

August 28, 2014

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**EDUCATION AND TRAINING**

1963-1967	Yale University New Haven, CT	B.A. 1967	Sociology
1968-1974	Harvard University Cambridge, MA	Ph.D. 1974	Sociology
Summer 1977	Yale University New Haven, CT		Ethics

**APPOINTMENTS AND POSITIONS**

**ACADEMIC:**

1968-1969	Emmanuel College Department of Sociology Boston, Massachusetts	Instructor (part time)
1969-1973	Yale University School of Medicine New Haven, Connecticut	Research Associate (Faculty)
1971	Yale University	Special Lecturer

	Department of Sociology New Haven, Connecticut	
1974-1980	University of Pittsburgh School of Medicine Pittsburgh, Pennsylvania	Assistant Professor of Psychiatry and Sociology
1980-1986	University of Pittsburgh School of Medicine Pittsburgh, Pennsylvania	Associate Professor of Psychiatry and Sociology
1986-1996	University of Pittsburgh School of Medicine Pittsburgh, Pennsylvania	Professor of Psychiatry and Sociology
1986-1996	University of Pittsburgh Center for Medical Ethics	Associate Director for Research
1996	University of Massachusetts School of Medicine Worcester, MA	Research Professor Psychiatry
1995	University of Pittsburgh Center for Medical Ethics	Acting Director 9/95 - 12/95
1999-	University of Massachusetts Medical School Research Professor of Psychiatry	
2000-	Director Center for Mental Health Services Research	
2002-	University of Pittsburgh School of Medicine Pittsburgh, PA	Adjunct Professor of Psychiatry
2004-	University of Massachusetts School of Medicine Worcester, MA	Research Professor Psychiatry and Clinical and Population Health Research

## **NON-ACADEMIC POSITIONS:**

1971	Connecticut Bail Commission Drug Training Program Hartford, Connecticut	Consultant
1971-1973	Vera Institute of Justice Heroin Maintenance Project New York, New York	Consultant
1979-1981	Energy Policy Institute University of Pittsburgh Pittsburgh, Pennsylvania	Consultant
1980-1981	National Academy of Sciences Committee on Substance Abuse and Habitual Behavior Washington, D.C.	Consultant
1983-1984	Baim Associates Pittsburgh, Pennsylvania	Consultant
1986	National Program to Enhance Care of the Critically Ill Hospitalized Adult George Washington University Medical Center Washington, D.C.	Consultant
1995	Presidential Advisory Committee on Human Radiation Experiments Subject Interview Study	Consultant

## **MEMBERSHIPS IN PROFESSIONAL AND SCIENTIFIC SOCIETIES**

1969-	Society for the Study of Social Problems
1973-	American Sociological Association
1985-	American Public Health Association

## HONORS

1967-1968	William Penoyer Fellowship, Harvard University
1967-1968	Comparative International Fellowship, Department of Social Relations, Harvard University
1977	National Endowment for the Humanities Summer Fellowship
1981	Invited testimony before the President's Commission for the Study of Ethical Problems in Medicine and Biomedical and Behavioral Research
1985	Invited testimony before the Commission on the Evaluation of Pain of the Social Security Administration
1990-	Listed in Who's Who in the East
1994-	Listed in Who's Who in America
2002-	Listed in Who's Who in Medicine and Healthcare
2005	Listed in Who's Who in Medical Sciences Education
2012	Fisher Helfenbein Award, Genesis Club for outstanding leadership
2013	Ziedonis Career Mentoring Award, UMASS Dept. of Psychiatry

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## PUBLICATIONS

### BOOKS PUBLISHED

1. Gould LC, Walker A, Crane L and Lidz CW: *Connections: Notes from the Heroin World*. New Haven, Yale University Press, 1974.
2. Lidz CW and Walker AL: *Heroin, Deviance and Morality*. Beverly Hills, CA, Sage Publications, Inc., 1980.
3. Lidz CW, Meisel A, Zerubavel E, Carter M, Sestak R and Roth LH: *Informed Consent: A Study of Psychiatric Decision-making*. New York, Guilford Press, 1984.
4. Appelbaum PS, Lidz CW and Meisel A: *Informed Consent: Legal Theory and Clinical Practice*. Fair Lawn, NJ, Oxford University Press, 1987. (Japanese translation published 1994)
5. Lidz CW, Fischer LB & Arnold RM: *The Erosion of Autonomy in Long Term Care*. New York: Oxford University Press, 1992.
6. Berg JW, Appelbaum PS, Lidz CW and Parker LS: *Informed Consent: Legal Theory and Clinical Practice*. 2nd Edition, Fair Lawn, NJ, Oxford University Press, 2001

### MONOGRAPHS PUBLISHED

Lidz CW, Meisel A, Marx JH, Holden J, Munetz M: *Informed Consent and the Structure of Medical Care*. in Vol. 2 of *Making Health Care Decisions: Appendix to report by the President's Commission on Ethical Problems in Medicine and Biomedical and Behavioral Research*. U.S. Government Printing Office, Washington, D.C., 1982.

