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The Educational Policy Dilemma for Rural Areas

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The Educational Policy Dilemma for Rural Areas

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The article entitled "General Education versus Special Education for Rural Development" (Elinor G. Barber, this issue) sets out to provide a long-overdue reaction to the decade-long emphasis on nonformal educational alternatives, particularly for rural learners. Yet, while a reassessment of the value of general formal education for the rural areas is badly needed, the article fails to provide a convincing argument in its favor. Instead, the article presents a confusing series of arguments buttressed by a bewildering array of research citations which miss the point of the argument. At first reading, the argument seems plausible, but a more careful reexamination of the logic reveals some serious flaws which leave the reader unconvinced of the merit of the basic thesis. Worse, the article provides policymakers with little in the way of realistic policy options with regard to rural education.

The problems of the article can be usefully discussed under four general headings. First, the topic cannot be addressed without linking the various alternatives for rural education to the larger issue of the kind of development which will be enhanced by the choice of policy. There are clear linkages between the chosen educational strategy and its impact on the lives and options of the poorer segments of the population. Second, an examination of the situation existing in the many countries now operating under a policy of one system of primary education for all reveals a de facto dual system that perpetuates the inequities. Advocating an expansion of general education, as the article implicitly does, without addressing the realities, seems hollow in the light of the problems educational planners face. Third, the article confuses critiques of the failures of the formal education system in rural areas with advocacy of alternative approaches. In so doing, it fails to address the very real limitations of formal education in a rural context. Fourth, the article uses the terms formal education, basic education, and general education on the one hand as equivalent, and on the other hand, nonformal, practical, and vocational education as the alternative. The casual grouping of these terms as representative of two distinct policies creates considerable conceptual confusion, particularly among readers who are accustomed to the generally accepted meanings of these terms in educational literature

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Education for What Kind of Development?

Perhaps the most serious flaw in the arguments presented in Barber's article is the failure to perceive more than one dimension to the problem. In essence, the argument proceeds from a premise that there is a onedimensional continuum ranging from a single, general education system for all pupils at one end, to a dualistic system which provides different education for rural and urban pupils at the other. The important issue is not just an analysis of the pros and cons of a particular position along this continuum, but the likely impact of different policies on social and economic equity. Will Universal Primary Education (UPE) contribute to a significant improvement in access for the rural and urban poor to economic and political rewards within society? Or will UPE be found ultimately to maintain or even worsen the relative competitive position of the rural poor? Similarly, will the promotion of one or another set of alternatives in a dualistic fashion improve or worsen the chances for an improved life for the poorest parts of the population? Failure to examine the outcome of education in this sense, and its linkage to the various institutional options for providing education, undermines the meaningfulness of the conclusions which are drawn.

Arguing for the single-system end of the continuum, the Barber article seems to be firmly grounded on the unexamined assumption that general availability of formal primary education will lead to increased equity and improved income distribution, particularly for the rural poor. This assumption needs careful analysis, since current evidence increasingly suggests that, in fact, increased investment in formal education may serve to maintain or even worsen the income inequities in society. In his new book, The Education Dilemma, John Simmons marshals recent research evidence which suggests that "the educational process acts as a disequalizer of income."1 The combination of regressive tax systems and educational expenditure patterns which favor the middle- and upper-class urban groups serves to allocate society's resources in a way which works to the detriment of the poorer groups. Simmons argues that the educational system, while not the only factor contributing to increased inequality, serves to legitimize the process by which a small minority is chosen for high-status occupations. Children of poor families are dramatically underrepresented in the cohorts which complete various levels of schooling, with the discrepancy increasing as one proceeds through the three levels of schooling.

Other authors argue even more forcefully that the educational system cannot serve to change societies whose basic economic and political structure creates the inequities. Bowles states bluntly that "the primary obstacle to more bountiful and broadly shared economic rewards is the distribution

¹ John Simmons, ed., The Education Dilemma: Policy Issues for Developing Countries in the 1980s (New York: Pergamon, 1980), p. 40.

of power, not the distribution of human capital." Colclough and Hallak, in the article cited by Barber, conclude that "fundamental educational change may not be possible in many societies without a significant change in the existing structure of economic and social relationships. . . ." It is the view of these writers that the role of the educational system is at best limited to maintaining the existing social structure. Continued expansion of the educational system to include more of the poorer groups will do little to improve their relative position in the society.

