Distractors			Single Character							
Word	care	bare	cure	cave	card	c	b	a	u	r	v	e	d
Pseudoword	lerb	nerb	larb	lemb	lerf	l	n	e	a	r	m	b	f
Non-Word	rlkf	vlkf	rtkf	rlhf	rljk	r	v	l	t	k	h	f	k
Upside-down	ꠘꠗꠗꠗ	ꠘꠗꠗꠗ	ꠘꠗꠗꠗ	ꠘꠗꠗꠗ	ꠘꠗꠗꠗ	ꠘ	ꠗ	ꠗ	ꠗ	ꠗ	ꠗ	ꠗ	ꠗ
Katakana	サイクオ	ヘイクオ	サナクオ	サイフオ	サイクノ	サ	ヘ	イ	ナ	ク	フ	オ	ノ

Table 1: Full set of stimuli used for capacity analysis.

241 long. Word frequency counts (based on Kucera & Fran-
242 cis, 1967) are listed in Appendix B. Pseudowords were
243 taken from the ARC Nonword Database (Rastle et al.,
244 2002). The neighborhood size and summed frequency of
245 the neighbors for each of the pseudowords are also included
246 in Appendix B.

247 Strings and characters were presented in black Courier
248 font on a gray background. Each character subtended
249 roughly 0.33° degrees of visual angle horizontally and be-
250 tween 0.3° and 0.45° vertically. Strings subtended 1.5°
251 horizontally.

252 1.1.3. Procedure

253 All experimental conditions were run using DMDX ver-
254 sion 2.9.06 developed at Monash University and at the Uni-
255 versity of Arizona by K.I.Forster and J.C.Forster. Stimuli
256 were presented on a 17" Dell Trinitron CRT monitor run-
257 ning in 1024x720 mode. Participants used a two-button²⁸⁷
258 mouse for their responses.²⁸⁸

259 Participants were paid \$8 per session, and received a²⁸⁹
260 \$20 bonus upon completion of all 10 sessions. Each ses-²⁹⁰
261 sion lasted between 45 and 60 minutes and was dedicated²⁹¹
262 to one of the five types of stimuli (e.g., word, pseudoword,²⁹²
263 ...), so there were two sessions of each type. At the begin-²⁹³
264 ning of each session, we read the participant the general²⁹⁴
265 instructions for the task while those instructions were pre-²⁹⁵
266 sented on the screen. The instructions encouraged partic-²⁹⁶
267 ipants to respond as quickly as possible while maintaining²⁹⁷
268 a high level of accuracy. Each session was divided into five²⁹⁸
269 blocks, one block of string stimuli and a block for each of²⁹⁹
270 the corresponding single character stimuli.³⁰⁰

271 Each block began with a screen depicting the button³⁰¹
272 corresponding to each of the categories. An example in-³⁰²
273 struction screen is shown in Figure 3. Participants had³⁰³
274 40 practice trials, 20 of each category. Next, participants³⁰⁴
275 were given 240 trials divided evenly between the two cate-³⁰⁵
276 gories, the first 40 of which were not used in the analysis.³⁰⁶
277 The trial structure is show in Figure 2. Each trial began³⁰⁷
278 with a 300 ms presentation of a fixation cross. After a³⁰⁸
279 random delay (300-600 ms), the stimulus was presented³⁰⁹
280 for 80 ms. Participants had a maximum of 2500 ms to³¹⁰
281 respond. If the participant responded correctly, the next³¹¹
282 trial started after a 400 ms delay. If the participant re-³¹²
283 sponded incorrectly, a tone was played during the 400 ms³¹³
284 delay. The session order was counterbalanced among the³¹⁴
285 participants so that participants completed the different³¹⁵
286 types on different days and in different orders.³¹⁶

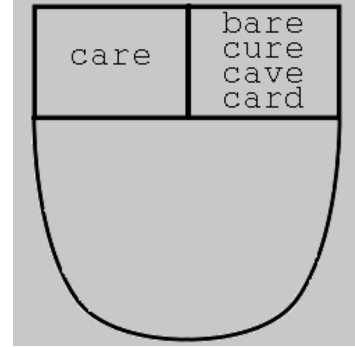


Figure 3: Example instruction screen indicating that the participant should click left if they see care and right if they see bare, cure, cave, or card.

257 1.1.4. Analysis

258 All data were analyzed using R statistical software (R
259 Development Core Team, 2011). We computed a repeated
260 measures ANOVA of the correct target response times in
261 each condition using the ez package (Lawrence, 2012) and
262 capacity analyses were completed using the sft package
263 (Houpt et al., 2013).

264 A repeated measures ANOVA on the string response
265 times (top left of Figure 4) indicated a crossover inter-
266 action between version and target/distractor ($F(4, 36) =$
267 $20.5, p < 0.05, \eta_G^2 = 0.044$) and a significant effect of ver-
268 sion on response time ($F(4, 36) = 22.6, p < 0.05, \eta_G^2 =$
269 0.49) but not a main effect of target/distractor ($F(1, 9) =$
270 $0.685, p = 0.43$). Post-hoc analysis on target response
271 times was done with repeated measures ANOVA on each
272 pair of versions of the task. Using Bonferroni correc-
273 tion ($\alpha = 0.05/20 = 0.0025$), the following comparisons
274 were significant: Word versus Upside-Down ($F(1, 9) =$
275 $50.85, p < 0.0025, \eta_G^2 = 0.529$); Word versus Katakana
276 ($F(1, 9) = 57.56, p < 0.0025, \eta_G^2 = 0.697$); Pseudoword
277 versus Upside-Down ($F(1, 9) = 34.8, p < 0.0025, \eta_G^2 =$
278 0.438); Pseudoword versus Katakana ($F(1, 9) = 53.9, p <$
279 $0.0025, \eta_G^2 = 0.643$); Random versus Katakana ($F(1, 9) =$
280 $22.1, p < 0.0025, \eta_G^2 = 0.398$).

