Beyond Sovereignty? The State after the Failure of Sovereignty

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Sovereign state power, absolute and unlimited, were supposedly to guarantee the lives and property of citizens. Instead, States became vectors for mass violence. The model of sovereignty failed in global wars of mass destruction at the same time as the destructive technologies linked the entire world in near instant communication and travel. Thus the possibility for global governance confronted by the reality of an archaic and inapt juridical concept. Sovereignty must therefore be reconceptualized and understood as a relative and partial power shared at multiple levels in an intensively networked world.

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I. Sovereignty

A. Definition of Sovereignty

The sovereign power is defined as the highest authority having general and final effects at law.¹ Sovereignty is the supreme power of the State and is unconditional.² This supreme power is absolute, independent and without control.³ It is a total power, perpetual, indivisible and unlimited⁴ over life and death issues. It is an absolute power to use, have, and dispose of property and lives of the subject. The sovereign power of the state was analogous to the right of property.

B. Analogies between Sovereignty and the Ruler to Property and Family

We can see from this that the State as a social relationship to the subject is modelled after a property relationship. The subjects are analogous to the property of the state and in its religious variants the state and the king, "owners" (sovereigns) of subjects are themselves in turn the property of the gods.

Another analogy is that the State is like an extended family. This model of the state is paternalistic. The accuracy of this comparison is obvious when we recall that the Roman patriarch had the absolute right to dispose of the life and property of his children. Thus, it seems that the ultimate foundation of the legal concept of "sovereignty" in civil law countries is found in an extension of private family and property law to the design of State. Thus, these two models are mutually reinforcing.

I criticise the analogy between sovereignty and property because, though legally accurate, this relation puts people in a sort of tutelage, as property of the state or like a minor child of the sovereign. Foucault identifies the problem more precisely in his critique of sovereignty: that the sovereign power is the extension of the body of rulers on the body politic, social and individual governed. Foucault’s critique holds for both analogies. Sovereignty can also be criticized as patriarchal (men almost always hold the reigns), and paternalist (the welfare state). Sovereignty is also worthy of critique because sovereignty does

not help in identifying and resolving practical contemporary problems. This is for at least four reasons:
1) The world is interdependent. A plurality of power indicates that between them no authority could be final
2) The sovereign power - an absolute power – is inevitably capable of being abused, because it is an unlimited power.
3) The definition of state power is unilateral: the State has all the rights and none of the duties
4) The sovereign power is incapable of solving real social problems.
Instead of addressing how to manage an interdependent world sovereignty wondered how to defend the nation-state. That is unrealistic. First nation-states, if they ever existed, are no longer the dominant form of states – multinational and federal states are now dominant. The sovereignty model of the nation state is also unrealistic because states no longer have the absolute power to make war, happily, for atomic weapons make war so destructive that it is in practice unrealistic.
To carry out our critique of sovereignty we will consider the history of the definition of power and its historical development.

C. Origins of the Sovereign Power

1. Religious Justification: Auctoritas

In our analysis of sovereign power, if we go back to its intellectual and historical sources, we are brought face to face with two concepts, auctoritas, and potestas. This is a duality which describes the relationship between power (potestas) and authority (auctoritas), which are united under the sign of sovereignty. Let us first analyse auctoritas.

Authority (auctoritas) is the moral right to rule. The reasons for this right will change over time - religious regimes, aristocracies, oligarchies, republics, democracies et cetera, each use a principle to legitimize themselves in the eyes of citizens and other legal persons. Camilleri divides authority into three parts, morality, custom, and coercive. For example, with respect to the EU, the authority of the Union is found in moral positions - peace is desirable, and in the historical experience of war. The coercive power of the EU is essentially limited centering adjudication of customs, trade

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and human rights. But the European Union has an auctoritas, a moral right to rule, beyond its powers. Auctoritas, indeed, may be assimilated to naturalist theories of law - that the sovereign is sovereign because it is fair.

2. Political Reality: Potestas

Power (potestas), in contrast, is the real fact of power - otherwise known as force. To continue our analogy, potestas is analogous to positive law, the law of the strongest. By way of example, rebels have potestas without auctoritas. Religious rulers have auctoritas without potestas.

This division between auctoritas and potestas seems to be connected to the fall of the Roman Empire and the growth of the church, the former losing power, the other maintaining authority: a decline of the imperial forces, but a preservation of religious institutions. These institutions had no power but could legitimate the brutal conquerors. In any case, the ruler is he who unites these two principles.