A second problem with the assumption of the efficacy of widespread general education is the confusion of equal access with equal opportunity. As the United States learned during the 1960s, merely providing equal access to schooling for various disadvantaged groups of learners did not lead to equal opportunities in the form of education outcomes. A wide range of nonschool factors influences the performance of children in school and hence their likelihood to be promoted, to pass examinations, and to gain access to the next level of schooling. Nutrition, quality of the home environment, education level of the parents, and the language spoken at home are just a few of the factors which are strong predictors of school performance. In developing countries the differences in these background factors between urban and rural settings are even greater than in the industrialized countries. Compounding the problem in developing countries is the common practice of making children repeat grades in primary school if they fail to pass all the subjects. Repeated failure influences self-concept and attitudes toward schooling on the part of both parents and children and further decreases the number of poor children who are actually able to complete primary school. Coombs suggests that in many rural areas fewer than 10 percent of the children actually finish primary school.4 Thus extending access to the standard forms of schooling to the rural poor is by no means equalizing their opportunity to participate in the economic and political life of the country.

Do any of the various forms of nonformal education offer a better route toward improved equity for the rural poor? After more than a decade of development of nonformal education programs, there is a wide diversity of examples, ranging from small pilot projects to large-scale national efforts. Nonformal education has been demonstrated to offer many advantages in meeting the learning needs of poor, rural, and other hard-to-serve clienteles. The flexibility in content, timing, methods, and location of nonformal education makes possible more effective ways of meeting the imme-

² Samuel Bowles, "Education, Class Conflict, and Uneven Development," in ibid., p. 226.

³ Christopher Colclough and Jacques Hallak, "Some Issues in Rural Education: Equity, Efficiency and Employment" (Seminar Paper no. 24, International Institute for Educational Planning, Paris, October 1975), p. 23.

⁴ Philip H. Coombs, "Education for Rural Development: Some Implications for Planning" (Seminar Paper no. 20, International Institute for Educational Planning, Paris, October 1975), p. 3.

diate learning needs of students and facilitates the integration of what is learned into the daily lives of the participants. The work of Coombs and his associates at the International Council for Educational Development (ICED) has amply documented the range of accomplishments which are possible with nonformal educational approaches.⁵

But nonformal education programs have achieved only limited acceptance either in official circles in ministries of education or among parents in many settings. An example analyzed by Colclough and Hallak illustrates the crux of the problem.⁶ Upper Volta embarked on a program to build a large network of Rural Education Centers (RECs) in which children aged about 14 or 15 would be given a 3-year, broadly based vocational and literacy training program. When the students completed the program they would have a combination of useful technical skills and would be of an age when they could realistically be expected to become productive working members of the community. After 1970, the formal primary system was to remain stable in capacity and any new resources were to be put into the expansion of the REC system. However, after a period of expansion, the RECs ceased to grow further, and new resources were diverted to continued expansion of the primary school system. This despite a reasonable level of success in reaching the objectives set for the REC program.

In contrast, there was general agreement that the primary education system was not particularly effective in contributing to an improvement of the quality of rural life, and only a small proportion of the students in the primary schools went on to secondary education. Yet, even the small chance of advancement and the concomitant access to economic and social mobility was enough to place an unfavorable negative imprint on the REC system. Colclough and Hallak predict that powerful political and social forces can be expected to press for UPE in Upper Volta, which will result in further collapse of the REC system. Any system which is seen as dualistic and hence limiting the options of one segment of the population will be unlikely to gain acceptance. They suggest that the only way the REC system could continue to work would be to develop it into a single unified system for all children in the country which would replace the primary school system.

The lesson from this and other similar examples is clear: any system which becomes a dual set of educational institutions will be seen as prejudicial to the group whose access is limited to the lower-status level. Any such system will face a difficult future either because it does not provide access to channels of mobility, or, in the few cases where the low-status alternative succeeds in beginning to effectively enfranchise rural groups,

⁵ See, e.g., Manzoor Ahmed and Philip H. Coombs, eds., Education for Rural Development (New York: Praeger, 1975).

