

John McCarthy

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Current Position

Interim Provost and Senior Vice Chancellor for Academic Affairs
 Distinguished University Professor
 University of Massachusetts Amherst

Academic Career

Also see Administrative Supplement beginning on page 15.

University of Massachusetts Amherst:

- January 2018–. Interim Provost and Senior Vice Chancellor for Academic Affairs.
- July 2017–December 2017. Acting Provost and Senior Vice Chancellor for Academic Affairs.
- July 2015–July 2017. Senior Vice Provost for Academic Affairs.
- September 2012–May 2017. Dean of the Graduate School.
- September 2012–June 2015. Vice Provost for Graduate Education.
- May 2012–August 2012. Acting Dean of the Graduate School.
- 2011–2012. Special Assistant to the Provost.
- 2007–. Distinguished University Professor.
- 1993–1996, 2009–2011. Chair, Department of Linguistics
- 1989–2007. Professor, Department of Linguistics.
- 1985–1989. Associate Professor (tenured), Department of Linguistics.

AT&T Bell Laboratories, Murray Hill, NJ:

- 1984–1986. Grant-funded visiting researcher in Department of Linguistics and Artificial Intelligence Research.

University of Texas, Austin:

- 1984–1985. Associate Professor (tenured).
- 1979–1984. Assistant Professor, Department of Linguistics.

Education:

- Ph.D. 1979, MIT. Field: linguistics. Dissertation: *Formal Problems in Semitic Phonology and Morphology*. Advisor: Morris Halle.
- A.B. 1975 *summa cum laude*, Harvard University. Major: linguistics and Near Eastern languages.

Awards & Recognition

External

- Guggenheim Fellowship, 1992.
- Fellow of the American Academy of Arts and Sciences, 2005.
- Fellow of the Linguistic Society of America, 2011.
- Fellow of the Massachusetts Academy of Sciences, 2011.

Fellow of the American Association for the Advancement of Science, 2015.
 Fellow of the Cognitive Science Society, 2016.

Internal, campus-wide awards

Chancellor's Medal and Distinguished Faculty Lecture, 2004.
 Award for Outstanding Accomplishments in Research and Creative Activity, 2005.
 Distinguished Teaching Award, 2009.

Festschrift

Phonological Argumentation: Essays on Evidence and Motivation. Edited by Steve Parker.
 Published in 2009 by Equinox, London.

Research Grants

John McCarthy. National Science Foundation Grant DGE-1451512, 2016-17. Graduate Research Fellowship Program professional development supplement. \$69,214.
 Joe Pater & John McCarthy. National Science Foundation Grant BCS-1424077, 2014–2018.
Computing Constraint-Based Derivations: Phonological Opacity and Hidden Structure Learning, \$305,613.
 John McCarthy & Joe Pater. National Science Foundation Grant BCS-0813829, 2008–2013.
Investigations in Optimality Theory: Typology, Learning, and Modeling, \$462,989.
 John McCarthy. National Science Foundation Grant SBR-9420424, 1995–2000, *Prosodic Morphology in Optimality Theory*, \$155,444.
 Elisabeth Selkirk & John McCarthy. National Science Foundation Grant BNS-8617827, 1987–1989.
Domains of Sentence Phonology, \$99,995.
 John McCarthy. System Development Foundation Grants SDF-626 and renewals, 1984–1988.
 ~\$150,000.
 John McCarthy. National Science Foundation Grant BNS-8121002, *Nonconcatenative Morphology*, 1982–1984, \$19,334.
 Amounts include indirect costs.

Publications

Co-authors who are current or former students are marked with *. In the field of linguistics, authors are often listed alphabetically.

Institutional repository: http://works.bepress.com/john_j_mccarthy/

Google Scholar citations: <https://scholar.google.com/citations?hl=en&user=MMblxBoAAAAJ>
 (lifetime h-index 58, i10-index 98, total 26,631)

Books

McCarthy, John J. (1985) *Formal Problems in Semitic Phonology and Morphology*, Garland, New York. Pp. 426.
 McCarthy, John J. (2002) *A Thematic Guide to Optimality Theory*. Cambridge: Cambridge University Press. Pp. xiv+317.
 McCarthy, John J. (2007) *Hidden Generalizations: Phonological Opacity in Optimality Theory*. London: Equinox. Pp. vii+244.
 McCarthy, John J. (2008) *Doing Optimality Theory*. Oxford: Blackwell. Pp. xii+310.

Edited books

- Baker, C. L. & John J. McCarthy (1981) *The Logical Problem of Language Acquisition*, MIT Press, Cambridge, MA. Pp. xii+358.
- Eid, Mushira & John J. McCarthy (1990) *Perspectives on Arabic Linguistics: Papers from the Second Symposium*, Benjamins, Amsterdam. Pp. xiv+330.
- Broselow, Ellen, Mushira Eid, & John J. McCarthy (1992) *Perspectives on Arabic Linguistics IV*, Benjamins, Amsterdam. Pp. vi+282.
- McCarthy, John J. (2003) *Optimality Theory in Phonology: A Reader*. Oxford: Blackwell. Pp. xvi+603. (Each chapter includes introductory notes and concluding research questions prepared by the editor.)
- Bakovic, Eric, Junko Ito*, and John J. McCarthy (2006) *Wondering at the Natural Fecundity of Things: Essays in Honor of Alan Prince*. Santa Cruz, CA: Linguistics Research Center. Pp. 350. [Published electronically at <http://repositories.cdlib.org/lrc/prince/>.]
- McCarthy, John J. and Joe Pater* (2016) *Harmonic Grammar and Harmonic Serialism*. London: Equinox. Pp. viii+437.

Translated Books

- McCarthy, John J. (2003) Korean translation of *A Thematic Guide to Optimality Theory*, translated by Young-Seok Kim and Jin-hyung Kim. Seoul: Hankook Publishing Company. Pp. xvii+480.
- McCarthy, John J. (2009) Korean translation of *Hidden Generalizations: Phonological Opacity in Optimality Theory*, translated by Hyang-Sook Sohn. Daegu: Kyungpook National University Press. Pp. xi+343.
- McCarthy, John J. (2009) Korean translation of *Doing Optimality Theory*, translated by Seunghun Lee and Ponghyung Lee. Seoul: Hankook Publishing Company. Pp. 394.
- McCarthy, John J. (2016) Chinese translation of *Doing Optimality Theory*, translated by Ma Qiuwu. Hong Kong: The Commercial Press, Ltd. Pp. 453.