## BOOK CHAPTERS

1. Lidz CW and Lidz VM: Jean Piaget's Psychology of Intelligence and Its Place in the Theory of Action: A Preliminary Statement, in Laubser, et al., *Explorations in the General Theory of Social Science: Essays in Honor of Talcott Parsons*. New York, Free Press, 1976. Translated as "Piaget's Psychologie er Intelligenz und die Handlungstheorie" in *Allgemeine Handlungstheorie* Suhrkamp Verlag, Frankfurt am Main, 1981.
2. Walker AL and Lidz CW: Methodological Notes on the Employment of Indigenous Observers, in *Street Ethnography: The Study of Crime and Drugs in Natural Settings*. Edited by Wepner, R., Beverly Hills, Sage, 1977.
3. Lidz CW: The Signed Form - Informed Consent? Ch. 17 in *Proceedings and Background Papers: Conference on Ethical and Legal Problems in Applied Social Research*, National Science Foundation. R.F. Boruch, J. Ross, J.S. Cecil (Eds.), Evanston, IL Northwestern Un., 1978. Reprinted with minor revisions with Loren H. Roth in *Solutions to Ethical and Legal Issues in Social Research*. R.F. Boruch, J. Ross, J.S. Cecil (Eds.), New York, Academic Press Inc., 1982.
4. Lidz CW: Towards a Deep Structural Analysis of Moral Action, In I. Rossi (Ed.), *Structural Sociology*. New York, Columbia University Press, 1982.
5. Walker AL and Lidz CW: Common Features of Troublesome Habitual Behavior: A Cultural Approach, in *Commonalities in Substance Abuse and Habitual Behavior*. P. Levinson, D. Gerstein and D. Maloff (Eds.), Lexington, MA, D.C. Heath Co, 1983.
6. Lidz CW, Meisel A and Munetz M: Chronic Disease and Patient Participation in Treatment, in *Social Aspects of Chronic Illness, Impairment and Disability* S. Hey, G. Kiger and J. Seidel (Eds.) Salem, Oregon, Wilamette University Press, 1984.
7. Benson PR, Roth LH, Appelbaum PS, Lidz CW, Winslade WJ: Informed Consent and the Regulation of Psychiatric Research. *Research in Social Problems and Public Policy*, Volume 5, 1986.
8. Lidz CW: Chronic Pain and 'Cheating' on Disability Payments, in *Impaired and Disabled People in Society*, Hey SC, Kiger G, and Seidel J (Eds.), Wilamette, Oregon: University of Wilamette Press, 1987.
9. Lidz CW: "Objectivity" and Rapport in B. Glassner and J. Moreno (eds) *The Qualitative-Quantitative Distinction in the Social Sciences*, Boston: Kluwer Academic Publishers, 1989.
10. Lidz CW, Mulvey EP: Institutional Factors Affecting Psychiatric Admission and Commitment Decisions, in George Weisz (ed), *Social Science Perspectives on Medical Ethics*, Boston: Kluwer Academic Publishers, pp. 83-98, 1989.

11. Mulvey, E.P. & Lidz, C.W. (1990). Foreword. In E. Gondolf, *Psychiatric Response to Family Violence*. Lexington, MA: Lexington Books.
12. Lidz CW and Arnold RM (1994): Nursing homes and the medical model: Some observations on the problem of autonomy, in Gamroth L, Semradek J, and Tornquist E (eds). *Meaningful Living for Residents in Nursing Homes*. New York: Springer Publishing.
13. Arnold RM and Lidz CW (1994): Informed Consent: Clinical Aspects of Consent in Health Care. in W. Reich (ed) *Encyclopedia of Bioethics*. New York: MacMillan.
14. Lidz CW and Arnold RM (1995): The medical model and its effect on autonomy, in Gamroth L, Semradek J, and Tornquist E (eds). *Enhancing Autonomy in Long-Term Care: Concepts and Strategies*. New York: Springer Publishing.
15. Monahan J., Hoge SK., Lidz CW., Eisenberg M., Bennett NS., Gardner W., Mulvey EP., and Roth LH.: Coercion to inpatient treatment: Initial results and implications for assertive treatment in the community. In D. Dennis and J. Monahan (Eds.) (1996). *Coercion and Aggressive Community Treatment: A New Frontier in Mental Health Law*. New York: Plenum Publishing Co.
16. Monahan, J., Lidz, C.W., Hoge, S. K., Mulvey, E.P., Eisenberg, M. M., Roth, L. H., Gardner, W. P., and Bennett, N. (1999). Coercion in the provision of mental health services: The MacArthur studies. In J. Morrissey, and J. Monahan (Eds), *Research in Community and Mental Health, Vol. 10: Coercion in Mental Health Services*. Stamford, Connecticut: JAI Press.
17. Arnold RM and Lidz CW (2003): Informed Consent: Clinical Aspects of Consent. in S. Post (ed) *Encyclopedia of Bioethics, 3<sup>rd</sup> Edition*. New York: MacMillan.
18. Lidz CW (2003): Coercion. in S. Post (ed) *Encyclopedia of Bioethics 3<sup>rd</sup> Edition*. New York: MacMillan Reference.
19. Appelbaum PS & Lidz CW (2008) The Therapeutic Misconception in EJ Emanuel et al. *The Oxford Textbook of Clinical Research Ethics*. Oxford University Press.
20. Candilis PJ & Lidz CW (2009) Advances in Informed Consent Research in F. Miller and A Wertheimer. *The Ethics of Consent: theory and practice*. Oxford University Press.
21. Appelbaum PS, Lidz CW, Klitzman, W (2011) Coercion and Undue Influence in Decisions to Participate in Psychiatric Research. In TW Kallert, JE Mezzich, & J Monahan, *Coercive Treatment in Psychiatry*. Wiley-Blackwell.
22. Candilis P, Lidz C. "Advances in informed consent research." in *The Ethics of Consent*, Franklin Miller, Alan Wertheimer, eds., Oxford University. 2009.
23. Hall D, Arnold R, & Lidz C. (2012) Informed Consent IV: Clinical Aspects of Consent in Healthcare in S. Post (ed) *Encyclopedia of Bioethics, 4<sup>th</sup> Edition*. New York: MacMillan.
24. Lidz CW (2012): Coercion. in S. Post (ed) *Encyclopedia of Bioethics 4<sup>th</sup> Edition*. New York: MacMillan.

25. Hall DE, Arnold BM, Lidz CW. Clinical aspects of consent in health care in Bioethics, 4th Edition. Edited by Bruce Jennings. Farmington Hills, MI: Macmillan Reference USA, 2014, pp. 1698-1707.