281 The ANOVA on the string condition accuracy (bottom
282 left side of Figure 4) indicated that there was an inter-
283 action between version and target/distractor ($F(4, 36) =$
284 $3.69, p < 0.05, \eta_G^2 = 0.079$) and main effects of both version
285 ($F(4, 36) = 3.64, p < 0.05, \eta_G^2 = 0.11$) and target/distractor
286 ($F(1, 9) = 17.6, p < 0.05, \eta_G^2 = 0.081$). Both the interac-

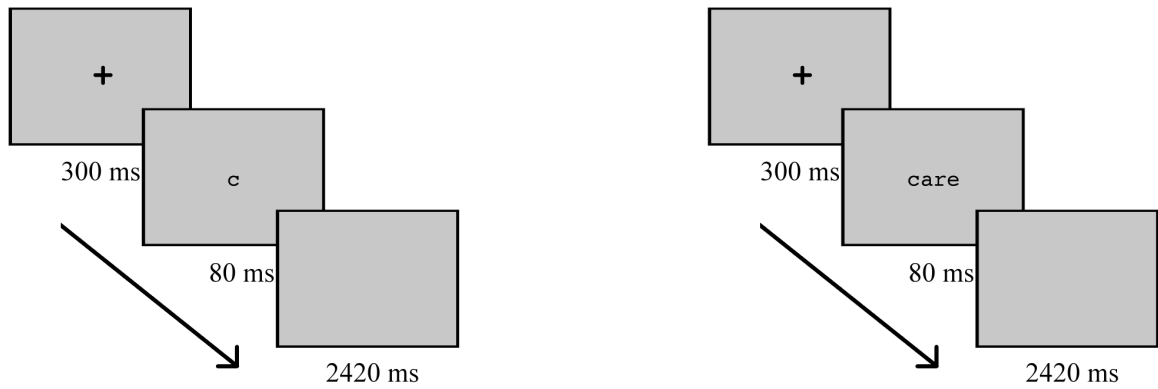


Figure 2: Trial structure for Experiment 1. Trials began with a fixation cross, followed by a blank screen. After a brief, random delay the probe appeared for 80 milliseconds. The probe was followed by a blank screen. Instructions indicating the probe and distractors were given at the beginning of each block.

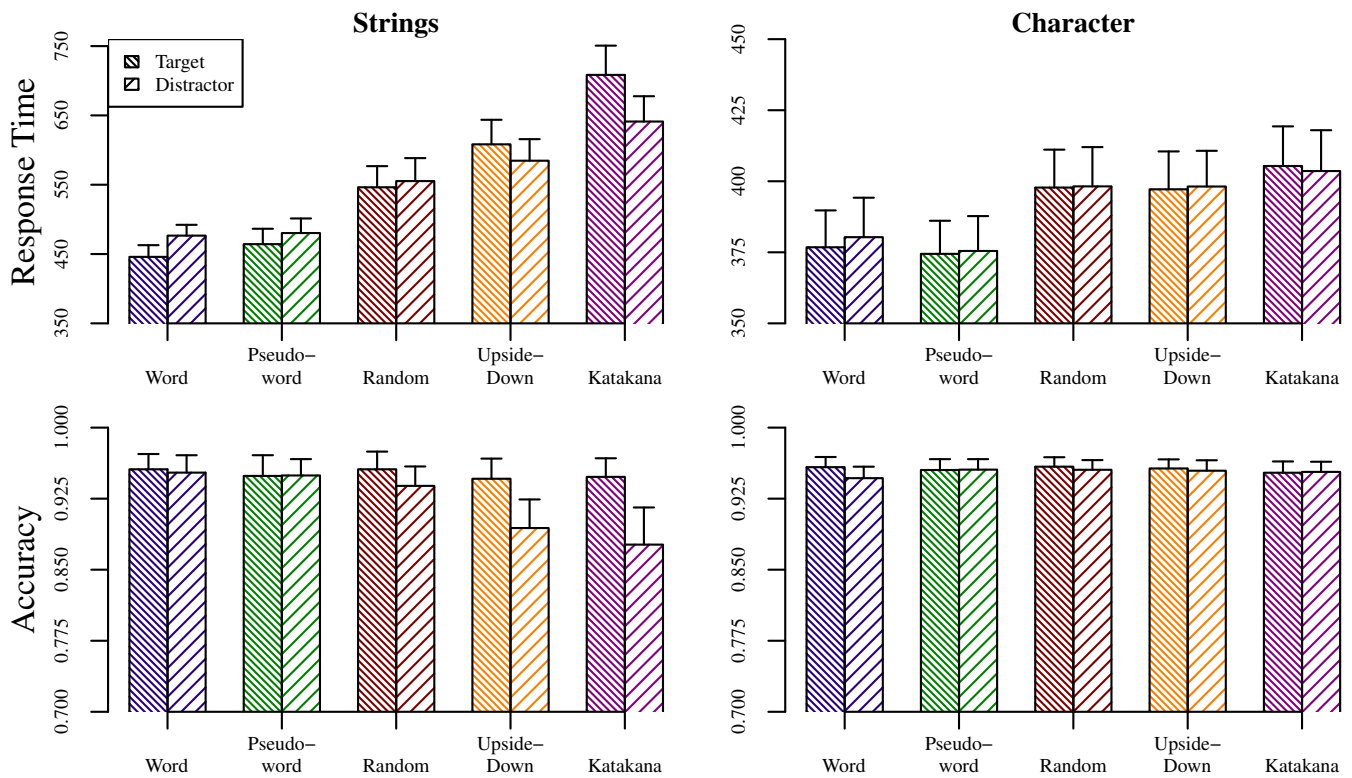


Figure 4: Response times and accuracy from Experiment 1. Error bars indicate the standard error of the mean across trials and participants. The top two graphs show mean response times; the bottom two show accuracy. The left graphs are data from the string tasks; the right graphs are from the corresponding single character tasks. To highlight variation across task version, the character response times are shown on a smaller scale than the string response times. Both accuracy plots are on the same scale.

tion ($W = 0.072, p < 0.05$) and the main effect of version ($W = 0.033, p < 0.05$) failed Mauchly's test of sphericity and only the interaction effect was significant after a Greenhouse-Geisser correction ($GGe = 0.518, p < 0.05$), not version ($GGe = 0.376, p = 0.065$). The effects may be driven entirely by the accuracy on the distractors because there is no significant effect of version when the analysis is limited to the hit rate ($F(4, 36) = 0.411, p = 0.31$).