Articles in refereed journals

- McCarthy, John J. (1979) On stress and syllabification. *Linguistic Inquiry* 10, 443–465.
- McCarthy, John J. (1980) A note on the accentuation of Damascene Arabic. *Studies in the Linguistic Sciences* 10, 77–98.
- McCarthy, John J. (1981) The representation of consonant length in Hebrew. *Linguistic Inquiry* 12, 322–327.
- McCarthy, John J. (1981) A prosodic theory of nonconcatenative morphology. *Linguistic Inquiry* 12, 373–418.
- Halle, Morris & John J. McCarthy (1981) The metrical structure of Psalm 137. *Journal of Biblical Literature* 100, 161–167.
- McCarthy, John J. (1982) Nonlinear phonology: An overview. *GLOW Newsletter* 8, 63–77.
- McCarthy, John J. (1982) Prosodic structure and expletive infixation. *Language* 58, 574–590.
- Broselow, Ellen & John J. McCarthy (1983) A theory of internal reduplication. *The Linguistic Review* 3, 25–88.
- McCarthy, John J. (1984) Theoretical consequences of Montañes vowel harmony. *Linguistic Inquiry* 15, 291–318.
- Al-Mozainy, Hamza,* Robert Bley-Vroman, & John J. McCarthy (1985) Stress shift and metrical structure. *Linguistic Inquiry* 16, 135–143.

- McCarthy, John J. (1986) OCP effects: Gemination and antigemination. *Linguistic Inquiry* 17, 207–263.
- McCarthy, John J. (1986) Continuing commentary on Bickerton. *Behavioral and Brain Sciences* 9, 563.
- McCarthy, John J. (1986) Lexical phonology and nonconcatenative morphology in the history of Chaha. *Revue québécoise de linguistique* 16, 209–228.
- McCarthy, John J. (1988) Feature geometry and dependency: A review. *Phonetica* 45, 84–108.
- McCarthy, John J. (1989) Linear order in phonological representation. *Linguistic Inquiry* 20, 71–99.
- McCarthy, John J. & Alan Prince (1990) Foot and word in prosodic morphology: The Arabic broken plural. *Natural Language and Linguistic Theory* 8, 209–282.
- McCarthy, John J. (1991) L'infixation reduplicative dans les langues secrets. *Langages* 101, 11–29.
- Lombardi, Linda* & John J. McCarthy (1991) Prosodic circumscription in Choctaw morphology. *Phonology* 8, 37–72.
- McCarthy, John J. (1993) A case of surface constraint violation. In Carole Paradis and Darlene LaCharité, eds., *Constraint-Based Theories in Multilinear Phonology*, special issue of *Canadian Journal of Linguistics* 38, 169–195.
- McCarthy, John J. & Alan Prince (1993) Generalized alignment. *Yearbook of Morphology*, pp. 79–153.
- McCarthy, John J. (1997) Process-specific constraints in Optimality Theory. *Linguistic Inquiry* 28, 231–251.
- Alderete, John,* Jill Beckman,* Laura Benua,* Amalia Gnanadesikan,* John J. McCarthy, & Suzanne Urbanczyk* (1999) Reduplication with fixed segmentism. *Linguistic Inquiry* 30, 327–364.
- McCarthy, John J. (1999) Sympathy and phonological opacity. *Phonology* 16, 331–399.
- McCarthy, John J. (2000) The prosody of phase in Rotuman. *Natural Language and Linguistic Theory* 18, 147–197.
- McCarthy, John J. (2002) On targeted constraints and cluster simplification. *Phonology* 19, 273–292.
- McCarthy, John J. (2003) Comparative markedness. *Theoretical Linguistics* 29, 1–51. [Target article.]
- McCarthy, John J. (2003) What does comparative markedness explain, what should it explain, and how? *Theoretical Linguistics* 29, 141–155. [Response to comments on target article.]
- McCarthy, John J. (2003) OT constraints are categorical. *Phonology* 20, 75–138.
- McCarthy, John J. (2005) Taking a free ride in morphophonemic learning. *Catalan Journal of Linguistics* 4, 19–56. [Special issue on morphology in phonology, edited by Maria-Rosa Lloret and Jesús Jiménez.]
- McCarthy, John J. (2007) Slouching toward optimality: Coda reduction in OT-CC. *Phonological Studies (Journal of the Phonological Society of Japan)* 7, 89–104.
- McCarthy, John J. (2007) What is Optimality Theory? *Language and Linguistics Compass* 1, 260–291. [<http://dx.doi.org/10.1111/j.1749-818X.2007.00018.x>]
- McCarthy, John J. (2008) The gradual path to cluster simplification. *Phonology* 25, 271–319. [<http://dx.doi.org/10.1017/S0952675708001486>.]
- McCarthy, John J. (2008) The serial interaction of stress and syncope. *Natural Language and Linguistic Theory* 26, 499–546. [<http://dx.doi.org/10.1007/s11049-008-9051-3>.]
- McCarthy, John J. (2010) Studying GEN. *Journal of the Phonetic Society of Japan* 13(2), 3–12.
- McCarthy, John J. (2010) An introduction to Harmonic Serialism. *Language and Linguistics Compass* 4(10), 1001–1018. [<http://dx.doi.org/10.1111/j.1749-818X.2010.00240.x>]
- McCarthy, John J. (2011) Perceptually grounded faithfulness in Harmonic Serialism. *Linguistic Inquiry* 42, 171–183. [http://dx.doi.org/10.1162/LING_a_00035.]

- McCarthy, John J., Wendell Kimper,* and Kevin Mullin* (2012) Reduplication in Harmonic Serialism. *Morphology* 22(2), 173-232. [<http://dx.doi.org/10.1007/s11525-012-9203-3>.]
- McCarthy, John J. (in preparation) Opacity in Biblical Hebrew phonology. *Brill's Journal of Afroasiatic Languages and Linguistics*, special issue Linguistic Studies of Biblical Hebrew ed. by Edit Doron and Tania Notarius.