⁶ Colclough and Hallak, pp. 19-22. See also Sven Grabe, "Upper Volta: A Rural Alternative to Primary Schools," in Ahmed and Coombs, eds.

because it is seen as a threat to the existing power structure. Simmons argues that any nonformal educational program which leads to an essentially dual system will ultimately work to reinforce the status quo, and thus will neither provide mobility for the poor nor promote their interests.⁷

Education thus presents a serious dilemma to policymakers who wish to promote increased equity in society. Both formal and nonformal alternatives seem to work to support existing inequitable structures. Nonformal education may provide alternatives if it is the only system, but has great difficulty surviving in the presence of competing formal systems which have the status to gain access to societal reward structures for its graduates. Educational systems of any kind are constrained to operate within the structural limits of the society which supports them. The problem facing policymakers is not one of choosing either single general systems or dualistic systems, but is rather that of making whatever mixed system they may have work in ways which support greater equity rather than increasing inequity in outcomes.

What Is the Current State of Primary Level Education?

Accepting for the moment the argument in favor of one system of primary school education for all, let us look at the situation in the many countries in the world which have been operating under just such a policy. The reality one finds is a large-scale de facto dualism within the existing educational structures. There are in effect two systems: the more extensive and higher-quality urban system which serves larger parts of the population and which leads to disproportionately high success rates for the wealthier parts of society, and the rural educational system which is limited in both quantity and quality and serves a much smaller proportion of the school-age populations.

The World Development Report 1980 provides some figures which illustrate the dual nature of the systems as reflected by the proportion of the school-age population enrolled in primary schools. Urban enrollment ratios exceed rural ratios by amounts which range from 20 percent to 100 percent, depending on the development level of the country. When comparisons are made of enrollment ratios as a function of the income level of the family, an even greater duality is revealed. Data from Nepal and India show enrollment rates for the richest 10 percent of families being anywhere from 50 percent to 100 percent higher than for the poorest 10 percent of families for boys, and three to five times as high for girls, particularly in rural areas. In addition, the low-income countries have the largest proportion of their population in the rural areas, ranging from 60 percent to 96

⁷ Simmons, p. 9.

⁸ World Bank, World Development Report 1980 (Washington, D.C.: World Bank, 1980), p. 47.

percent rural, according to the World Bank data. Primary enrollment rates show very large disparities in who is being served by the single general educational system, particularly for the poorer countries. Girls and both the rural and urban poor are the most underserved. The combination of disparities in enrollment ratios and the large rural populations indicates the extent to which the system is in fact dualistic in nature.

In fact, in the low-income countries, which when defined by World Bank criteria contain nearly two-thirds of the world's population, ¹⁰ one can argue convincingly that the educational system really is composed of three parts: the large proportion of the rural population which is essentially left out of the system altogether, the middle group which has access to moderate quality primary education but little chance of advancement, and the small, largely urban and upper-income group which has access to the full secondary and university-level education. One can see how such a system reinforces existing inequities in the social and economic structure.

But has not the very extensive expansion of educational facilities during the past 2 decades made universal primary education an achievable goal in the not too distant future, and therefore should not one continue toward that goal while working to remove the inequities indicated in the previous paragraph? While enormous progress has been made, primary enrollment ratios for the developing regions of the world rarely exceed 80 percent on a regional average basis. The highest ratios are found for boys in Latin America and the Middle East, followed closely by Asia. The lowest ratios for boys are found in sub-Saharan Africa, where they hover around 50 percent. The data for girls are much less encouraging, starting around 30 percent for sub-Saharan Africa, rising to around 50 percent for the Middle East and South Asia, and reaching 70-80 percent in East Asia and Latin America.¹¹ These data are deceptive. If one asked what percent of the age group completed 6 or even 4 years of schooling, the numbers would be much lower. The World Development Report suggests that in northeast Brazil only 4 percent complete 4 years of schooling, in a country where the national average enrollment rate in primary education is 90 percent.¹² While perhaps an extreme example, it graphically illustrates the difficulty of the challenge posed by the goal of extending formal schooling to all.