## **JOURNAL ARTICLES**

1. Cohler B, Weiss J, Lidz CW, Greunbaum H and Wynne L: M.M.P.I. Profiles of Psychiatric Patients and Their Families. *Arch Gen Psychiatry* 26, January 1972.
2. Lidz CW: The Cop-Addict Game: A Model of Police-Suspect Interaction. *J. Police Science and Administration* 2(1), March 1974.
3. Lidz CW, Lewis SH, Crane LE, and Gould LC: Heroin Maintenance and Heroin Control, *International J Addictions* 10(1), Winter 1975.
4. Meisel A, Roth LH, Lidz CW: Towards a Model of the Legal Doctrine of Informed Consent. *American Journal of Psychiatry* 134(3): 285-289, March, 1977. Reprinted in: Edwards RB (Ed.), *Psychiatry And Ethics: Insanity, Rational Autonomy, And Mental Health Care*, Buffalo NY: Prometheus Books, 1982, pp.192-200.
5. Roth LH, Meisel A, Lidz CW: Tests of Competency to Consent to Treatment. *American Journal of Psychiatry* 134:279-184, 1977. Reprinted in *Medical Ethics: A Clinical Textbook And Reference For The Health Care Professions*. Abrams N, Buckner M (Eds.), Charlestown, MA, M.I.T. Press/Bradford Books, 1981. Reprinted in *Psychiatry And Ethics: Insanity, Rational Autonomy And Mental Health Care*. Edwards RB (Ed.), Buffalo, NY, Prometheus Books, 1982. Reprinted in S. Gorovitz (Ed.): *Moral Problems In Medicine* (2nd Edition). Englewood Cliffs, NJ, Prentice Hall, Inc. January, 1983. Reprinted in Paye JB (Ed), *Seminal Issues in Mental Health Law*, England: Ashgate Publishing,2004
6. Lidz CW and Walker AL: Therapeutic Control of Heroin: Dedifferentiating Legal and Psychiatric Controls. *Sociological Inquiry* 47(3-4):294-321, 1978.
7. Lidz CW: Conspiracy and Paranoia. *Qualitative Sociology* 1(2), May 1978.
8. Lidz CW: What Did Lemert Really Mean and Does It Matter. *Qualitative Sociology* 2(2):108-109, September, 1979.
9. Lidz CW, Gross E, Meisel A and Roth LH: The Rights of Voluntary Adolescent Psychiatric Patients: Some Empirical Findings. *Bulletin of the American Academy of Psychiatry and the Law* Vol. VIII (2):168-173, 1980.
10. Lidz CW: The Weather Report Model of Informed Consent. *Bulletin of the American Academy of Psychiatry and the Law* Vol. VIII (2):152-160, 1980.
11. Kaufman CL, Roth LH, Lidz CW, Meisel A: Informed Consent and Patient Decision-making: The Reasoning of Law and Psychiatry. *International Journal of Law and Psychiatry*, 4:345-361, 1981.

12. Roth LH, Lidz CW, Meisel A, Soloff PH, Kaufman K, Spiker DG, Foster FG: Competency to Decide About Treatment or Research: An Overview of Some Empirical Data. *International Journal of Law and Psychiatry*, 5:29-50, 1982.
13. Appelbaum PS, Roth LH, Lidz CW: The Therapeutic Misconception: Informed Consent in Psychiatric Research, *International Journal of Law and Psychiatry*, 5:319-329, 1982.
14. Schmid D, Appelbaum PS, Roth LH, Lidz CW: Confidentiality in Psychiatry: The Patient's View. *Hospital & Community Psychiatry*, 34:353-355, 1983.
15. Lidz CW, Meisel A, Roth LH: Commentary: Mrs. X and the Bone Marrow Transplant. *Hastings Center Report*, 13(4):17-18, 1983.
16. Lidz CW, Meisel A, Osterweis M, Holden J, Marx JH, Munetz M: Barriers to Informed Consent, *Annals of Internal Medicine* 99:539-543, 1983.
17. Lidz CW: Informed Consent in Mental Health Treatment: A Sociological Perspective. *Behavioral Sciences and the Law*, October, 1983.
18. Lidz CW: Features of a Theory of Normative Systems. *Journal of Mathematical Sociology*, 10:2, 1984.
19. Appelbaum PS, Kapen G, Walters B, Lidz CW and Roth LH: Confidentiality: An Empirical Test of the Utilitarian Perspective. *Bulletin of the American Academy of Psychiatry and the Law*, 12:2, 109-116, 1984.
20. Mulvey EP, Lidz CW: Clinical Considerations in the Prediction of Dangerousness in Mental Patients. *Clinical Psychology Review*, 4, 1-23, 1985.
21. Lidz CW, Meisel A, Munetz M: Chronic Disease and Patient Participation. *Culture, Medicine and Psychiatry*, 9:1, 1-17, 1985.
22. Mulvey EP, Lidz CW: Back to Basics: A Critical Analysis of Dangerousness Research in New Legal Environment. *Law and Human Behavior* 9:2, 187-197, 1985.
23. Munetz M, Lidz CW, Meisel A: Informed Consent and Incompetent Medical Patients. *Journal of Family Practice*, 20:273-279, 1985. Reprinted in F. Champion (ed) *Grand Rounds on Medical Malpractice*, Milwaukee: American Medical Association, 1990
24. Roth LH, Appelbaum PS, Lidz CW, Benson P and Winslade W: Informed Consent in Psychiatric Research, *Rutgers Law Review*, 39:425-441, 1987.
25. Geller J, Lidz CW: Is it Ethical to get Informed Consent: Research on Organizational Processes in Medical Settings. *IRB: A Review of Human Subjects Research*, 9(5):4-5, 1987.
26. Appelbaum PS, Roth LH, Lidz CW, Benson P, Winslade W: False Hopes and Best Data: Consent to Research and the Therapeutic Misconception, *Hastings Center Report*, 17:2, 20-24, 1987. Reprinted in Mappes and Zembaty: *Biomedical Ethics*, 3rd edition, New York: McGraw-Hill 1989.