Book chapters & conference proceedings

- McCarthy, John J. (1977) CT. In J. Kegl, D. Nash, and A. Zaenen, eds., *Proceedings of the Seventh Annual Meeting of the North Eastern Linguistic Society*, Cambridge, MA. Pp. 209–218.
- McCarthy, John J. (1981) Stress, pretonic strengthening, and syllabification in Tiberian Hebrew. In H. Borer and Y. Aoun, eds., *Theoretical Issues in Semitic Languages* [=MIT Working Papers in Linguistics III], Cambridge, MA. Pp. 73–100.
- McCarthy, John J. (1981) The role of the evaluation metric in the acquisition of phonology. In C. L. Baker and J. McCarthy, eds., (1981), pp. 218–248.
- McCarthy, John J. (1982) Prosodic templates, morphemic templates, and morphemic tiers. In H. van der Hulst and N. Smith, eds., *The Structure of Phonological Representations* [=Linguistic Models, 2], Foris, Dordrecht. Pp. 191–223.
- McCarthy, John J. (1983) Phonological features and morphological structure. In J. Richardson, M. Marks, and A. Chukerman, eds., *Papers from the Parasession on the Interplay of Phonology, Morphology, and Syntax*, Chicago Linguistic Society, Chicago, IL. Pp. 135–161.
- McCarthy, John J. (1983) Consonantal morphology in the Chaha verb. In M. Barlow, D. Flickinger, and M. Wescoat, eds., *Proceedings of the West Coast Conference on Formal Linguistics 2*, Stanford Linguistics Association, Stanford, CA.
- McCarthy, John J. (1983) A prosodic account of Arabic broken plurals. In I. Dihoff, ed., *Current Trends in African Linguistics I*, Foris, Dordrecht. Pp. 289–320.
- McCarthy, John J. (1983) Dual vowel harmony systems in a Montañes Spanish dialect. *Texas Linguistic Forum* 21, 143–178.
- McCarthy, John J. (1984) Prosodic structure in morphology. In M. Aronoff and R. Oehrle, eds., *Language Sound Structure*, MIT Press, Cambridge, Mass. Pp. 299–317.
- McCarthy, John J. (1984) Speech disguise and phonological representation in Amharic. In H. van der Hulst and N. Smith, eds., *Advances in Nonlinear Phonology* (=Linguistic Models 7), Foris, Dordrecht. Pp. 305–312.
- McCarthy, John J. & Alan Prince (1988) Quantitative transfer in reduplicative and templatic morphology. In Linguistic Society of Korea, ed., *Linguistics in the Morning Calm 2*, Hanshin Publishing Co., Seoul. Pp. 3–35.
- McCarthy, John J. & Alan Prince (1990) Prosodic morphology and templatic morphology. In M. Eid and J. McCarthy, eds. Pp. 1–54.
- McCarthy, John J. (1991) Semitic gutturals and distinctive feature theory. In B. Comrie and M. Eid, eds., *Perspectives on Arabic Linguistics III*, Benjamins, Amsterdam. Pp. 63–91.
- McCarthy, John J. (1991) Synchronic rule inversion. In L. Sutton, C. Johnson, and R. Shields, eds., *Proceedings of the Seventeenth Annual Meeting of the Berkeley Linguistics Society*, Berkeley Linguistics Society, Berkeley, CA. Pp. 192–207.
- McCarthy, John J. (1992) Phonology. In W. Bright, ed., *Oxford International Encyclopedia of Linguistics*, vol. 3, Oxford University Press. Pp. 212–215.
- McCarthy, John J. (1993) Template form in prosodic morphology. In Laurel Smith Stvan et al., eds., *Papers from the Third Annual Formal Linguistics Society of Midamerica Conference*, Indiana University Linguistics Club, Bloomington. Pp. 187–218.