Yet this justification is circular: The circularity of this design appears when one perceives that power (potestas) is justified by its success -- power is self-justifying. The ability to govern (potestas) justifies that power. Conversely, those who do not have the power to rule obviously also lack merit and therefore their powerlessness is also justified. In other words, in practice, auctoritas cannot delegitimize potestas. By contrast, a potestas can also become an auctoritas simply by custom. Thus moral authority, as a separate source of legitimation from physical power, becomes increasingly marginalized to the point that power replaces it in toto and auctoritas becomes purely symbolic.

This duality also hides conflicts for the absolute power that is sovereignty. Those who have lost their last round of a power struggle nevertheless assert their authority, while those who hold power seek to expand their authority. This pointless and destructive game seems inherent to the absolute definition of sovereignty and so a new definition of the relations between the state and citizen and ruler is desirable.

II. Historical Sources
We have just seen a little of the medieval history of sovereignty which define its moral and legal content. We now extend our historical analysis to explain why the concept of sovereign power is unfit to manage the contemporary world.

A. History of the State

The nation state is a consequence of religious wars. The Treaty of Westphalia established the principle that the people of a nation would obey the religion of their prince. Through the unity of faith and state it was hoped to avoid the wars of religion which characterized the XVI and XVII centuries. Unfortunately, the historical experience shows that the nation state, the successor to the religious state, was also bloody. This explains why the nation-state is being replaced by international and sub-national organizations.

B. Transformation of Feudal Sovereignty into Liberal Sovereignty

One might suppose that the transfer of the absolute power of a prince to a legislative assembly would have improved the situation. But when we examine the relationship between the citizen and the state we see the citizen is still subject to an absolute and in fact unlimited power.

The problem with the concept of sovereignty under the liberal state is as follows: The historic conception of sovereignty consisted simply of a transfer of absolute powers of a prince to a representative assembly. Thus, the liberal revolutions did not reconceptualise the nature of their power, just the source of their power.

III. Critiques of Sovereignty

A. Theoretical Aporia

If one defines sovereignty as an absolute and final power, paradoxes and questions result. Which body is the holder of that absolute power? There are several possible responses - the parliament, the people, or the State. Further, the term sovereignty is polysemic. One can

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7 Michel Troper, La Titulaire de la souveraineté in Krawitz, Pattaro, & Tay (eds.) Rechtstheorie n° 17, Rule of Law, Berlin : Ducker & Humblot, p. 137.
distinguish several different types of sovereignty:
1) the quality of independence of any State in relation to external powers
2) the supremacy of the State’s power
3) the quality of an organ of state as supreme power.\textsuperscript{8}

These definitions may be fact complementary but developing the concept of sovereignty is difficult due to polysemy.

Apart from the difficulties in defining the body which holds the sovereign power, we can criticize sovereignty as it presents some logical paradoxes.

B. Paradoxes of the State

A first paradox raised by the state is a form of circularity: the State is both a creator of law is created by the law it creates. This fiction is resolved by a simple recognition that the state is ultimately a legal fiction and is not a real entity.

Another paradox of State is the fact that if the State claims to hold absolute power and unlimited power then it cannot be limited.\textsuperscript{9} In other words, the rule of law is logically impossible in a sovereign state. An absolute power is by definition an unlimited power. Thus, the ideas of sovereignty and the rule of law are logically incompatible. We can integrate this paradox simply by recognizing that the State is a legal fiction. The existence of these paradoxes tends to refute the Kantien and Hegelien view of the State as the ideal entity which express the spirit of the world, and supports our criticism of the international system as it is based on the nation-state.

C. Sovereignty of Nation States led to Pointless, Bloody and Destructive Wars

In the end the myth of the nation-state proved itself to be a bloody nightmare. Rather than enabling humans to reach their maximum capacities, the nation state had become an idol which sought human sacrifices in the plains of Belgium in 1914 and throughout the European

\textsuperscript{8} Michel Troper, La Titulaire de la souveraineté in Krawitz, Pattaro, & Tay (eds.) Rechtstheorie n° 17, Rule of Law, Berlin : Ducker & Humblot, p. 139.