We found a similar pattern with the single character conditions (right side of Figure 4). There was a significant effect of version on response time ($F(4, 36) = 4.64, p < .05, \eta_G^2 = 0.089$), but the main effect of target/distractor ($F(1, 9) = 0.424, p = 0.53$) and the interaction ($F(4, 36) = 0.335, p = 0.85$) were not significant. Post-hoc analysis on target response times was done using repeated measures ANOVA on each pair of versions. Using Bonferroni correction ($\alpha = 0.05/20 = 0.0025$, the only significant differences in response times were between the letters in the pseudoword and upside-down versions ($F(1, 9) = 20.27, p < 0.0025, \eta_G^2 = 0.098$) and pseudoword and Katakana versions ($F(1, 9) = 20.0, p < 0.0025, \eta_G^2 = 0.092$). The other test results were as follows: Word versus pseudoword ($F(1, 9) = 0.104, p = 0.754$); Word versus Random ($F(1, 9) = 3.29, p = 0.103$); Word versus Upside-Down ($F(1, 9) = 7.55, p = 0.023$); Word versus Katakana ($F(1, 9) = 8.40, p = 0.018$); Pseudoword versus Random ($F(1, 9) = 7.07, p = 0.026$); Random versus Upside-Down ($F(1, 9) = 0.0045, p = 0.948$); Random versus Katakana ($F(1, 9) = 0.592, p = 0.461$). There were not significant effects on accuracy of version ($F(4, 36) = 0.433, p = 0.784$), target/distractor ($F(1, 9) = 4.55, p = 0.062$) and there was no significant interaction ($F(4, 36) = 1.28, p = 0.295$).

Individual capacity coefficients are shown in Figure 5. z -scores for individual and group data, using the statistic in Houpt & Townsend (2012) are shown in Table 2. Each z -score indicates a test of the null-hypothesis that a participant performs equally to a standard parallel model. Significance values are based on a two-sided test. Nearly all participants are significantly different from standard parallel, usually better in the word and pseudoword versions, and worse in the Random, Upside-Down and Katakana versions.

Using repeated measures ANOVA, we found a significant effect of version on capacity ($F(4, 36) = 22.64, p < 0.05, \eta_G^2 = 0.58$). For post-hoc analyses, we used the z -scores resulting from the mean difference between subjects' capacity z -scores in each pair of version of the task. Word capacity was significantly higher than pseudoword capacity ($z = 7.27, p < 0.0025$), random letter capacity ($z = 22.9, p < 0.0025$), upside-down capacity ($z = 36.7, p < 0.0025$), and Katakana capacity ($z = 45.9, p < 0.0025$). Pseudoword capacity was significantly higher than random letter capacity ($z = 15.6, p < 0.0025$), upside-down capacity ($z = 29.4, p < 0.0025$), and Katakana capacity ($z = 38.6, p < 0.0025$). Random letter capacity was higher than upside-down capacity ($z = 13.8, p < 0.0025$), and Katakana capacity ($z = 22.9, p < 0.0025$). Upside-down

capacity was significantly higher than Katakana capacity ($z = 9.19, p < 0.0025$).

1.2. Discussion

Participants responded faster to words and pseudowords than to upside-down nonwords and Katakana strings, following a word and pseudoword superiority effect respectively. However, the comparisons between response times to words and response times to nonwords and pseudowords were not significant, and thus do not indicate superiority effects.

One possible explanation of the basic string response time results is that the individual characters were more difficult to process when they were unfamiliar or upside-down. Even the trend toward faster performance on words compared to nonwords could be due to differences in the speed with which the particular letters are processed: Words tend to contain more common letters and include vowels, compared to unpronounceable random letter sequences and more common letters are perceived faster than less common letters (Appelman & Mayzner, 1981).

Herein lies the advantage of the capacity coefficient. By design, the measure accounts for the processing time of each character in measuring the performance of the string. Despite accounting for faster processing with letters than unfamiliar or upside-down characters, the capacity results still indicate word and pseudoword superiority over Katakana and upside-down strings. Furthermore, unlike the raw response time data, the capacity coefficient indicates word and pseudoword superiority over random letter sequences.

Figure 5 and Table 2 show that there are also superiority effects for words and pseudowords over individual letters, i.e., assuming parallel processing of characters, participants were slower when the characters were presented in isolation rather than in a string. In contrast, capacity for upside-down and Katakana was limited.

Finding word and pseudoword superiority effects with response times, by using workload capacity analysis, is notable because the superiority effects have only been reported in accuracy in the past. Furthermore, the accuracy superiority effects are dependent on post stimulus masking. We have demonstrated a clear superiority of words and pseudowords over single characters, random letter strings, upside-down strings and unfamiliar characters without any masking.

Results from Experiment 1 demonstrate that the capacity coefficient can be used to find a more robust word and pseudoword superiority effects than the traditional Reicher-Wheeler paradigm. With Experiment 2, we verify that the response time superiority effects will hold up in this design when there is post-stimulus masking, as in the original paradigm. Additionally, in Experiment 1, the participants were only shown the instruction screen once, at the beginning of a block. Thus, differences in performance may be due to differences in ability to remember

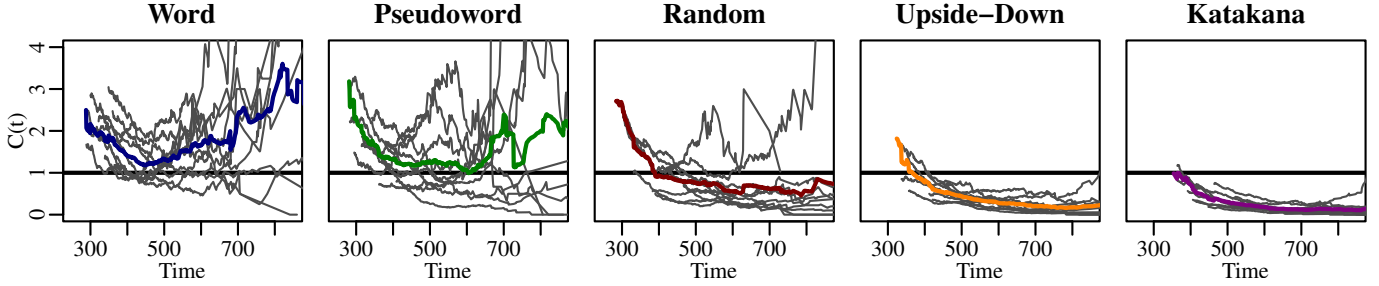


Figure 5: Capacity coefficients for Words, Pseudowords, Random letters, Upside-down random letters and Katakana in Experiment 1. Grey lines indicate individual participants' capacity coefficients and the thick line indicates the average function across participants. The capacity coefficients for each participant are only plotted in regions where reasonable estimates are possible based on individual response time distributions.