- McCarthy, John J. & Alan Prince (1994) The emergence of the unmarked: Optimality in prosodic morphology. In Mercè González, ed., *Proceedings of the North East Linguistics Society 24*, GLSA, Amherst, MA. Pp. 333–379.
- McCarthy, John J. (1994) The phonetics and phonology of Semitic pharyngeals. In Patricia Keating, ed., *Papers in Laboratory Phonology III: Phonological Structure and Phonetic Form*, Cambridge University Press, Cambridge. Pp. 191–233.
- McCarthy, John J. & Alan Prince (1994) Prosodic morphology. In John Goldsmith, ed., *A Handbook of Phonological Theory*, Basil Blackwell, Oxford. Pp. 318–366.
- McCarthy, John J. (1994) Non-concatenative morphology. In *The Encyclopedia of Language & Linguistics*, Pergamon Press, Oxford.
- McCarthy, John J. & Alan Prince (1995) Faithfulness and reduplicative identity. In *University of Massachusetts Occasional Papers in Linguistics 18: Papers in Optimality Theory*. Ed. by Jill Beckman, Suzanne Urbanczyk and Laura Walsh Dickey. Pp. 249–384.
- McCarthy, John J. (1996) Remarks on phonological opacity in Optimality Theory. In Jacqueline Lecarme, Jean Lowenstamm, and Ur Shlonsky, eds., *Studies in Afroasiatic Grammar. Papers from the Second Conference on Afroasiatic Linguistics, Sophia Antipolis, 1994*. The Hague: Holland Academic Graphics. Pp. 215–243.
- McCarthy, John J. & Alan Prince (1998) Prosodic morphology. In Andrew Spencer and Arnold Zwicky, eds., *The Handbook of Morphology*, Basil Blackwell, Oxford. Pp. 283–305.
- Cohn, Abigail & John J. McCarthy (1998) Alignment and parallelism in Indonesian phonology. *Working Papers of the Cornell Phonetics Laboratory 12*, 53–137.
- McCarthy, John J. (1998) Morpheme structure constraints and paradigm occultation. In M. Catherine Gruber, Derrick Higgins, Kenneth Olson, and Tamra Wysocki, eds., *CLS 32, vol. II: The Panels*. Chicago: Chicago Linguistic Society. Pp. 123–150.
- McCarthy, John J. (1999) Distinctive features. In Rob Wilson and Frank Keil, eds., *The MIT Encyclopedia of Cognitive Sciences*. Cambridge, MA: MIT Press. Pp. 234–6.
- McCarthy, John J. & Alan Prince (1999) Faithfulness and identity in prosodic morphology. In *The Prosody Morphology Interface*. Ed. by René Kager, Harry van der Hulst, and Wim Zonneveld. Cambridge: Cambridge University Press. Pp. 218–309.
- McCarthy, John J. (2000) Faithfulness and prosodic circumscription. In Joost Dekkers, Frank van der Leeuw and Jeroen van de Weijer, eds., *Optimality Theory: Syntax, Phonology, and Acquisition*. Oxford: Oxford University Press. Pp. 151–189.
- McCarthy, John J. (2000) Harmonic serialism and parallelism. In Masako Hirotani, Andries Coetzee, Nancy Hall, and Ji-yung Kim, eds., *Proceedings of the North East Linguistics Society 30*. Amherst, MA: GLSA. Pp. 501–524.
- McCarthy, John J. (2001) Nonlinear phonology. In Neil J. Smelser and Paul B. Baltes, eds., *International Encyclopedia of the Social and Behavioral Sciences*. Oxford: Pergamon. Vol. 17, pp. 11392–5.
- McCarthy, John J. (2002) Comparative markedness [long version]. In Angela Carpenter, Andries Coetzee, and Paul de Lacy, eds., *Papers in Optimality Theory II* [University of Massachusetts Occasional Papers in Linguistics 26]. Amherst, MA: GLSA Publications. Pp. 171–246.
- McCarthy, John J. (2003) Sympathy, cumulativity, and the Duke-of-York gambit. In Caroline Féry and Ruben van de Vijver, eds., *The Syllable in Optimality Theory*. Cambridge: Cambridge University Press. Pp. 23–76.
- McCarthy, John J. (2003) Phonology. In William Frawley, ed., *Oxford Encyclopedia of Linguistics* (2nd edition). Oxford: Oxford University Press.
- McCarthy, John J. (2003) Phoneme. In William Frawley, ed., *Oxford Encyclopedia of Linguistics* (2nd edition). Oxford: Oxford University Press.

- McCarthy, John J. (2003) Optimality Theory: An overview. In William Frawley, ed., *Oxford Encyclopedia of Linguistics* (2nd edition). Oxford: Oxford University Press.
- McCarthy, John J. & Norval Smith (2003) Phonological processes: Assimilation. In William Frawley, ed., *Oxford Encyclopedia of Linguistics* (2nd edition). Oxford: Oxford University Press.
- Hayes, Bruce & John J. McCarthy (2003) with Bruce Hayes. Metrical phonology. In William Frawley, ed., *Oxford Encyclopedia of Linguistics* (2nd edition). Oxford: Oxford University Press.
- McCarthy, John J. (2005) Optimal paradigms. In Laura Downing, Tracy Alan Hall, and Renate Raffelsiefen, eds., *Paradigms in Phonological Theory*. Oxford: Oxford University Press. Pp. 170-210.
- McCarthy, John J. (2005) The length of stem-final vowels in Colloquial Arabic. In Mohammad T. Alhawary and Elabbas Benmamoun, eds., *Perspectives on Arabic Linguistics XVII-XVIII*. Amsterdam: Benjamins. Pp. 1-26.
- McCarthy, John J. (2006) Morphology: Optimality Theory. In Keith Brown, ed., *Encyclopedia of Language and Linguistics* (2nd edition). Oxford: Elsevier. Vol. 8, pp. 308-316.
- McCarthy, John J. (2006) Prosodic morphology. In Keith Brown, ed., *Encyclopedia of Language and Linguistics* (2nd edition). Oxford: Elsevier. Vol. 10, pp. 182-190.
- McCarthy, John J. (2007) Morphology. In Kees Versteegh, ed., *Encyclopedia of Arabic Language and Linguistics*. Leiden: Brill.
- McCarthy, John J. (2007) Derivations and levels of representation. In Paul de Lacy, ed., *The Cambridge Handbook of Phonology*. Cambridge: Cambridge University Press.
- McCarthy, John J. (2007) Restraint of analysis. In Sylvia Blaho, Patrik Bye, and Martin Krämer (eds.) *Freedom of Analysis*. Berlin: Mouton de Gruyter. Pp. 203-231.
- McCarthy, John J. (2007) Consonant harmony via correspondence: Evidence from Chumash. In Leah Bateman, Michael O'Keefe, Ehren Reilly, and Adam Werle (eds.) *Papers in Optimality Theory III (=University of Massachusetts Occasional Papers in Linguistics)*. Amherst, MA: GLSA.
- Wolf, Matthew* & John J. McCarthy (2009) Less than zero: Correspondence and the null output. In Curt Rice (ed.) *Modeling Ungrammaticality in Optimality Theory*. London: Equinox Publishing. Pp. 17-66.
- McCarthy, John J. (2010) Autosegmental spreading in Optimality Theory. In John Goldsmith, Elizabeth Hume, and Leo Wetzels, eds., *Tones and Features*. Berlin and New York: Mouton de Gruyter. Pp. 195-222.
- McCarthy, John J. (2012) Pausal phonology and morpheme realization. In Toni Borowsky, Shigeto Kawahara, Takahito Shinya, and Mariko Sugahara (eds.) *Prosody Matters: Essays in Honor of Lisa Selkirk*. London: Equinox Publishing. Pp. 341-373.
- McCarthy, John J. and Kathryn Pruitt* (2013) Sources of prosodic structure. In Hans Broekhuis and Ralf Vogel, eds., *Linguistic Derivations and Filtering: Minimalism and Optimality Theory*. London: Equinox Publishing. Pp. 110-135.
- McCarthy, John J., Kevin Mullin*, and Brian W. Smith* (2012) Implications of Harmonic Serialism for lexical tone association. In E. D. Botma and Roland Noske, eds., *Phonological Explorations: Empirical, Theoretical and Conceptual Issues — Papers in Honour of Norval S.H. Smith*. Berlin and New York: Mouton de Gruyter. Pp. 265-297.
- McCarthy, John J. (2016) The theory and practice of Harmonic Serialism. In John J. McCarthy and Joe Pater, eds. *Harmonic Grammar and Harmonic Serialism*, London: Equinox Publishing. Pp. 47-87.