When the enrollment data are combined with an analysis of the financial resources likely to be available to education in these countries, the prospects for rapid change in the situation are not encouraging. Most countries are already at or even above realistic ceilings for educational expenditures, and hence any increase in primary schooling will have to

⁹ Ibid., p. 148, table 20.

¹⁰ World Bank, Education Sector Policy Paper (Washington, D.C.: World Bank, n.d.), p. 100, annex 1.

¹¹ World Bank, World Development Report 1980, p. 47, fig. 5.1.

¹² Ibid., p. 47, and p. 155, table 23.

come either from increased efficiency or from reallocation of resources now used for secondary and higher education. The latter source is unlikely, given the importance attached to the higher levels of education by the elite groups who can exert considerable influence over such decisions. These problems were initially articulated in Coomb's book, *The World Educational Crisis*. A reanalysis of that perspective is now under way by Coombs, but at least for the low-income countries, one would predict that the crisis is very much alive and well. The implication for this discussion is that the de facto dual system currently in place in the low-income countries is going to be with us for some time to come.

The Barber article argues that dualistic systems are inappropriate, and hence by implication advocates that countries pursue expansion of general formal education for all. Yet population and resource data show that in fact the current system is highly dualistic in nature, and that without significant changes in the design of primary education, the low-income countries are not going to be able to make much progress in reducing the inequities. Policymakers in these countries are thus presented with a theoretical position and its implied recommendations, without any suggestions as to how such recommendations could in fact be implemented.

What Are the Virtues and Limits of Primary Schooling?

Much of the Barber article is devoted to demonstrating that the primary schools can have positive effects on individuals and on various development-related activities. While it is useful to be reminded of these facts and helpful to have a review of some of the more recent research, the argument seems to bypass the essential issues in the larger discussion. A spirited defense of primary schooling is necessary only in the context of the more extreme deschooling arguments which presume that various nonformal educational alternatives should replace formal schooling. Almost no one advocates such a position in its pure form, and no government, not even the most radically revolutionary, has policies which even approach such a position.

Demonstrating that primary schooling has positive effects for rural areas, is associated with increased agricultural productivity, and at least keeps mobility options open for those who manage to finish is not a sufficient basis for the conclusion that there is a "persuasive case for general education in rural areas." At best such arguments form the basis for supporting primary schooling as a viable option for rural education. Colclough and Hallak argue persuasively that demonstrating a relationship between education and agricultural productivity is not the same as demonstrating a causal relationship, and that the "implications for policy of this kind of research may in any case be trivial." They argue that it is less important to know the impact of primary schooling on productivity than

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to know how its effects "compare with those of alternative types of organised learning systems, including literacy programmes, extension, nonformal, and basic education approaches." Policy decisions are by their very nature a choice among options. Hence, what is needed is comparative research which illuminates the pros and cons of various approaches under specific circumstances.

The article rightly highlights some of the limitations of specific kinds of rural vocational education and the difficulties of predicting the various activities which individuals will engage in during their working lives. However, to then argue that formal schooling is the better course assumes that proponents of these alternatives are suggesting that vocational education should completely replace primary schooling, which is rarely, if ever, the case. Much more frequently, such activities are either designed to be merged with other aspects of schooling, or are designed for different age groups entirely, many of whom have already had the benefits of whatever primary education was available to them. Similar faulty reasoning underlies a number of the other arguments on behalf of schooling in the article.

Compounding the problem is the omission from the argument of any discussion of the limitations of formal schooling. The whole nonformal education approach developed for very good reasons which could be directly traced to the failure of formal schooling to meet the needs of learners, and particularly the needs of the poor, rural populations which form the majority in most developing countries. The failings of formal schooling are considerable, and the list of critics is long and varied. It goes well beyond the confines of educators to include such articulate leaders as Paulo Freire, who sees formal education as a process of enslavement to the inequities of society; the educational planners who see the irrelevance and inefficiencies of schools; and national leaders, like Nyerere, who eloquently indicted the school system in his famous article "Education for Self-Reliance." In the early days of nonformal education, enthusiastic supporters actively sought to replace the formal schools, but after more than a decade of experience, few proponents now take such a position.

