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Bohr density of simple linear group orbits

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Abstract. We show that any nonzero orbit under a noncompact, simple, irreducible linear group is dense in the Bohr compactification of the ambient space.

1. Introduction

Let V be a locally compact abelian group, V^* its Pontrjagin dual and bV its Bohr compactification, i.e. bV is the dual of the discretized group V^* . On identifying V with its double dual we have a dense embedding $V \hookrightarrow bV$, viz.

{continuous characters of V^* } \hookrightarrow {all characters of V^* }.

The relative topology of V in bV is known as the *Bohr topology* of V. Among its many intriguing properties (surveyed in [G07]) is the observation due to Katznelson [K73a; G79, §7.6] that very "thin" subsets of V can be Bohr dense in very large ones.

While Katznelson was concerned with the case $V = \mathbb{Z}$ (the integers), we shall illustrate this phenomenon in the setting where V is the additive group of a real vector space, and the subsets of interest are the orbits of a Lie group acting linearly on V. Indeed our aim is to establish the following result, which was conjectured in [Z96, p. 45]:

THEOREM 1. Let G be a noncompact, simple real Lie group and V a nontrivial, irreducible, finite-dimensional real G-module. Then every nonzero G-orbit in V is dense in bV.

We prove this in §3 on the basis of four lemmas prepared in §2. Before that, let us record a similar property of *nilpotent* groups. In that case, orbits typically lie in proper affine subspaces, so we can't hope for Bohr density in the whole space; but we have:

THEOREM 2. Let G be a connected nilpotent Lie group and V a finite-dimensional G-module of unipotent type. Then every G-orbit in V is Bohr dense in its affine hull.

Proof. Recall that *unipotent type* means that the Lie algebra g of G acts by nilpotent operators. So $Z \mapsto \exp(Z)v$ is a polynomial map of g onto the orbit of $v \in V$, and the claim follows immediately from [**Z93**, Theorem].

2. Four lemmas

Our first lemma gives several characterizations of Bohr density — each of which can also be regarded as providing a corollary of Theorem 1.

LEMMA 1. Let O be a subset of the locally compact abelian group V. Then the following are equivalent:

- (1) O is dense in bV;
- (2) $\alpha(O)$ is dense in $\alpha(V)$ whenever α is a continuous morphism from V to a compact topological group;
- (3) Every almost periodic function on V is determined by its restriction to O;
- (4) Haar measure η on bV is the weak* limit of probability measures μ_T concentrated on O.

Proof. (1) \Leftrightarrow (2): Clearly (2) implies (1) as the special case where α is the natural inclusion $\iota : V \hookrightarrow bV$. Conversely, suppose (1) holds and $\alpha : V \to X$ is a continuous morphism to a compact group. By the universal property of bV [**D82**, 16.1.1], $\alpha = \beta \circ \iota$ for a continuous morphism $\beta : bV \to X$. Now continuity of β implies $\beta(\iota(O)) \subset \overline{\beta(\iota(O))}$, which is to say that $\beta(bV) \subset \overline{\alpha(O)}$ and hence $\alpha(V) \subset \overline{\alpha(O)}$, as claimed.

(1) \Leftrightarrow (3): Recall that a function on V is *almost periodic* iff it is the pull-back of a continuous $f : bV \to \mathbb{C}$ by the inclusion $V \hookrightarrow bV$. If two such functions coincide on O and O is dense in bV, then clearly they coincide everywhere. Conversely, suppose that O is not dense in bV. Then by complete regularity [H63, 8.4] there is a nonzero continuous $f : bV \to \mathbb{C}$ which is zero on the closure of O in bV. Now clearly this f is not determined by its restriction to O.

(1) \Leftrightarrow (4) (**[K73a]**): Suppose that η is the weak* limit of probability measures μ_T concentrated on O. So we have $\mu_T(f) \rightarrow \eta(f)$ for every continuous f, and the complement of O in bV is μ_T -null [**B04**, Def. V.5.7.4 and Prop. IV.5.2.5]. If f vanishes on the closure of O in bV then so do all $\mu_T(|f|)$ and hence also $\eta(|f|)$, which forces f to vanish everywhere. So O is dense in bV. Conversely, suppose that O is dense in bV. We have to show that given continuous functions f_1, \ldots, f_n on bV and $\varepsilon > 0$, there is a probability measure μ concentrated on O such that $|\eta(f_i) - \mu(f_i)| < \varepsilon$ for all j. Writing

$$F = (f_1, ..., f_n)$$
 and $\eta(F) = (\eta(f_1), ..., \eta(f_n))$

we see that this amounts to $\|\eta(F) - \mu(F)\| < \varepsilon$, where the norm is the sup norm in \mathbb{C}^n . Now by [**B04**, Cor. V.6.1] $\eta(F)$ lies in the convex hull of F(bV) (which is compact by Carathéodory's theorem [**B87**, 11.1.8.7]). So $\eta(F)$ is a convex combination $\sum_{i=1}^{N} \lambda_i F(\omega_i)$ of elements of F(bV). But F(O) is dense in F(bV), so we can find $w_i \in O$ such that $\|F(\omega_i) - F(w_i)\| < \varepsilon$. Putting $\mu = \sum_{i=1}^{N} \lambda_i \delta_{w_i}$ where δ_{w_i} is Dirac measure at w_i , we obtain the desired probability measure μ .