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- McCarthy, John J. (submitted) How to delete. In Robert Hoberman and Matthew Tucker, eds., *Perspectives on Arabic Linguistics XXX*. Amsterdam: John Benjamins.
- McCarthy, John J. (in preparation) Word prosody III: Prosodic morphology. In Carlos Gussenhoven and Aoju Chen, eds., *The Oxford Handbook of Prosody*. Oxford: Oxford University Press.

Technical reports

- McCarthy, John J. & Alan Prince (1993) *Prosodic Morphology I: Constraint Interaction and Satisfaction*. Technical Report #3, Rutgers University Center for Cognitive Science. Pp. 230. [<http://roa.rutgers.edu/view.php?id=590>]
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Reprints & translations of articles and chapters

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- McCarthy, John J. & Alan Prince (1999) Generalized alignment. Excerpted in John Goldsmith, ed., *Phonological Theory: The Essential Readings*. Oxford: Blackwell. Pp. 102–136.
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- McCarthy, John J. & Alan Prince (2003) Faithfulness and identity in prosodic morphology. In John J. McCarthy, ed., *Optimality Theory in Phonology: A Reader*. Oxford: Blackwell. Pp. 77-98

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- McCarthy, John J. (2006) Restraint of analysis. In Eric Bakovic, Junko Ito, and John McCarthy (eds.) *Wondering at the Natural Fecundity of Things: Essays in Honor of Alan Prince*. Santa Cruz, CA: Linguistics Research Center. Pp. 213-239. [<http://repositories.cdlib.org/lrc/prince/10>.]
- McCarthy, John J. (2007) Contraintes sur la structure des morphèmes et occultation paradigmaticque. In Elisabeth Delais-Roussarie and Laurence Labrune (eds.) *Des sons et des sens: données et modèles en phonologie et morphologie*. Paris: Lavoisier. Pp. 49-75. (Translated by Laurence Labrune.)
- McCarthy, John J. and Alan Prince (2017) Portuguese translation of McCarthy, John J. & Alan Prince (1995) Faithfulness and reduplicative identity, translated by Athany Gutierrez and Reiner V. Perozzo. *Revista Virtual de Estudos da Linguagem – ReVEL* 15(28): 23-201. [<http://www.revel.inf.br/files/a4668a494fb91c80bf1e61efd6f6c3fc.pdf>]

Book reviews

- McCarthy, John J. (1982) Review of A. Bell and J. B. Hooper, eds., (1978) *Syllables and Segments*. *Language* 58, 198–204.
- McCarthy, John J. & Alison Taub* (1992) Review of C. Paradis and J.-F. Prunet, eds. (1991) *The Special Status of Coronals*. *Phonology* 9, 363–372.
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- McCarthy, John J. (2004) Review of Janet C. E. Watson (2002) *The Phonology and Morphology of Arabic*. *Language* 80, 865-867.

Interview

- McCarthy, John J. and Ubiratã Kickhöfel Alves (2017) Optimality Theory: An interview with John McCarthy. *Revista Virtual de Estudos da Linguagem – ReVEL* 15(28): 400-404. [English: <http://www.revel.inf.br/files/1f03ea561dbcfd03e795b4cf7ac34571.pdf>. Portuguese: <http://www.revel.inf.br/files/0b6a3663b8056cca6145221b05fff746.pdf>.]

Graduate Student Advising

Doctoral dissertation committees chaired

Current position or affiliation in brackets [...].

At UT Austin

- Sayed, Abdel-Rahman (1981) *The Phonology of Moroccan Arabic: A Generative Phonological Approach*. [Al-Azhar University, Cairo.]
- Withgott, Meg (Mary Margaret) (1982) *Segmental Evidence for Phonological Constituents*. [Chief Technology Officer, Panafold Inc.]

Uhrbach, Amy (1987) *A Formal Analysis of Reduplication and its Interaction with Phonological and Morphological Processes*. [Director of Data Engineering, Nuance Communications Inc.]

At UMass Amherst

- Dunlap, Elaine (1991) *Issues in the Moraic Structure of Spanish*. [Lecturer, Holyoke Community College.]
- Ní Chiosáin, Máire (1991) *Topics in the Phonology of Irish*. [Lecturer (tenured), School of Irish, Celtic Studies, Irish Folklore & Linguistics, University College, Dublin.]
- Lombardi, Linda (1991) *Laryngeal Features and Laryngeal Neutralization*. [Was: associate professor (tenured), Department of Linguistics, University of Maryland, College Park. Currently: author of *The Sloth's Eye* and *Animals Behaving Badly*.]
- Padgett, Jaye (1991) *Manner Features and Feature Geometry*. [Professor, Department of Linguistics, University of California, Santa Cruz.]
- Lamontagne, Gregory (1992) *Syllabification and Consonant Cooccurrence Restrictions*. [Was: Vice President for Academic Affairs, Community College of Rhode Island.]
- Sherer, Timothy (1994) *Prosodic Phonotactics*. [Technical writer, Microsoft Corporation (Aquent LLC).]
- Rosenthal, Samuel (1994) *Vowel/Glide Alternations in a Theory of Constraint Interaction*. [Associate Professor (tenured), Department of Linguistics, Oakland University.]
- Urbanczyk, Suzanne (1996) *Patterns of Reduplication in Lushootseed*. [Associate Professor (tenured), Department of Linguistics, University of Victoria.]
- Walsh Dickey, Laura (1997) *The Phonology of Liquids*. [Program manager, Google.]
- Gnanadesikan, Amalia (1997) *Phonology with Ternary Scales*. [Associate Research Scientist, Center for Advanced Study of Language, University of Maryland College Park.]
- Benua, Laura (1997) *Phonological Relations Between Words*. [Deceased.]
- Alderete, John (1999) *Morphologically-Governed Accent in Optimality Theory*. [Associate professor (tenured), Department of Linguistics, Simon Fraser University.]
- Smith, Jennifer (2002) *Phonological Augmentation in Prominent Positions*. [Associate professor (tenured) and Associate Chair, Department of Linguistics, University of North Carolina, Chapel Hill.]
- de Lacy, Paul (2002) *The Formal Expression of Markedness*. [Associate professor (tenured), Department of Linguistics, Rutgers University.]
- Łubowicz, Anna (2002) *Faithfulness as Contrast Preservation*. [Visiting Assistant Professor of Linguistics, Carleton College; Adjunct Assistant Professor of Linguistics, University of Minnesota Twin Cities.]
- Gouskova, Maria (2003) *Economy and Syncope in Optimality Theory*. [Associate professor (tenured), Department of Linguistics, New York University.]
- Coetzee, Andries (2004) *What it Means to Be a Loser X Non-optimal Candidates in Optimality Theory*. [Associate professor (tenured) and Associate Chair, Department of Linguistics, University of Michigan, Ann Arbor.]
- Potts, Kathryn Flack (2007) *The Typology of Word-Initial Phonotactic Restrictions*. [Senior Institutional Research Analyst, Office of Institutional Research & Decision Support, Stanford University.]
- Wolf, Matthew (2008) *Optimal Interleaving: Serial Phonology-Morphology Interaction in a Constraint-Based Model*. [Contracts and Grants Coordinator, Alzheimer's Greater Los Angeles.]
- Becker, Michael (2009) *Phonological Trends in the Lexicon: The Role of Constraints*. [Assistant Professor, Department of Linguistics, Stony Brook University.]