	Word	Pseudoword	Random	Upside-Down	Katakana
1	9.97***	3.92***	7.19***	-2.62**	-4.43***
2	11.92***	4.44***	-0.73	-5.95***	-10.02***
3	8.19***	-6.29***	-6.88***	-10.88***	-12.34***
4	0.13	-3.38***	-7.34***	-6.60***	-10.58***
5	0.79	10.70***	-2.36*	-6.27***	-6.86***
6	7.34***	5.19***	10.61***	-2.58**	-11.99***
7	9.34***	3.25**	-2.27*	-2.49*	-5.78***
8	7.17***	7.84***	4.68***	2.86**	-1.79
9	5.71***	13.34***	-8.43***	-9.52***	-7.37***
10	3.88***	2.45*	-2.46*	-7.44***	-9.40***
Group	20.38***	13.11***	-2.52*	-16.28***	-25.47***

Table 2: Workload capacity statistics for each participant in each version of the task in Experiment 1. Under the null hypothesis the limit distribution of the statistic has a standard normal distribution. Significance levels of z -tests are indicated by: *** : $p < 0.001$, ** : $p < 0.01$, * : $p < 0.05$.

the target–response mapping across string type. In Experiment 2, we display the instruction screen on every trial. A final potential issue with Experiment 1 is the use of lower case letters. Words with lower case letters can vary more in their global shape than those with only upper case letters (e.g., “BARD” and “CARE” versus “bard” and “care”). This can bias a participant to use global shape information in distinguishing between letter strings. In Experiment 2, we use the same letter strings, but in upper case.

2. Experiment 2

2.1. Method

2.1.1. Participants

As in Experiment 1, participants were recruited from the Indiana University population. Ten females and 2 males participated in this study, all of whom were native English speakers and reported that they did not read or speak Japanese. Their ages ranged from 19-34. All participants reported having normal or corrected to normal vision, no difficulty reading English, and no prior diagnoses of a reading disorder. None of the participants from Experiment 1 participated in Experiment 2.

2.1.2. Stimuli

The stimuli were essentially the same as those used in the Word, Pseudoword and Random versions in Experiment 1, except with capital letters. Because the main effects of interest are the Word, Pseudoword, and Random versions, we did not run the Upside-down and Katakana versions in Experiment 2.

2.1.3. Procedure

Unlike Experiment 1, the stimuli were immediately followed by a mask made of Xs and Os overlaid in each position that a letter was shown (following Reicher, 1969). To allow participants to maintain high accuracy despite the mask, we increased the stimulus presentation time to 100 ms, which we chose based on pilot data. The trial structure is shown in Figure 6.

2.2. Results

Response times in the string condition (top left of Figure 7), showed a significant effect of version ($F(2, 22) = 12.6, p < 0.05, \eta_G^2 = 0.25$) and a significant interaction between version and target/distractor ($F(2, 22) = 6.36, p < 0.05, \eta_G^2 = 0.0046$), both of which failed Mauchly’s Test for Sphericity (Version: $W = 0.194, p < 0.05$; Interaction: $W = 0.532, p < 0.05$) but both remained significant after a Greenhouse-Geisser correction (Version: $GGe = 0.554, p < 0.05$; Interaction $GGe = 0.532, p < 0.05$). There was not a significant main effect of target/distractor ($F(1, 11) = 0.177, p = 0.68$).

There were also significant effects on accuracy in the string condition (bottom left of Figure 7). Both main effects were significant (Version: $F(2, 22) = 14.42, p <$

$0.05, \eta_G^2 = 0.41$; Target/Distractor: $F(1, 11) = 12.6, p < 0.05, \eta_G^2 = 0.072$) as was the interaction ($F(2, 22) = 5.33, p < 0.05, \eta_G^2 = 0.033$). Again, both version and the interaction failed test for sphericity (Version: $W = 0.132, p < 0.05$; Interaction: $W = 0.531, p < 0.05$) but remained significant after correction (Version: $GGe = 0.536, p < 0.05$; Interaction: $GGe = 0.536, p < 0.05$).

After Bonferroni correction ($\alpha = 0.05/3 = 0.0167$), all but one of the pairwise comparisons on the target data were significant, the comparison of response times in the Word and Pseudoword versions ($F(1, 11) = 6.49, p = 0.027$). Accuracy comparisons: Word versus Pseudoword ($F(1, 11) = 20.0, p < 0.0167, \eta_G^2 = 0.374$); Word versus Random ($F(1, 11) = 20.12, p < 0.0167, \eta_G^2 = 0.475$); Pseudoword versus Random ($F(1, 11) = 13.23, p < 0.0167, \eta_G^2 = 0.293$). Response time comparisons: Word versus Random ($F(1, 11) = 19.5, p < 0.0167, \eta_G^2 = 0.302$), Pseudoword versus Random ($F(1, 11) = 13.0, p < 0.0167, \eta_G^2 = 0.202$).

In the single character condition (right side of Figure 7), there were no significant response effects of target/distractor ($F(1, 11) = 0.413, p = 0.53$), version ($F(2, 22) = 1.59, p = 0.23$) nor any significant interaction ($F(2, 22) = 1.15, p = 0.33$). There was a significant effect of version on response time ($F(2, 22) = 3.48, p < 0.05$) but neither target/distractor ($F(1, 11) = 0.187, p = 0.67$) nor the interaction were significant ($F(2, 22) = 0.0731, p = 0.93$).