27. Benson P, Roth LH, Appelbaum PS, Lidz CW and Winslade W: Information Disclosure, Subject Understanding and Informed Consent in Psychiatric Research. *Law and Human Behavior* 12(4):455-475, 1988.
28. Lidz CW, Appelbaum PS and Meisel A: Two Models of Implementing Informed Consent. *Archives of Internal Medicine* 148:1385-1389, 1988. Reprinted in T. Mappes and J. Zembafy (eds.), *Biomedical Ethics*, New York, McGraw-Hill (3rd edition) 1991. Reprinted in F. Campion (ed) *Grand Rounds on Medical Malpractice*, Milwaukee: American Medical Association, 1990.
29. Lidz CW, Mulvey EP, Appelbaum PS and Cleveland S: Commitment: The Consistency of Clinicians and the Use of Legal Standards. *American Journal of Psychiatry*, 146:176-181, 1989.
30. Cleveland S, Mulvey EP, Appelbaum PS, and Lidz CW: Do Dangerousness-Oriented Commitment Laws Restrict Hospitalization of Patients who Need Treatment? A Test. *Hospital and Community Psychiatry*, 40(3):266-271, March, 1989.
31. Gondolf E, Mulvey EP and Lidz CW: Family Violence Reported in a Psychiatric Emergency Room. *Journal of Family Violence*, Vol. 4, No. 3, 249-258, 1989.
32. Gondolf E, Mulvey EP, and Lidz CW: Characteristics of perpetrators of family and nonfamily assaults. *Hospital and Community Psychiatry*, 41, 191-193, 1990.
33. Lidz, CW & Arnold RM: Institutional constraints on autonomy. *Generations*, 14, special supplement, 65-68, 1990.
34. Lidz, CW & Ricci EP: Funding large-scale qualitative research. *Qualitative Sociology* (special issue, editor, B. Glassner), 13, 2, 113-126, 1990.
35. Gondolf, E., Mulvey, E.P. & Lidz, C.W. Psychiatric admission of family violent versus nonfamily violent patients. *International Journal of Law and Psychiatry*, 14, 245-254, 1991.
36. Siminoff LA, Erlen JA and Lidz CW: Stigma, AIDS and Quality of Nursing Care: A Critical Review. *Journal of Advanced Nursing*, 16, 262-269, 1991.
37. Lidz CW, Mulvey EP, Apperson LJ, Evanczuk K and Shea S: Sources of Disagreement Among Clinicians' Assessments of Dangerousness in a Psychiatric Emergency Room. *International Journal of Law and Psychiatry*, 15, 237-250, 1992.
38. Mulvey EP and Lidz CW: Measuring Patient Violence in Dangerousness Research. *Law and Human Behavior*, 17, 277-288, 1993.
39. Lidz CW, Mulvey EP, and Gardner WP: The Accuracy of Predictions of Dangerousness to Others, *JAMA*, 269, 1007-1011, 1993.
40. Lidz CW, Mulvey EP, Arnold RM, Bennett NS, and Kirsch BL: Coercive Interactions in a Psychiatric Emergency Room, *Behavioral Sciences and the Law*, 11, 3:269-280, 1993.
41. Hoge SK, Lidz CW, Mulvey EP, Roth LH, Bennett NS, Siminoff LA, Arnold RM, Monahan J: Patient, Family, and Staff Perceptions of Coercion in Mental Hospital Admission: An Exploratory Study, *Behavioral Sciences and the Law*, 11, 3:281-294, 1993.

42. Bennett NS, Lidz CW, Monahan J, Mulvey EP, Hoge SK, Roth LH, and Gardner WP: Inclusion, Motivation, and Good Faith: The Morality of Coercion in Mental Hospital Admission, *Behavioral Sciences and the Law*, 11, 3:295-306, 1993. Reprinted in: *Developments in Mental Health Law*, 13, 25-27 39-47, 1993.
43. Gardner WP, Hoge SK, Bennett NS, Roth LH, Lidz CW, Monahan J, and Mulvey EP: Two Scales for Measuring Patients' Perceptions of Coercion During Hospital Admission, *Behavioral Sciences and the Law*, 11, 3:307-322, 1993.
44. Lidz CW and Arnold RM: Rethinking Autonomy in Long-Term Care, *Miami Law Review*, 47, 3:603-623, 1993.
45. Apperson LJ, Lidz CW and Mulvey EP: Short Term Clinical Prediction of Assaultive Behavior: Artifacts of Research Methods. *American Journal of Psychiatry*, 150, 9: 1374-79, 1993.
46. Parker LS and Lidz CW: Family Coercion to Participate in Genetic Family Studies: Is There Cause for IRB Intervention. *IRB: A Review of Human Subjects Research*, 16: 1 & 2: 6 - 11, 1993
47. Monahan, J, Appelbaum PS, Mulvey EP, Robbins PC and Lidz CW: Ethical and Legal Duties in Conducting Research on Violence. *Violence and Victims*, 8: 4: 387-396, 1993.
48. Lidz CW and Mulvey EP: Dangerousness: From Legal Definition to Empirical Research. *Law and Human Behavior*, 19: 1: 41-48, 1995.
49. Mulvey EP, Shaw EC, and Lidz CW: Why Use Multiple Sources in Research on Patient Violence in the Community? *Criminal Behavior and Mental Health*, 4, 253-258, 1994.
50. Coontz PE, Lidz CW and Mulvey EP: Gender and the Assessment of Dangerousness in the Psychiatric Emergency Room. *International Journal of Law and Psychiatry*, 17, 4:1-8, 1994.
51. Monahan J, Hoge SK, Lidz CW, Roth LH, Bennett NS, Gardner WP and Mulvey EP: Coercion and Commitment: Understanding Involuntary Mental Hospital Admission. *International Journal of Law and Psychiatry*, 18: 3, 1995. Reprinted in Paye JB (Ed), *Seminal Issues in Mental Health Law*, England: Ashgate Publishing, 2004
52. Mulvey EP and Lidz CW: Conditional Prediction: A Model for Research on Dangerousness to Others in a New Era. *International Journal of Law and Psychiatry*, 18(2): 117-143, 1995.
53. Marin, RS, Booth BK, Lidz CW, Morycz RK and Wettstein RM: A Mental Health Approach to Ethical Dilemmas in Elder Abuse. *Journal of Elder Abuse and Neglect*, 7 (2 - 3): 49-68, 1995.
54. Gardner W, Lidz CW, Mulvey EP and Shaw EC: A Comparison of Actuarial Methods for Identifying Repetitively Violent Patients with Mental Illness. *Law and Human Behavior*, 20 (1):35 - 48, 1996.
- 55 Gardner W, Lidz CW, Mulvey EP and Shaw ES. Clinical versus actuarial predictions of violence in patients with mental illness. *Journal of Consulting and Clinical Psychology*, 64, 3, 1996.