- Kimper, Wendell (2011) *Formal and Functional Factors Governing Transparency and Opacity in Vowel Harmony*. [Lecturer (tenured), Department of Linguistics and English Language, University of Manchester.]
- Pruitt, Kathryn (2012) *Stress in Harmonic Serialism*. [Assistant Professor, Department of English, Arizona State University.]

Doctoral dissertation committee member

At UT Austin

- Al-Mozainy, Hamza Qublan (1981) *Vowel Alternations in a Bedouin Hijazi Arabic Dialect: Abstractness and Stress*. [King Saud University, Riyadh, Saudi Arabia.]
- Farghaly, Ali Ahmed Sabri (1981) *Topics in the Syntax of Egyptian Arabic*. [Oracle USA.]
- Mehdi, Mohamed Farhat (1981) *The Interference of Arabic in the Use of English Prepositions*. [University of Tripoli, Libya.]
- Abdul-Ghany, Muhammad Kamal Eldeen (1981) *Government Binding in Classical Arabic*. [Al-Azhar University, Cairo.]
- Higurashi, Yoshiko (1982) *The Accent of Extended Word Structures in Tokyo Standard Japanese*. [San Diego State University.]
- Mugele, Robert (1982) *Tone and Ballistic Syllable in Lalana Chinantec*. [Last known affiliation: Oracle Corp.]
- Younes, Munther (1982) *Problems in the Segmental Phonology of Palestinian Arabic*. [Cornell University.]
- Condon, Sherri (1983) *The Relational Base Theory of Phrase Structure*. [MITRE Corp.]
- Doron, Edit (1983) *Verbless Predicates in Hebrew*. [Hebrew University, Jerusalem.]
- Velleman, Shelley Lynne (1983) *Children's Production and Perception of English Voiceless Fricatives*. [University of Vermont.]
- Kim, Young-Seok (1984) *Aspects of Korean Morphology*. [Sogang University, Seoul.]
- Morgan, Terrell (1984) *Consonant-Glide-Vowel Alternations in Spanish: A Case Study in Syllabic and Lexical Phonology*. [Ohio State University.]
- Levergood, Barbara (1987) *Topics in Arusa Phonology and Morphology*. [Bowdoin College.]
- Lee, Borim (1991) *Prosodic Structures in Takelma Phonology and Morphology*. [Wonkwang University, Korea.]

At UMass Amherst

- Borowsky, Toni (1986) *Topics in the Lexical Phonology of English*. [University of Sydney.]
- Ito, Junko (1986) *Syllable Theory in Prosodic Phonology*. [University of California, Santa Cruz.]
- Mester, R. Armin (1986) *Studies in Tier Structure*. [University of California, Santa Cruz.]
- Myers, Scott (1987) *Tone and the Structure of Words in Shona*. [University of Texas at Austin.]
- McDonough, Joyce (1990) *Topics in the Phonology and Morphology of Navajo Verbs*. [University of Rochester.]
- Sengupta, Gautam (1990) *Binding and Scrambling in Bangla*. [University of Hyderabad.]
- Carter, Juli (1995) *Early Auditory Comprehension: The Case for Prelexical Morphology and Phonology*. [Metaphor Name Consultants.]
- Beckman, Jill (1998) *Positional Faithfulness*. [University of Iowa.]
- Benkí, José R. (1998) *Evidence for Phonological Categories from Speech Perception*. [University of Michigan.]
- Parker, Stephen G. (2002) *Quantifying the Sonority Hierarchy*. [Graduate Institute of Applied Linguistics, Dallas.]

- Hall, Nancy (2003) *Gestures and Segments: Vowel Intrusion as Overlap*. [Long Beach State University.]
- Carpenter, Angela (2006) *Acquisition of a Natural Versus an Unnatural Stress System*. [Wellesley College.]
- Chambless, Della (2006) *Asymmetries in the Acquisition of Consonant Clusters*. [Duke University.]
- Kawahara, Shigeto (2007) *The Emergence of Phonetic Naturalness*. [Keio University.]
- Tessier, Anne-Michelle (2007) *Biases and Stages in Phonological Acquisition*. [University of Alberta.]
- Werle, Adam (2009) *Word, Phrase, and Clitic Prosody in Bosnian, Serbian, and Croatian*. [University of Victoria, British Columbia.]
- Jesney, Karen (2011) *Cumulative Constraint Interaction in Phonological Acquisition and Typology*. [University of Southern California.]
- Staubs, Robert (2014) *Computational Modeling of Learning Biases in Stress Typology*. [MITRE Corporation.]
- Smith, Brian W. (2014) *Phonologically-Conditioned Allomorphy with Underlying Representation Constraints*. [University of California, Santa Cruz.]