Individual capacity coefficients are shown in Figure 8 and z -scores for individual and group data are shown in Table 3. Nearly all participants are significantly better than the standard parallel model in the word and pseudoword versions. In the random letter condition, half of the participants did not have high enough accuracy to apply the capacity coefficient. The accuracy results for these participants indicate limited capacity because the pseudoword string condition had particularly low accuracy at the group level while the letter level accuracy was not significantly different from the other letter conditions. In fact, all participants except 10 and 11 had worse performance on the random letters strings than would be predicted by independent identification of each letter.⁴ However, of those participants that had high enough accuracy, four had significantly super-capacity performance at the $\alpha = 0.05$ level.⁵

⁴This was measured using an accuracy analog to the capacity coefficient: To be correct on the string, one must correctly identify each character. Independent (and unlimited capacity) processing would lead to $P\{\text{Correct}_S\} = P\{\text{Correct}_{c_1}\} \times P\{\text{Correct}_{c_2}\} \times P\{\text{Correct}_{c_3}\} \times P\{\text{Correct}_{c_4}\}$ where S is the string and c_i is the i th character.

⁵An alternative, parametric approach for measuring capacity is given in Eidels et al. (2010) that accounts for both response time and accuracy differences. We attempted to fit their model for analyzing these data but there were too few condition across which we could constrain parameters, leading to unreliable parameter estimates. Townsend & Altieri (2012) provide a generalized capacity coefficient accounting for both accuracy and response time, although we chose not to include it here because it currently lacks a methods for statistical hypothesis testing.

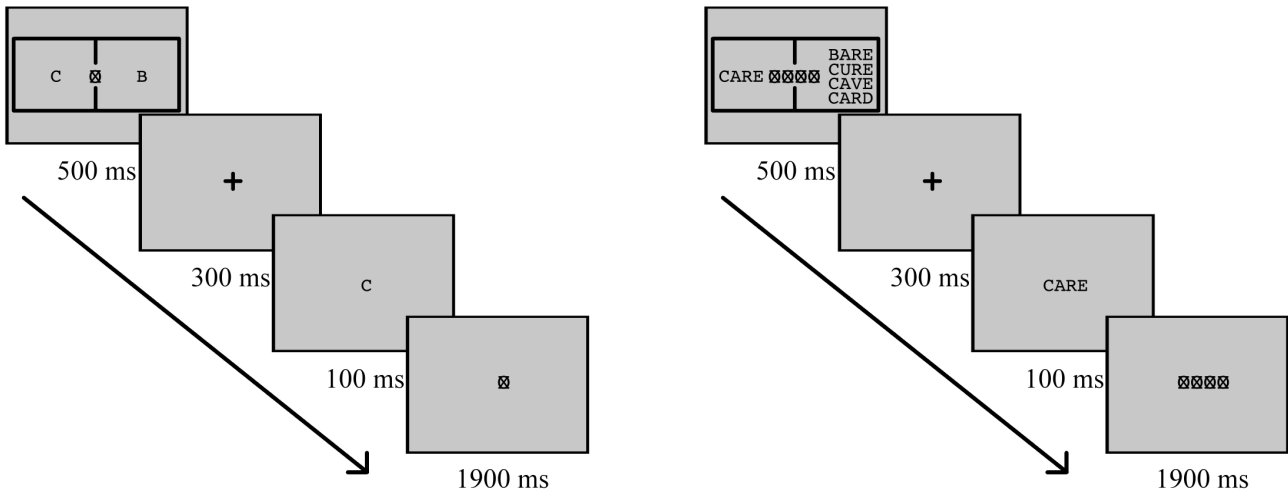


Figure 6: Trial structure for Experiment 2. Trials began with a fixation cross, followed by a blank screen. After a brief, random delay the probe appeared for 100 milliseconds. A mask was presented immediately following the probe. Instructions indicating the target and distractors were given before each trial.

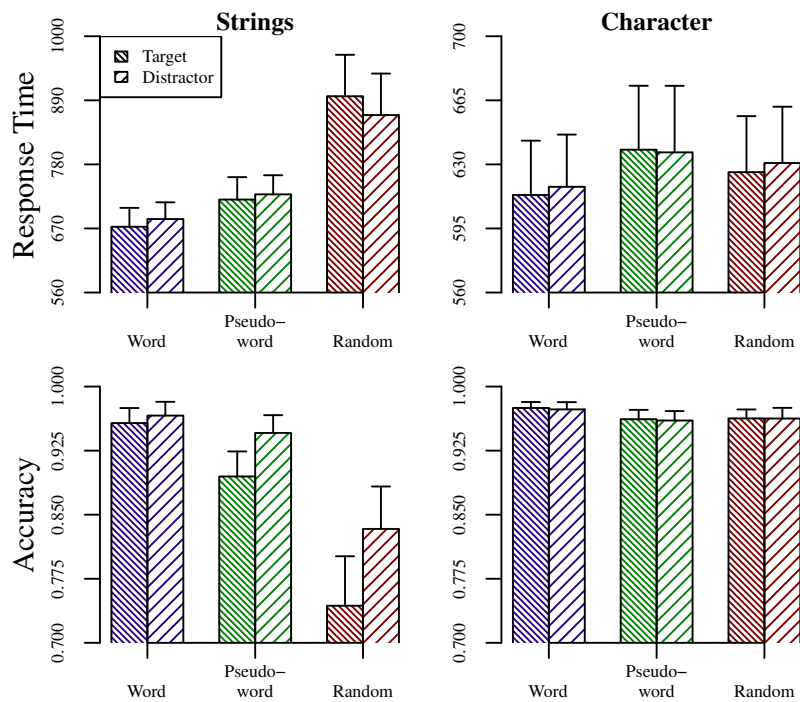


Figure 7: Response times and accuracy from Experiment 2. Error bars indicate the standard error of the mean. The top two graphs show mean response times; the bottom two show accuracy. The left graphs are data from the string tasks; the right graphs are from the corresponding single character tasks. To highlight variation across task version, the character response times are shown on a smaller scale than the string response times. Both accuracy plots are on the same scale.