Primary schooling has some very strong negative effects which cannot be lightly dismissed. To promote general expansion of schooling for all citizens in poor, largely rural developing countries without dealing with these limitations is both unwise and impractical. What is needed is a much more careful comparison of alternative solutions to the problem of meeting educational needs in specific contexts. Any alternative, including schooling, has advantages and disadvantages. Neither formal nor nonformal education by itself is an adequate or feasible response to the needs in most developing settings.

¹³ Colclough and Hallak, p. 12.

What Do All Those Labels Mean Anyway?

Discussion of the very casual use of a variety of labels in the Barber article has been purposely left to the end. Much of the early writing in nonformal education centered around the definitional debate about the distinctions between formal, nonformal, and informal learning and the relationship of all of these to such things as adult education, literacy programs, vocational skills training, extension education, etc. More recently, discussions of basic education and life-long learning have added to the fray. Readers familiar with the basic references in the field should by now have a pretty clear idea of the distinctions involved in these various concepts. The importance of the distinctions lies in the ability to communicate clearly with one another, rather than in the intrinsic worth of one or another categorical scheme. Unfortunately, the use of these terms in the Barber article confuses the argument considerably. For example, Barber uses the term "basic education" in a way which suggests that it is generally synonymous with general education delivered through the formal school system. However, the term does not have any generally agreed-upon meaning in the literature, and as such it contributes nothing but confusion to the major argument. Those interested in exploring the many meanings of the term may want to look at a paper by H. M. Phillips, or his book on the same topic.¹⁴ His paper articulates six common meanings which nicely straddle the distinction which Barber is trying to draw in the article. Clarity in communication and clearness in thinking about the topic might best be served by dropping the term altogether.

Basically, the Barber article attempts to distinguish between a common and apparently formal educational system to be offered to all learners, regardless of location, and a variety of alternatives more specifically focused on skill training, or having content not shared by all learners. There are in reality two separate issues buried in this distinction: (1) the debate between those advocating a common national curriculum for all schools and those arguing for a localization of the curriculum to relate it to the characteristics of particular regions or local areas; or (2) the contrast between formal schools for some and a variety of alternatives for others which either significantly modify the school or mix it with nonformal educational options such as the RECs discussed above.

The first set of issues is clearly argued in the paper by Postlethwaite and King, 15 which Barber cites but fails to use effectively. After discussing three approaches to ruralization of the curriculum, they, like many other au-

¹⁴ H. M. Phillips, "What Is Meant by Basic Education?" (Seminar Paper no. 19, International Institute for Educational Planning, Paris, October 1975), and Basic Education: A World Challenge—Measures and Innovations (New York: Wiley, 1975).

¹⁵ T. Neville Postlethwaite and Kenneth King, "Curriculum Development for Basic Education in Rural Areas" (Seminar Paper no. 18, International Institute for Educational Planning, Paris, October 1975), pp. 2 ff.

thors, conclude that the advantages of a general common curriculum for a country outweigh the disadvantages. Such a common framework can, however, include a variety of local options to develop specific subunits which deal with the local setting, and can also include a variety of practical subjects which teach some basic applied skills. All these options are assumed to take place within the framework of a national primary school system which will be extended to include everyone in the primary school age group.

The second type of distinction involved in the discussion is really at the heart of the single versus dual system controversy. For this distinction, the terms "formal" and "nonformal" education seem to generally fit the need, although the core issue is not the label, but whether there should or should not be two sets of educational pathways in a country and whether they both can provide not only equal access but also equal opportunity to participate in the competition for status and rewards offered by the society. The Barber article compounds the confusion by citing the *cursos communitarios* in Mexico as a prime example of a general education scheme. Judging from the description in the article, most educators would feel that this was a good example of education which not only uses most of the basic methods of nonformal education, but appears to be outside the regular primary school system. It may in fact be an excellent example of a good way to meet rural educational needs, but hardly seems to be a candidate for the general education argument.