Remark 1. One might wonder if condition (2) is equivalent to the following *a priori* weaker but already interesting property:

(2') O has dense image in any compact quotient group of V.

Here is an example showing that (2') *does not* imply (2): Let $V = \mathbf{R}$ and $O = \mathbf{Z} \cup 2\pi \mathbf{Z}$. Then clearly O has dense image in every compact quotient $\mathbf{R}/a\mathbf{Z}$. On the other hand,

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considering the irrational winding $\alpha : \mathbf{R} \to \mathbf{T}^2$ defined by $\alpha(v) = (e^{iv}, e^{2\pi i v})$, one checks without trouble that $\overline{\alpha(O)} = \mathbf{T} \times \{1\} \cup \{1\} \times \mathbf{T}$, which is strictly smaller than $\overline{\alpha(V)} = \mathbf{T}^2$.

Remark 2. A net of probability measures μ_T converging to Haar measure on bV as in (4) has been called a *generalized summing sequence* by Blum and Eisenberg [**B74**]. They observed, among others, the following characterization.

LEMMA 2. The following conditions are equivalent:

- (1) μ_T is a generalized summing sequence;
- (2) The Fourier transforms $\hat{\mu}_T(u) = \int_{bV} \omega(u) d\mu_T(\omega)$ converge pointwise to the characteristic function of $\{0\} \subset V^*$.

Proof. This characteristic function is the Fourier transform of Haar measure η on bV. Thus, condition (2) says that $\mu_T(f) \to \eta(f)$ for every continuous character $f(\omega) = \omega(u)$ of bV; whereas condition (1) says that $\mu_T(f) \to \eta(f)$ holds for every continuous function f on bV. Since linear combinations of continuous characters are uniformly dense in the continuous functions on bV (Stone-Weierstrass), the two conditions imply each other.

For our third lemma, let G be a group, V a finite-dimensional G-module, and write V^* for the dual module wherein G acts contragrediently: $\langle gu, v \rangle = \langle u, g^{-1}v \rangle$. We have

LEMMA 3. Suppose that V is irreducible and $\phi(g) = \langle u, gv \rangle$ is a nonzero matrix coefficient of V. Then every other matrix coefficient $\psi(g) = \langle x, gy \rangle$ is a linear combination of left and right translates of ϕ .

Proof. Irreducibility of *V* and (therefore) V^* ensures that *u* and *v* are cyclic, i.e. their *G*-orbits span V^* and *V*. So we can write $x = \sum_i \alpha_i g_i u$ and $y = \sum_j \beta_j g_j v$, whence $\psi(g) = \sum_{i,j} \alpha_i \beta_j \phi(g_i^{-1}gg_j)$.

Finally, our fourth preliminary result is the famous

LEMMA 4 (VAN DER CORPUT) Suppose that $F : [a, b] \to \mathbf{R}$ is differentiable, its derivative F' is monotone, and $|F'| \ge 1$ on (a, b). Then $\left| \int_{a}^{b} e^{iF(t)} dt \right| \le 3$.

Proof. See [**S93**, p. 332], or [**R05**, Lemma 3] which actually gives the sharp bound 2.

3. *Proof of Theorem* 1

By Lemma 1, it is enough to show that Haar measure on bV is the weak* limit of probability measures μ_T concentrated on the orbit under consideration; or equivalently (Lemma 2), that the Fourier transforms of the μ_T tend pointwise to the characteristic function of $\{0\} \subset V^*$. (Here we identify the Pontrjagin dual with the dual vector space or module.)

To construct such μ_T , we assume without loss of generality that the action of *G* on *V* is effective, so that we may regard $G \subset GL(V)$. Let $K \subset G$ be a maximal compact subgroup, $g = \mathfrak{k} + \mathfrak{p}$ a Cartan decomposition, $\mathfrak{a} \subset \mathfrak{p}$ a maximal abelian subalgebra, $C \subset \mathfrak{a}^*$ a Weyl chamber, $P \subset \mathfrak{a}$ the dual positive cone, and *H* an interior point of *P*; thus we have that $\langle v, H \rangle$ is positive for all nonzero $v \in C$. (For all this structure see, for example, [K73b].)