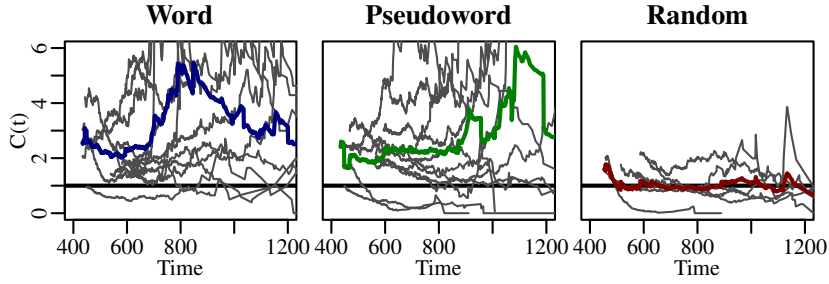


Figure 8: Capacity coefficients for Words, Pseudowords, and Random letters in Experiment 2. Grey lines indicate individual participants' capacity coefficients and the thick line indicates the average function across participants. The capacity coefficients for each participant are only plotted in regions where reasonable estimates are possible based on individual response time distributions.

523 Due to the missing capacity values, we performed a
 524 series of paired t -tests, in lieu of an ANOVA. With Bon-
 525 ferroni correction ($\alpha = .05/3 = .0167$), word capacity
 526 was significantly higher than nonword capacity ($t(5) =$
 527 $5.92, p < 0.0167$) and pseudoword capacity was higher
 528 than nonword capacity ($t(5) = 5.95, p < 0.0167$), but word
 529 and pseudoword capacity were not significantly different
 530 ($t(10) = 0.773, p = 0.458$).

531 2.3. Discussion

532 In Experiment 2, all of the single characters were let-
 533 ters, so the lack of any significant effect of version on let-
 534 ter response time and accuracy is not surprising. The
 535 random letter version differs from other the two in that
 536 all of the characters are consonants, which may be pro-
 537 cessed slower or less accurate than vowels (Appelman &
 538 Mayzner, 1981), but there was no evidence of that dif-
 539 ference here. Instead, the capacity differences among the
 540 versions are due to the differences in response times in the
 541 string conditions. Words and pseudowords were processed
 542 faster than random letters and had higher capacity val-
 543 ues, consistent with Experiment 1 and the word and pseu-
 544 doword effects. Also in keeping with Experiment 1, words
 545 and pseudowords were super-capacity, indicating superior
 546 performance of the letters in those contexts over letter in
 547 isolation. Thus, even using masking and upper case let-
 548 ters and minimizing the reliance on memory, there is still
 549 a clear indication of the standard superiority effects.

550 One unexpected result was that the random letter se-
 551 quences were also super-capacity for many participants,
 552 despite being significantly lower capacity than the word
 553 and pseudoword version. This may be due to the exten-
 554 sive practice participants had with the target string. Even
 555 consonant sequences can show superiority effects if they
 556 are highly familiar (Laszlo & Federmeier, 2007). Alter-
 557 natively, the generally lower accuracy in random version
 558 may explain the super-capacity, as the traditional capac-
 559 ity coefficient assumes high accuracy (this is why a half of
 560 the participants have NA listed in the Random column of
 561 Table 3: their accuracy was too low). Hence, participants
 562 may have weighed the relative importance of speed and

	Word	Pseudoword	Random
1	6.01***	4.44***	NA
2	9.64***	2.60**	-0.07
3	7.75***	12.6***	NA
4	7.74***	9.49***	5.44***
5	4.31***	7.03***	NA
6	13.5***	9.06***	0.79
7	5.23***	5.72***	NA
8	9.66***	11.0***	1.96*
9	17.7***	15.3***	5.76***
10	14.5***	11.3***	3.95***
11	10.8***	NA	NA
12	-1.31	-2.66**	NA
Group	30.5***	25.9***	7.28***

Table 3: Workload capacity statistics for each participant in each version of the task in Experiment 2. Capacity coefficients for partic-
 ipants with lower than 80% accuracy on any of the single characters
 conditions or the string condition in a particular version were not
 calculated. Under the null hypothesis the limit distribution of the
 statistic has a standard normal distribution. Significance levels of
 z-tests are indicated by: *** : $p < 0.001$, ** : $p < 0.01$, * : $p < 0.05$.

563 accuracy differently in each version, despite receiving the 618
564 same instructions for each. 619

565 3. General Discussion 620

566 We demonstrated clear word and pseudoword superior-623
567 ity effects in response times using the capacity coefficient.624
568 This includes a superiority of letter perception in word625
569 and pseudoword contexts over letters alone and over letters626
570 embedded in random consonant sequences. Furthermore,627
571 unlike the standard accuracy based effect, these superior-628
572 ity effects are not dependent on the presence of a mask.629
573 By using response times, we can also draw conclusions630
574 about the structure of the perceptual processes that were631
575 not possible to determine based on the accuracy effect.632
576 Foremost, we have clearly rejected an unlimited capacity,633
577 independent, parallel processing (standard parallel) model634
578 of word and pseudoword perception; the models presented635
579 in Massaro (1973) and Pelli et al. (2003) are not consistent636
580 with the findings the results reported herein. 637

581 To further explore the implications of the capacity co-638
582 efficient results, we examine each of the multiple plausi-639
583 ble explanations for those results. When the Houtp &640
584 Townsend (2012) z -test is significant, then at least one of641
585 the assumptions of the standard parallel model must have642
586 been violated. Note that each of these violations have643
587 been considered previously for explanations of the accu-644
588 racy based superiority effects. 645

589 One assumption that may have been violated is that646
590 of independence. If there is any type of facilitation be-647
591 tween the letter processes, each letter would be processed648
592 faster within a word or pseudoword context which would649
593 explain the capacity coefficient values above one. There650
594 could be many explanations of this facilitation. For ex-651
595 ample, word processing mechanisms may in fact take ad-652
596 vantage of the considerable amount of co-occurrence be-653
597 tween letters in English. As is often observed, there are654
598 only a fraction of possible four letter combinations used655
599 for words and it would be surprising if we did not take656
600 some advantage of this reduction in uncertainty. This cor-657
601 relation between letters is an important part of how con-658
602 nectionist models explain the word superiority effect (Mc-659
603 Clelland & Rumelhart, 1981; Plaut et al., 1996; Coltheart660
604 et al., 2001). When the characters are less familiar, such661
605 as upside-down letters or Katakana characters, then their662
606 confusability may lead to inhibition among the perceptual663
607 processes and thus limited capacity. 664

608 A related component of many visual word processing665
609 models is the phonological pathway (e.g., Coltheart et al.,666
610 2001). If a phoneme is activated as a possible interpre-667
611 tation of some letter combination, then it may in turn668
612 send positive feedback to those letters, speeding up their669
613 processing. Hence, a phonological component of visual670
614 word processing could also lead to capacity coefficient val-671
615 ues above one. Both the correlation between letters and672
616 the lack of a regular pronunciation of the nonwords imply673
617 that these predictions are consistent with lack of evidence674

against the standard parallel model of nonword process-
ing. The phonological explanation is also supported by
the evidence of a pseudoword superiority effect.