56. Lidz CW, Hoge SK, Gardner W, Bennett NS, Monahan JS, Mulvey EP and Roth LH. Perceived Coercion in Mental Hospital Admission: Pressures and Process. *Archives of General Psychiatry*, 52: 1034-1039, 1995. Reprinted in Paye JB (Ed), *Seminal Issues in Mental Health Law*, England: Ashgate Publishing, 2004
57. Swigart VS, Lidz CW, Butterworth VA and Arnold RM. Letting Go: Family willingness to forgo life support, *Heart and Lung: the journal of critical care*, 25(6), 483-494, 1996.
58. Hoge SK, Lidz CW, Eisenberg M, Gardner W, Monahan J, Mulvey EP, Roth LH, Bennett N: Perceptions of coercion in the admission of voluntary and involuntary psychiatric patients, *International Journal of Law and Psychiatry*. 1997: 20:2, 167-182.
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60. Lidz, CW. Research impact: Not the way you might expect. *American Sociologist*, 27:3, 90 - 94, 1996
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63. Lidz CW, Mulvey EP, Hoge SK, Kirsch BL, Monahan J, Eisenberg M, Gardner W, Roth LR Factual Sources of Mental Patients' Perceptions of Coercion in the Hospital Admission Process. *American Journal of Psychiatry*, 155, 9, 1254-60, 1998.
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66. Gardner, W., Lidz, C. W., Hoge, S. K., Monahan, J., Eisenberg, M., Bennett, N. S., Mulvey, E. P., & Roth, L. H. . Patients' Revisions of Their Beliefs about the Need for Hospitalization. *American Journal of Psychiatry*. 156: 1385-1391, 1999.
- 67 Lidz CW, Mulvey EP, Hoge SK, Kirsch BL, Monahan J, Bennett NS, Eisenberg M, Gardner W, Roth LR Sources of Coercive Behaviors in Psychiatric Admissions, *Acta Psychiatrica Scandinavica*, 2000, 101 (1): 73-79.
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74. Lidz CW & Parker LS (2003). Issues of Ethics and Identity in Diagnosis of Late Life Depression. *Ethics and Behavior*. 13, 3, 249-262.
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84. Appelbaum, PS, Lidz CW: Clinical Ethics Versus Clinical Research. *American Journal of Bioethics*, 2006; 6:53-55
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Process of Research in Pharmaceutical Clinical Trials. *Journal of Empirical Research on Human Research Ethics*, 2006; 1: 2: 11-18.

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96. Appelbaum, PS, Lidz CW, & Klitzman R.: Voluntariness of Consent to Research: A Preliminary Empirical Investigation. *IRB: Ethics and Human Research*. 2009: 31: 6, 10-14.

97. Lidz, CW: Therapeutic Misconception and the Limits of Ethnography. A commentary on Timmermans and McKay, *Social Science & Medicine* 69 (2009) 1791–1792

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102. Lidz, CW; Introduction (and Symposium Editor) Experiences of Psychiatric Hospitalization. *Narrative Inquiry in Bioethics*, 1:1; 2011.
103. Lidz CW, Simon LJ, Seligowski AV, Myers S, Gardner W, Candilis PJ, Arnold RM, Appelbaum PS. The Participation of Community Members on Institutional Review Boards. *Journal of Empirical Research in Human Research Ethics*, 7:1, 1-8, 2012 PMID #3616513
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117. Lidz CW & Appelbaum PS. Editorial: Comment on Dal-Ré et al. Therapeutic misconception in clinical trials: fighting against it and living with it. *Revista Clínica Española Revista Clínica Española* (forthcoming)

#### **PAPERS PRESENTED:**

1. Lidz CW, "Cop-Junkie Interactions," paper presented to the Twentieth Annual Meeting of the Society for the Study of Social Problems. San Francisco, August 27, 1970.

2. Lidz CW, "Probation for Drugs: Treatment, Punishment or Neither," paper presented to Twenty-Second Annual Meeting of the Society for the Study of Social Problems. New Orleans, August 26, 1972.

3. Lidz CW, "Heroin Maintenance: A Socio-Economic Perspective," paper presented to the National Drug Abuse Conference. New York, March 26, 1976.

4. Lidz CW, "The Rights of Juveniles in 'Voluntary' Psychiatric Commitments: Some Empirical Observations," Co-authors, E. Gross, A. Meisel, L. Roth, paper presented at the American Association for Psychiatric Services for Children, Annual Meeting, San Francisco, November 12, 1976.

5. Lidz CW, "Rethinking Rapport: Problems of Reciprocal Obligation in Participant Observation Research," paper presented at the Eastern Sociological Association Meetings, New York, March 20-22, 1977.

6. Lidz CW, "Adolescent Hospitalization-Coercion and Obligation," paper presented at the American Psychiatric Association Meetings, Toronto, Canada, May 6, 1977.