At other universities

- Bagemihl, Bruce (1988). University of British Columbia. *Alternative Phonologies and Morphologies*.
- Herzallah, Rukayyah (1990) Cornell University. *Aspects of Palestinian Arabic Phonology: A Nonlinear Approach*. [An-Najah National University, Nablus.]
- Zsiga, Elizabeth (1993) Yale University. *Features, Gestures, and the Temporal Aspects of Phonological Organization*. [Georgetown University.]
- Hung, Henrietta (1994) Brandeis University. *The Rhythmic and Prosodic Organization of Edge Constituents*.
- Goodman, Beverley (1995) Cornell University. *Features in Ponapean Phonology*. [Eastern Michigan University.]
- Farwaneh, Samira (1995) University of Utah. *Directionality Effects in Arabic Dialect Syllable Structure*. [University of Arizona.]
- Bakovic, Eric (2000) Rutgers University. *Harmony, Dominance, and Control*. [UC San Diego.]
- Struijke, Caroline (2000) University of Maryland College Park. *Existential Faithfulness*. [Radboud University Nijmegen.]
- Baertsch, Karen (2002) Indiana University. *An Optimality Theoretic Approach to Syllable Structure: The Split Margin Hierarchy*. [Southern Illinois University.]
- Nelson, Nicole (2003). Rutgers University. *Asymmetric Anchoring*.
- Elias Ulloa, Jose A. (2006) Rutgers University. *Disyllabic Footing and Contextual Syllable Weight*. [Stony Brook University]
- Calamaro, Shira (in progress) Yale University.

International doctoral dissertation committees

Co-chair

- van der Leeuw, Frank (1977), Universiteit van Amsterdam. *Clitics: Prosodic Studies*.
- Rguibi, Samira, (2001), Université Mohamed V — Agdal, Rabat, Morocco. *Phonological Variation and Change in Moroccan Arabic: The Case of the Tazi Dialect..*

Committee member or outside examiner

- Al-Shar'abi, Tawfeek (2010), English and Foreign Languages University, Hyderabad, India. *Prosody and Morphology as Interacting Systems: The Case of Shar'abi Dialect of Arabic*.
- Bagemihl, Bruce (1988), University of British Columbia. *Alternate Phonologies and Morphologies*.
- Bensoukas, Karim (2001), Université Mohamed V — Agdal, Rabat, Morocco. *Stem Forms in the Non-templatic Morphology of Berber*.
- Bessell, Nicola (1993), University of British Columbia. *Towards a Phonetic and Phonological Typology of Post-Velar Articulation*.
- Boudlal, Abdelaziz (2001), Université Mohamed V — Agdal, Rabat, Morocco. *Constraint Interaction in the Phonology and Morphology of Casablanca Moroccan Arabic*.
- Bye, Patrik (2001), Universitetet i Tromsø, Norway. *Virtual Phonology*.
- Das, Shyamal (2001), Central Institute of English and Foreign Languages, University of Hyderabad. *Some Aspects of the Prosodic Phonology of Tripura Bangla and Tripura Bangla English*.
- Srinivas, S. (2011), English and Foreign Languages University, Hyderabad, India. *The Role of Syllabic Minimality in the Prosodic Phonology of Adhilabad Gondi*.
- Torres-Tamarit, Francesc (2012), Universitat Autònoma de Barcelona. *Syllabification and Opacity in Harmonic Serialism*.
- Tzakosta, Marina (2004), Universiteit Leiden. *Multiple Parallel Grammars in the Acquisition of Stress in Greek L1*.

Master's students advised*At UT Austin*

- Bennett, Diana (1981) *Pitch Accent in Japanese; A Metrical Analysis*.
- Gutmann, Rebecca (1982) *Epenthesis and the Representation of Geminate Consonants in Palestinian Arabic and Alternating Length and Stress in Tübatulabal: A Metrical Analysis*.
- Lee, Sangcheol (1983) *Syllabification in Korean*.
- Park, Sung-hyuk (1983) *Some Problems in Korean Phonology*.
- Wada, Hajime (1985) *A Non-linear Treatment of Some Problems in Japanese Morphology*.

Service
Current

- Member, Executive Committee, Northeastern Association of Graduate Schools.
- Advisory to Programs Committee, Linguistic Society of America.
- Advisory Group on External Awards, Linguistic Society of America.
- Consultant Board, Advances in Optimality Theory book series, Equinox Publishing Co.
- Editorial Advisory Board, *English Linguistics* (Japan), 2010-.
- Editorial Board, *Revista Virtual de Estudos da Linguagem* (Brazil).
- Member, Editorial Board, *Studies in Natural Language and Linguistic Theory* (Springer Verlag).

Past

Editor:

- Squibs and Discussion, *Linguistic Inquiry*, 1990–93.
- Texas Linguistic Forum*, 1982–84.
- Phonology topics, *Oxford International Encyclopedia of Linguistics*, 1987–89.

Phonology topics, *Oxford International Encyclopedia of Linguistics* (second edition), 2000-03.

Associate Editor:

Linguistic Inquiry, 1979–2013.

Phonology (formerly *Phonology Yearbook*), 1988–2010.

Language, 1984–88.

Natural Language and Linguistic Theory, 1984–2000.

Linguistics, 1992–2000.

Catalan Journal of Linguistics.

Member:

Evaluation Panel, Ford Foundation Postdoctoral Fellowships for Minorities Program, 1990.

Program Committee, Linguistic Society of America, 1985–88.