Another assumption of the standard parallel model is
that the letters are processed in parallel, with a separate
detection of each letter. An alternative architecture that
does predict capacity coefficient values above one is the
coactive architecture (Townsend & Nozawa, 1995; Colo-
nius & Townsend, 1997; Townsend & Wenger, 2004; Houtp
& Townsend, 2012) which pools information from multiple
parallel sources for single decision. By pooling activation
from each of the letters when processing a word, the word
is processed much faster than if each letter is processed
separately. A coactive architecture in this sense can be
thought of as an extreme version of a facilitatory paral-
lel model, in which all activation in each of the letters is
shared (Eidels et al., 2011). Many connectionist models of
visual word perception assume a type of coactive architec-
ture. In these models the activation accumulated in favor
of a letter is immediately passed on to the word level. In
this framework the type of parallel model assumed in the
standard parallel would not pass on any activation until
the letter process is complete. Similarly, a holistic model
of word perception (e.g., Drewnowski & Healy, 1977) has
a coactive form: information pooled for a single identifi-
cation. There is some middle ground between these two
extremes. One example is that of squelching suggested by
Pelli et al. (2003). In this case, the activation from the
letter process would only be passed on once it is above a
certain threshold.

The particularly low capacity for the upside-down and
Katakana versions could be due to serial processing of the
individual characters. With unfamiliar characters, partic-
ipants may be forced to check each position in the string,
one at a time. All else being equal, serial processing is
much less efficient than parallel processing, so it leads to
limited capacity. It is important to note that the word
and pseudoword results are not necessarily inconsistent
with serial processing, but for a serial model to predict
capacity-values above one it would need to include large
amounts of facilitation and/or require faster processing of
individual characters as the number of characters increases
(cf. Whitney, 2001).

A coactive architecture could also lead to violations
of the assumption of unlimited capacity, so that seemingly
more resources are available to each component when more
components are present. Capacity values above one imply
that the participant dedicated more than four times the
resources in the word task compared to the letter task:
Each individual letter process in the word has at lease the
resources available that were available when that letter
was presented in isolation. In this sense the advantage is
similar to chunking; when groups of letters are recognized
as a single unit, the resources that would have been divided
across two individual letter units can be dedicated to a
single chunked unit. Participants probably do not have
truly unlimited resources to dedicate to the task, there

675 is no doubt an upper limit on the number of letters a⁷³²
676 person can perceive at once, but having enough resources⁷³³
677 available to act super-capacity with four letters is not so⁷³⁴
678 unreasonable. ⁷³⁵

679 In addition to the group level findings, there intriguing⁷³⁶
680 individual differences indicted in these data, particularly⁷³⁷
681 in word and pseudoword processing capacity. This finding⁷³⁸
682 mirrors results reported in accuracy based studies (e.g.,⁷³⁹
683 Reicher, 1969) and it will be an interesting extension of⁷⁴⁰
684 this work to compare the capacity measure to established⁷⁴¹
685 measures of individual differences in reading. In fact, re-⁷⁴²
686 search is currently underway using the capacity coefficient⁷⁴³
687 to study dyslexia (Sussman et al., 2011). ⁷⁴⁴

688 Another important finding in this paper is that the⁷⁴⁵
689 word superiority effect, as measured by the capacity coef-⁷⁴⁶
690 ficient, is *not* eliminated in the absence of a post-stimulus⁷⁴⁷
691 mask. This raises the question as to why the accuracy⁷⁴⁸
692 based word superiority effect is less robust. One possi-⁷⁴⁹
693 bility, raised in the introduction, is that words may be⁷⁵⁰
694 fully processed, even if the task only requires a decision⁷⁵¹
695 on a part. Thus, the accuracy advantages of a word con-⁷⁵²
696 text might be mitigated by the fact that more is processed⁷⁵³
697 in a word context than in a nonword context. This is a⁷⁵⁴
698 special case of the more general issue that response time is⁷⁵⁵
699 more sensitive to certain aspects of perception, such as dis-⁷⁵⁶
700 tinguishing exhaustive and self-terminating strategies and⁷⁵⁷
701 distinguishing coactive and parallel processing, than accu-⁷⁵⁸
702 racy (cf., Townsend & Ashby, 1983; Townsend & Nozawa,⁷⁵⁹
703 1995). In future research, it will be important to deter-⁷⁶⁰
704 mine if capacity coefficient measure of word superiority⁷⁶¹
705 is robust against other manipulations that may disrupt⁷⁶²
706 the accuracy based effect, such as attentional allocation⁷⁶³
707 and fixation location (e.g., Johnston & McClelland, 1974;⁷⁶⁴
708 Purcell et al., 1978) or the size of the word Purcell et al.⁷⁶⁵
709 (1978). ⁷⁶⁶

710 We can also examine these results in the context of⁷⁶⁷
711 other configural superiority effects measured by the capac-⁷⁶⁸
712 ity coefficient. For example, Eidels et al. (2008) demon-⁷⁶⁹
713 strated super-capacity performance when participants could⁷⁷⁰
714 distinguish targets based on global topological properties⁷⁷¹
715 of the stimulus. In contrast, they found limited or unlim-⁷⁷²
716 ited capacity when the stimuli were made of the same parts⁷⁷³
717 as the super-capacity task, but the parts were organized in⁷⁷⁴
718 such a way that the targets were not distinguishable based⁷⁷⁵
719 on their topology. If the same perceptual mechanisms un-⁷⁷⁶
720 derly the super-capacity in the Eidels et al. (2008) and the⁷⁷⁷
721 current study, this would suggest that the super-capacity⁷⁷⁸
722 performance is driven by global shape of the word, in-⁷⁷⁹
723 cluding both the outline as well as the shapes defined by⁷⁸⁰
724 neighboring letters. Without additional assumptions, the⁷⁸¹
725 global shape explanation would imply super-capacity per-⁷⁸²
726 formance even in the nonwords. It may be that through⁷⁸³
727 many years of experience we are specially attuned to the⁷⁸⁴
728 differences between shapes generated by words but not so⁷⁸⁵
729 well attuned for nonword sequences. The shape as the⁷⁸⁶
730 lone explanation of the superiority effect may be a bit of⁷⁸⁷
731 a stretch, but global shape may still play a role in word

perception, particularly if there is some sort of unitized
representation of the words that is used for recognition
(cf., Healy, 1994).