\textsuperscript{9} “how can a power supposed to be omnipotent irrevocably limit itself?” Suber, Pater "The Paradox of Self-Amendment in Constitutional Law" Stanford Literature Review, Spring/Fall 1990. Page 55.
continent between 1939 and 1945. Because of this experience, the nation-state has been
delegitimized as a source of authority. This explains the apparent powerlessness of European
states to resist economic and political integration via the European Union. For, although the
EU has no real democratic content, and so is also not legitimate, unlike any State of the
European continent it does not have a history of continental military ambitions which led to
the human sacrifices of 1914-1945. The nation state is obsolete because of historical events
and technological changes. The question is how to develop institutions capable of solving the
real problems of our world. And the answer is a reconceptualisation of sovereign power.

In sum, the source of the delegitimisation of the legal form nation-state, and especially its
expression in the states of the European continent, is a violent history. The promise of the
European Union is to replace the dynamics of deadly competition of nation states with a
positive interdependent cooperation between the countries of Europe. But as the EU lacks a
real democratic basis, it remains an elite toy for ministers and other officials to satisfy their
personal ambitions without taking into account the needs of Europeans who do not belong to
the class of elites. This is the famous "democratic deficit"

D. The Description of Reality Proposed by the Concept of National State is Inexact

The nation state is based on the presupposition of the existence of a mythical community - 'the
people', and the existence of a transcendent entity corresponding to a geographical location
which includes these people. But in fact the State is a legal fiction and correspondence
between the myth and objective reality is only partial, and can only be partial.

1. The Existence of a People is a Myth. Its Correspondence to Reality is only Partial.

A major flaw of the myth of the idea of the nation-state is the simple fact that defining 'the
people' is impossible. For example, how do we define the French people? According to a
theory of birth on French soil? According to a historical membership in the Gallo-Romans? In
this case, what of the Franks, a Germanic people? Does one include colonized peoples in the
idea of 'France'? Linguistic, ethnic and religious groups have always had only partial
 correspondence with political boundaries and different identity groups are cross cutting and in
conflict. This lack of correspondence was precisely the very justification of the series of wars

10 Alexander Olten, The European Crucible, Ch. II p. 18 (à paraître).
in Europe (Alsace/Elsass is not the only example). In addition, the congruence between 'nation' (ethno-linguistic entity) and an area of trade increased tensions creating another justification for war. The unity of geography, people and trade encourages commercial and political wars for commercial reasons. In other words, even when there is a more or less accurate match between 'nation' and 'State', this correspondence only encourages economic autarky which not only hinders the trade but also encourages wars of predation, expansionism and nationalist crusades. The nation state does not in any way guarantee peace abroad and even encourages war by unleashing several reinforcing polarizing extremist dynamics.

The second conceptual problem of ‘sovereignty’ is the necessary but fictional connection between "the people" as an ethnic fact, the State as a juridical space, and the idea of the sovereign. But this fiction is not always appropriate. Thus the nation state is characterized by a certain disconnect between reality (ethno-linguistic and political facts) and the legal conceptions about those fact (the state as a legal fiction, a juridical construct). The nation state doesn't correspond to reality, probably cannot correspond to reality and definitely should not be the fundamental basic unit of the international system because nation-states lead to wars for resources and market share.

2. The State has no Transcendental Existence as an Ideal Type. The State is in Fact an Assembly of Fictions and Myths.

Another difficulty that we encounter in our analysis of sovereignty is the fact that "the State" is a legal fiction. In legal terms, this is in theory not at all problematical. But in contrast, in the world of practice, mythography since Plato has sought to anthropomorphise the fiction by providing it a real existence as a transcendent entity. The most striking recent examples of this are Kant and Hegel who see the state as the supreme expression of human beings; the state as the product of a dialectical idealism leads to valuing it above the human. The consequence was a subordination of the individual to the State, the glorification of the state, and a justification for national wars which delegitimate the structure which they proposed to serve, the nation state.