7. Lidz CW, "The Voluntariness of the Voluntary Patient: The Weather Report Model of Informed Consent," paper presented at the VI World Congress of Psychiatry, Honolulu, Hawaii, August 31, 1977.

8. Lidz CW, "The Protestant Ethic and the Spirit of Psychiatry," paper presented to the Society for the Scientific Study of Religion, Chicago, Illinois, October 20, 1977.

9. Lidz CW, "Psychiatry, Repressive Law and the Cult of the Individual," paper presented to the Seventh Annual Meeting of the International Society for the Comparative Study of Civilizations, Milwaukee, Wisconsin, April, 1978.
10. Lidz CW, "Legal Rights vs. How Staff Process Patients," paper presented to the Society for the Study of Social Problems Meeting, San Francisco, California, September, 1978.
11. Lidz CW, "The Effectiveness of Disclosure Law," paper presented to a Colloquium at Departments of Psychiatry and Sociology, Syracuse University, Syracuse, New York, March 1, 1979.
12. Lidz CW, "To Whom Does the Patient Listen?," paper presented to the American Psychiatric Association Meeting, San Francisco, California, May 9, 1980.
13. Lidz CW and Walker AL, "Common Features in Substance Abuse and Habitual Behavior: Normative and Social Control Issues," paper presented to the Committee on Substance Abuse and Habitual Behavior, National Academy of Sciences. Washington, D.C., September, 1980.
14. Marx JH and Lidz CW, "Self-Help Groups: Identity Transformation and Social Control," paper presented to the American Sociological Association Meetings, Toronto, Canada, August 28, 1981.
15. Appelbaum, PS, Roth LH, Lidz CW, "The Therapeutic Misconception: Informed Consent in Psychiatric Research," paper presented to the Eighth International Congress on Law and Psychiatry, Quebec City, Canada, June, 1982.
16. Lidz CW, "What Should We Tell Subjects About Research," paper presented to the Annual Meeting of the American Academy of Psychiatry and the Law, New York, New York, October, 1982.
17. Lidz CW, "Organization Structure and Mental Disorder," paper presented to the Pittsburgh Personnel Association, Pittsburgh, Pennsylvania, October 19, 1982.
18. Lidz CW, Meisel A, Munetz M, "Chronic Disease and Patient Participation in Decision-making," paper presented to the Western Social Science Association Meetings, Albuquerque, New Mexico, April 28, 1983.
19. Lidz CW, Meisel A, Osterweis M, Holden J, Marx JH, and Munetz M, "Barriers to Informed Consent," paper presented to the Society for the Study of Social Problems Annual Meetings, Detroit, Michigan, August 28-30, 1983.
20. Lidz CW, Meisel A, Osterweis M, Holden J, Marx JH, and Munetz M, "Informed Consent and the Structure of Medical Care," paper presented at the American Sociological Association Annual Meetings, Detroit, Michigan, September 3, 1983.
21. Lidz CW, "Cultural Factors in Abusability," paper presented to the Society for the Study of Social Problem Annual Meeting, San Antonio, August 26, 1984.
22. Lidz CW and Walker AL, "Abuse Liability: Some Cultural Issues," paper presented to the American Psychological Association Annual Meetings, Toronto, Canada, August 27, 1984.

23. Lidz CW and Mulvey EP, "Dangerousness Research in a New Legal Environment," paper presented to the American Academy of Psychiatry and the Law Annual Meeting, Nassau, Bahamas, October 25, 1984.
24. Lidz CW, "Self-Help and Chronic Pain," paper presented to the Society for the Study of Chronic Illness, Impairment and Disability, Fort Worth, Texas, April 25, 1985.
25. Pincus RL, Lidz CW, Meisel A, "Legal Standards of Informed Consent in Neurosurgery: Are They Obtainable?," paper presented at the Congress of Neurological Surgery, October, 1985.
26. Lidz CW, "Chronic Pain and 'Cheating' on Disability Payments," paper presented to the Society for the Study of Chronic Illness, Impairment and Disability, Reno, Nevada, April 23, 1986.
27. Lidz CW and Mulvey EP, "Dangerousness and the Decision to Release," paper presented to the XII International Congress of Law and Psychiatry, Montreal, Canada, June, 1986.
28. Coontz P and Lidz CW, "Defining Dangerousness in the Emergency Room," paper presented to the International Sociology Association, New Delhi, India, August 21, 1986.
29. Mulvey EP and Lidz CW, "What Clinicians Talk About When They Discuss Dangerousness," paper presented at the meeting of the American Psychological Association, Division 41/American Psychology-Law Society, Miami, Florida, March, 1987.
30. Lidz CW, Appelbaum PS, Cleveland S & Mulvey EP, "Commitment: Internal Consistency and Legal Standards," paper presented at the American Psychiatric Association Meetings, Chicago, Illinois, 1987.
31. Benson P, Roth LH, Appelbaum PS, Lidz CW & Winslade WJ, "Information Disclosure, Subject Understanding and Informed Consent in Psychiatric Research," paper presented to the Society for the Study of Social Problems, Chicago, Illinois, August, 1987.
32. Coontz P and Lidz CW, "Gender and Patterns of Commitment in a Psychiatric Emergency Room Setting," paper presented to the Society for the Study of Social Problems, Chicago, Illinois, August, 1987.
33. Lidz CW, "Moral Conflicts in a Large Research Project," paper presented to the Society for the Study of Social Problems, Chicago, Illinois, August, 1987.
34. Baldisseri MR, Snyder JV, Lidz CW, Medsger A, Pincus RL, "Impediments to Decisions to Forgo Treatment: A Pilot Study," paper presented to the American College of Physicians, Pittsburgh, Pennsylvania, October, 1987.
35. Lidz CW, Apperson LJ and Mulvey EP, "Types of Dangerous Patients: Some Research Findings," paper presented to the American Public Health Association, New Orleans, Louisiana, October, 1987.
36. Lidz CW and Mulvey EP, "Institutional Factors Affecting Psychiatric Admission and Commitment Decisions," paper presented at the Conference on Social Science Perspectives on Medical Ethics, Montreal, Quebec, Canada, June 15, 1988.