Whether or not learning specific global shapes con-
tributes to word superiority, it is likely perceptual learn-
ing is an important part of many configural superiority
effects. Blaha (2010) examined the effects of perceptual
learning on the capacity coefficient. Using stimuli that
Goldstone (2000) had demonstrated could lead to percep-
tual unitization, Blaha measured the capacity coefficient
for targets over the course of multiple days of learning.
When the stimuli were novel, participants were extremely
limited capacity. Over the course of about a week of train-
ing (relatively few trials compared to the number of times
we see common words), most participants reached high
levels of super-capacity. The parts used in those stimuli
were randomly generated “squiggly” lines, for which, like
letters, there is no reason to believe people have any in-
nate ability to form unitized representations. Given that
Blaha used the same task structure (with squiggly lines in
place of letters) and found similar levels of super-capacity
at the end of training, we believe that perceptual learning
plays an important part in the capacity coefficient word
superiority effect. In future work, we hope to explore this
connection by using the capacity coefficient to measure
word superiority at different stages of the development of
reading ability.

Finally, we reiterate the importance of going beyond
the simple ANOVA analysis of these data. Merely finding
an ordering of the means in the string conditions says noth-
ing about the relative processing efficiencies. For example,
faster word processing than nonword processing could be
due to the letters in “care” being relatively faster to pro-
cess than the letters “rlkf”. Workload capacity analysis,
however, takes the processing of the components into ac-
count in estimating efficiency.

3.1. Summary

We have demonstrated response time based evidence
for visual word perception as a particularly efficient pro-
cess using the capacity coefficient. This includes evidence
that words are more efficiently perceived than predicted
by the individual letter reading times, and evidence from
comparing word perception efficiency to nonword stimuli.
Based on the workload capacity analysis, there is also ev-
idence for a pseudoword superiority effect in the response
time domain although not as strong as for word superi-
ority. The evidence we present negates models of word
processing that assume parallel, independent processing of
letters with separate decision thresholds on each channel.
This deeper level of understanding of visual word percep-
tion required a shift from statistics based on comparing
means toward a more theoretically rich, modeling-based
approach.

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Appendix A. Derivation of Standard Parallel Capacity

The mathematical formulation of this construct can be derived as follows. Suppose, as in our tasks, the participant can only respond when they have identified all of the letters (c_i) in the word (S). Then the probability that she has responded to the word is the probability that she has

already identified each of the letters,

$$P\{RT_S \leq t\} = P\{RT_{c_1} \leq t, RT_{c_2} \leq t, RT_{c_3} \leq t, RT_{c_4} \leq t\}. \quad (\text{A.1})$$

If we assume that the letters are perceived independently and in parallel, then Equation A.1 can be simplified to,

$$P\{RT_S \leq t\} = P\{RT_{c_1} \leq t\}P\{RT_{c_2} \leq t\}P\{RT_{c_3} \leq t\}P\{RT_{c_4} \leq t\}. \quad (\text{A.2})$$

The capacity function for an exhaustive task is defined using the cumulative reverse hazard function, $K(t) = \ln F(t); F(t) = P\{RT \leq t\}$, and is similar to the cumulative hazard function used in survival analysis (cf. Chechile, 2011). If K_{c_1} is the cumulative reverse hazard for the first character response times, K_{c_2} is the cumulative reverse hazard for the second character, etc., and K_S is the cumulative reverse hazard for the string condition, the capacity coefficient is given by,

$$C(t) = \frac{\left[\sum_{i=1}^4 K_{c_i} \right]}{K_S}. \quad (\text{A.3})$$

By taking the logarithm of both sides of Equation A.2, we see that the baseline model predicts capacity equal to 1,

$$\begin{aligned} \log [P\{RT_S \leq t\}] &= \log [P\{RT_{c_1} \leq t\}P\{RT_{c_2} \leq t\}P\{RT_{c_3} \leq t\}P\{RT_{c_4} \leq t\}] \\ \log [P\{RT_S \leq t\}] &= \sum_{i=1}^4 \log [P\{RT_{c_i} \leq t\}] \\ K_S &= \sum_{i=1}^4 K_i \\ C(t) &= 1 \end{aligned}$$

917 To measure a participant's performance against the
 918 baseline model, performance must be measured when each
 919 of the single characters are presented in isolation and when
 920 all characters are used together. Response times from each
 921 of the single character conditions are used to estimate the
 922 cumulative reverse hazard for each term in the sum in the
 923 numerator of Equation A.3. The times to respond to all
 924 of the characters together are used to estimate the cumu-
 925 lative reverse hazard function in the denominator.

Following Houpt & Townsend (2012), we use the Nelson-Aalen type estimator for the cumulative reverse hazard function. We use $G(t)$ for the number of responses that have occurred in a given condition up to and including time t and T_j to indicate the j th response time in the ordered list of all of the correct response times for that condition. Using that notation, the estimate is,

$$\hat{K}(t) = - \sum_{T_j \leq t} \frac{1}{G(T_j)}.$$

926 Appendix B. Word and Pseudoword Details

Word	Kucera & Francis Frequency	Pseudoword	Neighborhood Size	Summed Frequency of Neighbors
CARE	162	LERB	2	12
BARE	29	NERB	2	12
CURE	28	LARB	5	27
CAVE	9	LEMB	2	26
CARD	26	LERF	2	15