11 "D'une part, la nation déracine l'individu scolarisé et urbanisé des communautés ... locales et l'intègre dans un group plus global dont les idéologies d'intégration nationales, voire nationalistes, le persuadent qu'elle constitue une communauté naturelle matricielle. Cet ensemble sociologique de rebricolage idéologique organiciste que constitue la nationa inter-pose, ou veut interposer, entre le marché international, l'économie monde et l'individu atomisé, une communauté de substitution, d'autant plus nécessaire que les autres cadres (religions, idéologies familles) se trouvent érodés." Joseph Krulic "La Revendication de la Souveraineté", Pouvoirs 67, 1993 pages 31-32.
3. No Contemporary State is Autarchic: The State Today is Interdependant

Another problem with the idea of sovereignty is the fact of interdependence. No state today is truly independent, except perhaps the North Korea. Moreover, the finality of state autarchy is not desirable. Historically, autarchy has led to foreign wars and internal repression: One state cannot intervene in another’s internal affairs; subjects of a state cannot appeal the decisions of a sovereign over them. On behalf of the glory of State X, I take / rape / kill you -- those are the statements of traditional sovereignty.

Autarchy and independence among states today are exceptional. This is because autarchy leads to poverty and war. To say that any given state authority is final and independent is not really possible. Economic reality no longer corresponds to the historical model of sovereignty still implemented in the legal definitions of the state and sovereignty. This fact explains why it is necessary to build democratic institutions of governance at the international level.

Historically, the problem of sovereignty is an incorrect definition of powers under the monarchies, then a transfer of these badly-defined powers to liberal democracies which resulted in wars and repression. Logically speaking, because the fundamental definition of the state and sovereignty is wrong, the consequences which follow from these wrong definitions will likely also be wrong.

IV. Conclusion : The Obsolescence of the Idea of Sovereignty

The concept 'sovereignty' is obsolete. One reason for this obsolescence is globalization. Another is the growing number of states which today number around 200. The idea of a State corresponding to each nation and vice verse proves itself in the end to be an impossible fictition. Who are the Indian people? Or the Americans? Diversity of religion, language and ethnicity indicates that the finding the State in or founding it on a nation is impossible. The so-called national states, which are often multi-ethnic, and which will become increasingly multi-ethnic, are too big to solve their internal problems, and too small

13 "l'accroissement du nombre d'acteurs... rend plus difficiles la gestion et la stabilité du monde interétatique" ibid., page 26.
to solve their problems externally. The national state is also outmoded because of technological developments.

In summary, the world is not neatly divisible into ethnicities congruent to a particular geopolitical space. Instead we have instant global communications, with the ability to move rapidly between continents. To manage this interdependent world we need new democratic institutions that take into account the historical experiences and objectives described below.

V. The Transformation of Sovereignty

The delegitimization of the nation-state as a political form also enables us to reconsider the model of legal sovereignty. A radical reconsideration the international system is possible precisely because of the deadly debacle of 1914-1945.

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A. The Transformation of Nation States

The model proposed by the founders of the European project, to create interdependence by a customs union, was intended to replace the nation state by an interdependent continental system to break the equation of war and profit.

Before the Treaty of Rome, especially before the Second World War, war could be profitable for a State or a social class of a State because the State was relatively autarchic and economically hermetic - trade and territory were united. Since the treaty of Rome, European states have become ever more interdependent, which implies that a war among them would be unprofitable. If this model of trade and interdependence making war less likely is correct then how is the model to be applied globally? The WTO is a limited answer in that it only addresses trade, not political, security or cultural issues. Of course, both the Commonwealth and the Francophonie allow possible routes for expansion of the EU. It can also happen by a replication of the European model, for example, in Mercosur, Asean, and more recently by NAFTA. Yet

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14 "We are encountering today an ever more widespread belief that a world map composed of sovereign states no longer provides - if it ever did - a useful conception of how the world as a whole is constituted." Richard Falk, "Evasions of Sovereignty" in Contending Sovereignties - Redefining Political Community (Boulder & London: Lynn Rienner Publishing 1990), Page 61.
(Source: Nous rencontrons aujourd'hui une croyance de plus en plus commun qu'une carte-mondiale composée des Etats souveraines ne présente pas encore - s'il a jamais le présenté - une conception utile du monde tel qu'il se constitue.")
two risks remain: first, that these models are used as supranational elites. That is to say that the multilateral granted should not remain as simple administrations: they must also have a link to the democratic citizens. Another risk is that these multilateral systems, whatever their form, could grow into new Empires with new military ambitions. In other words, we must avoid seeing competition between the five great powers of Europe replicated and transposed into the global level as a destructive competition between the world's major trading blocs (Asean, the European Union, NAFTA and Mercosur). That would simply replicate the problem we are trying to avoid - and this time, the stakes will be nuclear. In short, interdependence between these major trading blocs must be sustained by global organizations such as OECD, WTO and IMF.