We fix a nonzero $v \in V$, and for each positive $T \in \mathbf{R}$ we let μ_T denote the image of the product measure Haar × (Lebesgue/T) × Haar under the composed map

$$K \times [0, T] \times K \longrightarrow Gv \longrightarrow bV$$
$$(k, t, k') \longmapsto k \exp(tH)k'v$$
$$w \longmapsto e^{i\langle \cdot, w \rangle}.$$

Here exp : $\mathfrak{a} \to A$ is the usual matrix exponential with inverse log : $A \to \mathfrak{a}$, and the brackets $\langle \cdot, \cdot \rangle$ denote both pairings, $\mathfrak{a}^* \times \mathfrak{a} \to \mathbf{R}$ and $V^* \times V \to \mathbf{R}$. By construction the μ_T are concentrated on the subset Gv of bV [**B04**, Cor. V.6.2.3]. There remains to show that as $T \to \infty$ we have, for every nonzero $u \in V^*$,

$$\int_{K\times K} dk \, dk' \, \frac{1}{T} \int_0^T \mathrm{e}^{\mathrm{i}\langle u, k \exp(tH)k'v\rangle} dt \to 0. \tag{*}$$

To this end, let

 $F_{kk'}(t) = \langle u, k \exp(tH)k'v \rangle$

denote the exponent in (*). We are going to show that Lemma 4 applies to almost every $F_{kk'}$. In fact, it is well known (see for example [**K73b**, Prop. 2.4 and proof of Prop. 3.4]) that a acts diagonalizably (over **R**) on *V*. Thus, letting E_{ν} be the projector of *V* onto the weight ν eigenspace of a, we can write

$$F_{kk'}(t) = \sum_{\nu \in \mathfrak{a}^*} \langle u, kE_{\nu}k'\nu \rangle \mathrm{e}^{\langle \nu, H \rangle t}.$$

Now we claim that there are nonzero v such that the coefficient $f_v(k, k') = \langle u, kE_v k'v \rangle$ is not identically zero on $K \times K$. (Then f_v , being analytic, will be nonzero *almost everywhere*.) Indeed, suppose otherwise. Then, writing any $g \in G$ in the form *kak'* (*KAK* decomposition **[K02**]), we would have

$$\langle u,gv\rangle = \sum_{\nu\in\mathfrak{a}^*} \langle u,kE_\nu k'v\rangle \mathrm{e}^{\langle\nu,\log(a)\rangle} = \langle u,kE_0k'v\rangle.$$

In particular the matrix coefficient $\langle u, gv \rangle$ would be bounded. Hence so would be all matrix coefficients, since they are linear combinations of translates of this one (Lemma 3); and this would contradict the noncompactness of $G \subset GL(V)$.

So the set $N = \{v \in \mathfrak{a}^* : v \neq 0, f_v \neq 0\}$ is not empty. It is also Weyl group invariant, hence contains weights $v \in C$ for which we know $\langle v, H \rangle$ is positive. Therefore, maximizing $\langle v, H \rangle$ over *N* produces a positive number $\langle v_0, H \rangle$, in terms of which our exponent and its derivatives can be written

$$\frac{d^n}{dt^n}F_{kk'}(t) = e^{\langle v_0,H\rangle t} \sum_{\nu \in \mathfrak{a}^*} f_{\nu}(k,k') \langle \nu,H\rangle^n e^{\langle \nu-\nu_0,H\rangle t}$$

where $\langle v - v_0, H \rangle < 0$ in all nonzero terms except the one indexed by v_0 . (Here we assume, as we may, that *H* was initially chosen outside the kernels of all pairwise differences of weights of *V*.) From this it is clear that for almost all (k, k') there is a T_0 beyond which the first two derivatives of $F_{kk'}$ are greater than 1 in absolute value. So Lemma 4 applies and gives

$$\int_{T_0}^T \mathrm{e}^{\mathrm{i}F_{kk'}(t)} dt \, \bigg| \leq 3 \qquad \forall \, T.$$

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Therefore we have $\lim_{T\to\infty} \frac{1}{T} \int_0^T e^{iF_{kk'}(t)} dt = 0$ for almost all (k, k'), whence the conclusion (*) by dominated convergence. This completes the proof.

4. Outlook

Theorem 1 says that the *G*-action on $V \setminus \{0\}$ is *minimal* **[P83]** in the Bohr topology. It would be interesting to determine if it is still minimal, and/or *uniquely ergodic*, on $bV \setminus \{0\}$.

It is also natural to speculate whether our theorems have a common extension to more general group representations. Here we shall content ourselves with noting two obstructions. First, Theorem 1 clearly fails for *semis* groups with compact factors. Secondly, Theorem 2 fails for V not of unipotent type, as one sees by observing that the orbits of **R** acting on **R**² by exp $\begin{pmatrix} t & 0 \\ 0 & -t \end{pmatrix}$ (i.e., hyperbolas) already have non-dense images in **R**²/**Z**².

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