The lack of clarity concerning definitions is reflected in vagueness concerning the issues. This is seen, for example, in the absence of any discussion of the age groups to be served by the proposed general education. Both the arguments and the examples seem to hop back and forth between primary school-age children, older youths who may have had some primary education before dropping out, illiterate adults, and even primary school completers who are seeking vocational skills (see, e.g., the discussion on the rural university in Colombia). As a result, the arguments frequently are illogical, since general education for (say) primary age children is being compared to vocational training for older youths or literacy training for adults. To make any sense the argument has to focus on a specific clientele and then examine the alternatives for that group, as what is best for one is unlikely to be appropriate for another. The basic discussion about single and dual systems is most appropriate for primary schoolage children and should be focused on that group.

The Same for All, Something for Everyone, Nothing for Some?

From the perspective of influencing basic change in the inequities of social and political structure, neither formal nor nonformal education seems promising. Both widespread general primary schooling and a dual-

istic system of formal school for some with various nonformal options associated with schooling for others seem to operate in support of the status quo in most settings. Bock and Papagiannis suggest that both formal and nonformal education operate in much the same social and political context and must be studied in that context. ¹⁶ Neither approach holds out major hope of substantial structural change. Either or both can be used to support and further more equitable development when they operate in the context of a society that has already undertaken major structural changes. But in societies without either the commitment or the motivation for such change, education of any kind can at best act to make the problems palatable.

The situation in most of the low-income countries today is one of de facto dualism in the educational structures. A large proportion of the school-age population is getting either no education or only a few years. Girls and the poor in both the rural and the urban areas are largely excluded from any meaningful benefits from the formal school system. Setting up nonformal alternatives for those not now being reached at all may have the effect of giving institutional blessing to that duality. The policy dilemma is aggravated by the scarcity of resources which may preclude any other form of education from being available to those groups in the shortto mid-term future. Is no education better than some under a dualistic system? To argue that only a common formal system for all is acceptable is to support the schooling gap and to accept the likelihood of its growing even larger in the poorest countries.

The formal system has serious limitations even for those it does reach. The nature and content of schooling make expansion to the more remote areas difficult and often discourage the poor from participating because they lack the background and other nonschool opportunities necessary for success within the schools. Though nonformal education offers alternative content and methods often more effective for them, it cannot generally provide access to the upper levels of schooling and the mobility which such training can confer. Nonformal education is unlikely to replace formal education in most settings today. However, a realistic alternative in many situations would be a merging of formal and nonformal education in a way which maintained the relative strengths of each. In some societies this could be done on a national basis as part of a system which would not result in the institutionalization of duality.

Clearly, one cannot discuss developing countries as a group and prescribe policies for them. Education of any kind operates within the social and political structure of the society which supports it and must generally reflect the goals of that society. Thus, nations which have undertaken

¹⁶ John Bock and George Papagiannis, *The Demystification of Nonformal Education* (Amherst: Center for International Education, University of Massachusetts, 1976), p. 23.

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substantial structural reform may be ready to support imaginative mergers of formal and nonformal approaches in ways which are both general and highly adaptive to learning needs. Those in the immediate postrevolution stage are sometimes willing to close the formal system entirely for a period while they embark on national educational and socializational campaigns of a nonformal nature. It is usually true that nations which have yet to commit themselves to any sort of structural change will exhibit a strong attachment to the formal system, leaving rural areas relatively unserved or providing some form of nonformal education for them. Wealthier developing nations have a wider range of options and will in most cases move toward UPE of one form or another.

Only in recent years have social scientists begun to unravel the confusions surrounding the distinction between the content of education and the function of schools in society. The relative importance of each is different for formal and nonformal education, and the ratio varies as well with the degree of development of a nation, or a subsection of a nation. The dialogue about general versus special education presented in the Barber article can only be understood in this context. Policymakers in all countries are faced with difficult decisions in allocating resources which are always inadequate. This discussion has attempted to disentangle some of the conceptual confusions and pose the dilemmas facing policymakers more clearly in order to facilitate useful debate. The rewards to society make the difficulty of the challenge well worth the effort.