37. Lidz CW and Mulvey EP, "The Assessment of Dangerousness: An Empirical Analysis of Clinical Discussions," paper presented at the International Association of Law and Mental Health, Montreal, Quebec, Canada, June 18, 1988.
38. Lovasick D, Covington E, Lidz CW, and Turk D: "A Survey of Participants in a Self-Help/Support Organization, paper presented at the Canadian and American Pain Societies Joint Meeting, Toronto, Canada, November 10-13, 1988.
39. Mulvey, E.P. & Lidz, C.W. What clinicians talk about when assessing dangerousness. Paper presented at the biennial meeting of the American Psychology-Law Society/Division 41, Miami, FL, 1988.
40. Mulvey, E.P. & Lidz, C.W. Conditional prediction and commitment: A new approach for dangerousness research. Paper presented at the annual meeting of the American Academy of Psychiatry and Law, San Francisco, CA, 1988.
41. Mulvey, E.P. & Lidz, C.W. Why read when you can count? . . . And vice versa. Paper presented at the Second Biennial Conference on Community Research and Action. East Lansing, MI, 1989.
42. Mulvey, E.P. & Lidz, C.W. Schizophrenia as a potential buffer for violence. Paper presented at the American Academy of Psychiatry and the Law, San Diego, CA, 1990.
43. Lidz CW, Dawson M, Mulvey EP, Evanczuk K, Deland S: "Violence in the Families of Mental Patients: A Staged Model" Paper present to the Eastern Sociological Assn Meetings, April 14, 1991.
44. Roth LH, Lidz CW, Hoge SK et. al., "Coercion in Psychiatry: Useful or Not?" Paper presented to the American Psychiatric Assn Meetings May 1991.
45. Lidz CW, Arnold RM and Fischer L: "Resident Autonomy and the Temporal Structure of Nursing Homes". Paper presented to the Gerontological Society of America Annual Meetings, November 11, 1991, San Francisco, CA.
46. Lidz CW and Arnold RM, "Rethinking Autonomy in Long-Term Care" paper presented to the University of Miami Law Review Symposium on Law and Competence, Miami, FL, March 28, 1992.
47. Lidz CW, Arnold RM, Bennett NS, Gardner WP, Kirsch BL and Mulvey EP, "Coercive Interactions in a Psychiatric Emergency Room", paper presented at the Annual Meetings of the 18th International Congress on the Law and Mental Health, Vancouver BC, June 23 1992.
48. Mulvey EP and Lidz CW, "Predicting Violence: The Contribution of Two Studies to the field", paper presented at the Annual Meetings of the 18th International Congress on the Law and Mental Health, Vancouver BC, June 24 1992.
49. Lidz CW: "Coercive Interactions in the Psychiatric Emergency Room: Theory and Method" paper presented to the International Congress on Law and Mental Health, Vancouver, BC, June 1992

50. Lidz CW: "Coercion in the Psychiatric ER: Direct Observations" paper presented to the American Psychological Association, Washington DC, August 17, 1992
51. Lidz CW, Mulvey EP and Gardner WP: "Psychiatric Patient Violence in the Community" paper presented to the Society for the Study of Social Problems, Pittsburgh PA, August 18, 1992.
52. Lidz CW: "Was S/he Really Coerced and Can We Ever Tell?" paper presented to the International Congress on Law and Mental Health, Lisbon Portugal, June 15, 1993.
53. Lidz CW and Arnold RM: "Institutional Constraints on Autonomy: Some Observations on the Medical Model", paper presented at "Enhancing Resident Autonomy in Long Term Care Facilities: An Invitational Consensus Conference" The Benedictine Institute for Long Term Care, Mt. Angel, Oregon, September 9, 1993.
54. Swigert-Courchene V, Lidz CW and Butterworth VA: "Family Decision Making About Life Support", paper presented to the Eastern Nursing Research Society, Binghamton, NY, April 8, 1994.
55. Lidz CW "Conditional Predictions of Dangerousness to Others" paper presented to the 20th International Congress on Law and Mental Health, Montreal, Canada, June 18. 1994.
56. Lidz CW and Mulvey EP: "Deviant Status and Deviant State." paper presented to the Eastern Sociological Assn Meetings, Philadelphia PA, April 1, 1995
57. Lidz CW: "Most Plausible Factual Accounts of Coercion: Theory and Method" paper presented to the 21st International Congress on Law and Mental Health, Tromso Norway, June 25th, 1995.
58. Lidz CW: "Coercion" paper presented to the 15th Annual Conference of the National Alliance for the Mentally Ill, July 21, 1995, Washington, D.C.
59. Lidz CW: "The Validity of Reports of Coercion-related Behaviors in Mental Hospital Admissions" paper presented to the American Psychology and Law Society, Hilton Head, SC, March 2, 1996.
60. Lidz CW: "Assessing violence potential" paper presented to the 13th Annual Public Sector Psychiatry Conference, Worcester, MA, May 2, 1997.
61. Lidz CW: "Coercion: Where do we go from here" paper presented at the 23 International Congress on Law and Psychiatry, Montreal, Canada, June 21, 1997
62. Mulvey EP & Lidz CW: "An initial Examination of the clinical prediction of violence as a conditional judgement" paper presented at the conference 'Mental Disorder and Violence', Leipzig, Germany, June 26-28, 1997.
63. Lidz CW: "Perpetrator and Victim in Violent Incidents" paper presented at the 24th International Congress on Law and Psychiatry, Paris, France, July 1, 1998.
64. Paulsen, HD, Encandela JA, Engberg M, Korr WS, Lidz CW, Mulvey EP. The U.S. And Scandinavian Concepts of Autonomy in Psychiatric Care: A Cross-Cultural Comparison. Paper

presented at the 25th International Congress on Law and Psychiatry, Toronto, Canada, June 1999.