B. Challenges for the New International System

And here we see the risk. First, these institutions still have no democratic content. They in no way guarantee a minimum level of well being for the working class which creates the possibility of de legitimization and fundamentalism as a reaction by people forced to cope with economic "restructuring". Then, in a similar analysis, these institutions must somehow take into account the needs and interests of Third World countries. Otherwise we will still see fundamentalism, poverty (which leads to overpopulation) and the risk of wars and terrorism and the liberal institutions intended to secure peace through interdependence and trade will be merely vacuums for raw materials, with no regard for the standard of living and development of third world countries creating the illusion of wealth and the reality of resentment and the risk of rebellion.

C. How to Reconceptualise the State?

A usual view of modernity is that liberal revolutions transferred the sovereignty of princes to the people represented by their elected representatives. One of the criticisms of that view is the fictitious nature of this transfer and the inexactitude as to the powers supposedly transferred. Reconceptualizing this transfer of power by examining and applying it to sub-national and super-national units under as well as redefining the sovereignty, the state and power are ways to meet the criticisms of the liberal state.

1. Disintegration by Sublimation
The most obvious direction of transformation is the transfer of powers state power to supranational bodies such as the European Union, the United Nations the WTC etc. Although such a transfer is a policy needed to manage the world, without democratic supports such transfers of power will have no legitimacy and will serve the interests of elites.

2. Disintegration by Devolution

Magnusson saw that the polity begins with a closure to create a community. For Magnusson, this closure is the precondition of politics. Thus, for Magnusson, the function of State is not to be autarchic, but to establish order.15

The problem with this perspective is that it makes enthymematic presumptions about and out of the order which it creates. As Hobbes, Magnusson appears to conceive of: 1) a state of nature 2), which is brutal - the war of all against all. However the state of nature is a fiction. Moreover, human are not a priori prone to either violence, or gentility. Though because it is almost always more difficult to initiate an act than to choose inaction there is a certain inertia in human affairs. So I would say that the function of the social body, whatever its form, is to preserve order.

Magnusson nevertheless raises other very interesting issues. First, he shows the circularity of "nation" and "State", and how each defines and justifies the other -- the state as physical incarnation of the ideal of the nation, the nation as justification for acts of state.16 He implies with this circularity in that: 1) the two concepts are empty of meaning, they are tautologies 2) that one (the nation) is irrational and that the other (the state) is authoritarian or even totalitarian.

Either on addition to or in place of, the design of sovereignty he offers the idea of movements. Their advantage is that they are, like the modern world generally, permeable. He defines them by their attributes:

A. Multiplicity.

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There is a multiplicity of movements, which are not mutually exclusive.

B. Impermanence.

Movements come and go. They are not permanent.

C. Inchoate.

Membership in movements is not determined or is indeterminable.

D. Inclusive.

The movements seeking to integrated rather than exclude.

E. Without borders.

Movements are not geographically connected.

Despite the ambiguity, Magnusson sees movements as real communities, because they include the individual, trying to give their members a way to voice their political views. Thus I prefer to develop a communitarian perspective on sovereignty which looks to the relationships between individuals and the organs of state power at various levels among each other and not merely at the level of the supposedly absolute powers of these bodies over the individual.

*D. Reconceptualisation of Sovereignty: The State Powers Necessary for Our Era*

The powers of the State necessary for our era are 1) a limitation of state powers on the lives of people. The militarized national security state has been rendered obsolete, paradoxically, due to the vast destructive power of nuclear weapons - and so much the better. Nuclear war is suicidal and that is one reason war is no longer a key aspect of inter-state relations, at least among nuclear armed states. 2) an expansion of duties of the state to ensure the welfare of people. The specialization of state powers into police powers, economic powers and military power, is necessary. Functionally defined organs of governance should be implemented at the various levels (sub national, national and supernational) depending on the specific nature of there powers. Through a process of devolution of powers to sub-national entities as well as a through subsumation of other powers to supra national entities as well as through a redefinition of sovereignty the international system can be transformed further to meet the needs of our era. This transformation is necessary because the nation-state has failed catastrophically and is indicted for the bloody wars that have characterized the twentieth

century. The legal consequence of this conclusion is the need to create legal and political institutions at the international level