President, Arabic Linguistics Society, 1989–90. (Vice-President, 1988–89)

John McCarthy
Administrative Supplement to Academic CV

Current Positions: Vice Provost and Dean of the Graduate School (2012-)

Senior Vice Provost for Academic Affairs:

Very broad responsibilities throughout Academic Affairs, including academic personnel, recruitment of academic leadership, budgeting, strategic planning, revenue generation, faculty recognition, and student success. Examples of duties and accomplishments, nearly all of which are collaborative efforts:

- Provost-level review of academic personnel actions: reappointment, tenure, promotion, appointments to distinguished and named professorships. I have primary responsibility for humanities and arts, plus multiple cases in other fields (computer science, electrical and chemical engineering, life sciences, social sciences, business).
- Chairing or co-chairing search committees for two deans (Computer Science, Honors College) and five senior faculty in translational life science (human MR, computational biology, biosensors, animal models of disease, stem cells).
- Represented Academic Affairs in a major campus-wide strategic planning effort, and helped to guide the planning efforts in Academic Affairs.
- Represented Academic Affairs in discussions of a new RCM-like budgeting system, modeling its effects, and understanding and addressing the causes of enrollment shifts.
- Development of new revenue sources:
 - Formed a partnership with an outside firm to offer master's degrees internationally, through a combination of face-to-face and distance education.
 - Developed a simple, highly effective revenue-sharing program to incentivize development of paid master's programs: 75/25 split between college and central budget; college can offer scholarships to maintain quality and diversity.
 - Working with deans to create paid master's programs that will appeal to students from UMass and other colleges. Example: MS in Business and Analytics for liberal arts majors.
- Developed funding model and provided training for new discipline-based first-year seminars taught by grad students and postdocs. Reached about 95% of entering students despite not being required.
- Supporting Guggenheim applicants with workshops and individual advice.
- Improving external recognition of our faculty by encouraging (sometimes making or arranging) nominations to honorific societies.
- Activities involving UMass System, Board of Trustees, Board of Higher Education:
 - Guiding development and approval of proposals for new degree programs.
 - Member of System-wide Conflicts Committee, which review plans for managing conflicts of interest involving IP and commercial ventures.
 - Member of Oversight Committee, Intercampus Marine Science Degree Program.
 - Presentation on our Doctoral Program Review to BOT.
 - Responding to BOT's inquiries and concerns.
 - Presenting new degree programs to BHE.

Dean of the Graduate School:

Responsible for personnel and fiscal management of the Graduate School, diversity and inclusion, career preparation and professional development, and labor relations with graduate employee and post-doc unions. Accomplishments include:

- Reorganized the Graduate School to improve efficiency and service. Key initiatives included:
 - Eliminated excessive risk-avoidance policies.
 - Cross-trained staff to be ready to do different work in different seasons (e.g., admissions in winter followed by degree checks in spring).
 - Greatly increased use of technology and training of staff in using it.
 - Provided discretionary budgets to department heads, to encourage autonomy and develop leadership skills.
 - Rationalized a budget that was in chaos.
- Initiated a new approach to recruitment and retention of graduate students from underrepresented groups, and partnered with the other Deans and Provost to nearly double fellowship funding for these students.
- Created and staffed a new Office of Professional Development to support student success and improve students' preparation for academic and non-academic careers.
- Provided extensive support for institutional training grant applications. In the past year, faculty have received four training grant awards: a 5-year, \$1.6M NIH T32; a 5-year, \$2.5M T32; a 5-year, \$4.2M NSF Cybercorps SfS award, and a 4-year, \$3M NSF NRT.
- Competed successfully for \$800,000 in funding from the UMass President's Office to prepare STEM graduate students and post-docs for industry careers through business training, career counseling, internships, campus-industry research grants, and other activities.
- Created the campus's first graduate student orientation, with a strong emphasis on diversity, inclusion, and resources for women, minority, first-gen, and LGBTQIA students.
- Funded and guided the creation and continuation of Graduate Women in STEM and the Graduate Students of Color Association (GSCA).
- Collaborated with GSCA and campus's Stonewall Center on support groups for students of color and LGTBQIA students.
- Transformed Graduate Commencement into a student- and faculty-centered event, with new awards for faculty and staff who have best served graduate students.
- Initiated a major revision of the Graduate School's website (due Fall 2016).
- Assumed responsibility for the professional development and well-being of post-docs.

Regional and National Service to Graduate Education:

- 2013-2018. Executive Committee, Northeastern Association of Graduate Schools.
 - Chair, Doctoral Dissertation Award Committee, 2015.
 - Chair, Master's Thesis Award Committee, 2016.
 - Chair, Doctoral Dissertation Award Committee, 2017.
- 2017-2020. Board of Directors, Council of Graduate Schools.

Previous Positions

Administration

Special Assistant to the Provost (2011-12)

Responsibilities in the areas of academic organization, supporting interdisciplinary research and instruction, and assessment of doctoral programs. Principal accomplishments include:

- Designed and implemented a campus-wide review of doctoral programs, including data on faculty research activity, student success, and other metrics. Recommendations from this review continue to guide decision-making on the campus.
- Designed and implemented an RFP process for joint faculty lines between the College of Humanities and Fine Arts and the College of Social and Behavioral Sciences.

Chair, Department of Linguistics (1993-1996, 2009-2011)

Leadership of a department with 15 faculty and a PhD program ranked among the top 3 in the US.

Faculty Senate

Chair of the Rules Committee (2008-2010)

The executive committee of the Senate. Its chair is one of the principal representatives of the faculty to the central administration.

Chair of Budget Planning Task Force (2008-2011)

Joint Senate/Administration task force to address financial crisis. The BPTF's main recommendations are now campus policy.

Lead faculty member on Joint Task Force on Accountability (2010-12)

With the Provost's staff, I proposed this joint Senate/administration task force as a way of staying a step ahead of demands for standardized testing and other accountability measures in public higher education.

Co-Chair of Joint Task Force on Curriculum, Credits, and Costs (2011)

Joint Senate/administration task force charged with studying course overloads and differential fees. Completed its work in a semester, recommending better controls on students taking overloads, no additional charges for overloads, and differential fees for majors with high cost of instruction. All of this task force's recommendations were eventually adopted.

Chair of Program and Budget Council (2010-2011)

Standing Senate council that evaluates the financial soundness of new or revised academic programs and investigates areas of the University's budget.

Chair of General Education Council (2004-2006)

Standing Senate council that reviews general education courses. I secured \$200,000 for the Council to distribute as grants to increase the number, breadth, and quality of general education diversity courses.