65. Birnbaum A, Lidz CW and Greenidge D: Measuring Perceived Coercion in Community Care? Paper presented at the 25th International Congress on Law and Psychiatry, Toronto, Canada, June 1999.

66. Lidz CW: Sources of Coercive Behaviors in Psychiatric Admissions..

67. Lidz CW: Psycho-social Control Groups - What do we need to tell. presented to the NIMH workshop on Control Groups in Psychosocial Intervention Research, December 1, 1999.

68. Lidz CW: Coercion: It is all in the Mechanism, Paper presented at the Guttmacher Institute Conference on Persuasion/Coercion Continuum in Outpatient Mental Health Services, June 23, 2000, Baltimore MD

69. Lidz CW: The Therapeutic Misconception in Mental Health Research, Paper presented at the 26th International Congress on Law and Psychiatry, Siena, Italy, July 2000

70. Lidz CW: Developing Measures of Coercion in Community Care. Paper presented at the Society for the Study of Social Problems, August 11-13, 2000.

71. Lidz CW, Fisher W, Smith KT, Albert K & Mulvey EP -Processual studies of violence among people with mental illness - paper presented to the 128th Annual American Public Health Assn. Meetings , Boston MA, Sept 12 -16, 2000

72. Lidz CW The Therapeutic Misconception - NIH Bioethics Workshop, November 1, 2000.

73. Lidz CW, Fisher W, Smith K, Albert K, Mulvey EP, Processual Studies of violence among people with mental illness, APHA, Boston, November 14, 2000.

74. Lidz CW. Conflicts between Clinical and Research Obligations, Office of Research Integrity Conference, November 19, 2000, Washington, DC

75. Lidz CW. Ethical Issues in Research with People with Mental Illness, NIMH Conference on "Research on Mental Disorders: Overcoming Barriers to Collaboration between Basic Behavioral Scientists and Public Health Scientists", NIMH, November 30, 2000

76. Lidz CW. Studying Violent Incidents: methodological issues. Paper presented to the 26th International Congress on Law and Mental Health, July 5, 2001, Montreal Canada.

77. Lidz CW. Mood Disorders in Late Life: An Ethical Perspective. presented to the conference on The Unmet Needs in Diagnosis and Treatment of Mood Disorders in Late Life: A Consensus Conference of the National Depressive and Manic-Depressive Association, October 9, 2001, Washington, DC

78. Schubert C and Lidz CW: Recruiting and sustaining: Methods for getting weekly community interviews with repeatedly violent patients. Paper presented to the bi-annual meeting of the American Psychology and Law Society, Austin TX, March 7, 2002.

79. Lidz CW: "Structural Conditions Promoting Research Integrity" 2002 ORI Research Conference on Research Integrity, to be presented November 17, 2002 at the Bolger Center in Potomac, Maryland
80. Lidz CW: The Therapeutic Misconception; Where do we go from here. Paper presented to the 156 Annual Meeting of the American Psychiatric Association, May 18, 2003, San Francisco.
81. Lidz CW: Informed Consent as Ritual. Paper presented to the Joint Meeting of the American Society for Bioethics and Humanities and The Canadian Bioethics Society. October 24, 2003, Montreal, Canada.
82. Lidz CW: Community Coercion: Measurement and Evidence, Paper presented to the conference on Mental Health and Criminal Justice: Community reentry. Philadelphia Pa, April 15, 2004.
83. Lidz CW: Capacity to consent to research and the therapeutic misconception. Paper presented to the conference on Capacity to Consent to Treatment and/or Research: Legal and Psychiatric Dimensions, USC Law School, Los Angeles, CA, April 17, 2004
84. Lidz CW: Therapeutic Misconception: Who Benefits and Enrollment in Clinical Trials. Paper presented at the American Society for Bioethics and the Humanities in Philadelphia, 10/31/04
85. Lidz CW: The Effectiveness of Civil Commitment in a New Clinical Environment. Paper presented at the American Psychology and Law Society Meetings, La Jolla, CA, 3/5/05.
86. Lidz CW: Therapeutic Misconception: The Problem and Solutions. Presented to the Society of Research Subject Advocates, Washington, DC April 30, 2005
87. Lidz CW: Therapeutic Misconception: The Problem and Solutions, Boston University Medical Center Clinical Research Seminar Series. June 15, 2005
88. Gardner W & Lidz CW: Control Over Research and Failures to Publish Pharmaceutical Clinical Trials, Fifth International Congress on Peer Review and Biomedical Publication, Chicago, IL, September 16-18, 2005.
89. Lidz CW and Jones R: A Case Study Involving Persons with Bi-Polar Disorders. Presented to the Annual Meeting of Public Responsibility in Medicine & Research, Boston MA, December 4, 2005.
90. Rosenbaum J and Lidz CW: Applying Dillman's Tailored Design Method to Internet Surveys. Research Conference on Research Integrity, December 1-3, Tampa FL, 2006.
91. Lidz CW, Joffe S, Rosenbaum J: Competing Commitments in Clinical Trials. Research Conference on Research Integrity, December 1-3, Tampa FL, 2006.
92. Lidz CW: Coercion and Undue Influence in Decisions to Participate in Psychiatric Research: Research Findings, WPA Thematic Conference on Coercive Treatment in Psychiatry, Dresden, Germany, June 8, 2007.
93. Lidz CW: The Effectiveness of Civil Commitment in a New Clinical Environment, 30<sup>th</sup> Int'l Congress on Law and Mental Health, Padua Italy, June 25<sup>th</sup>, 2007

94. Lidz CW, Appelbaum PS, Joffe S, Albert K, Rosenbaum J, Simon L: Competing Commitments in Clinical Trials, Plenary Presentation at Society of Clinical Research Associates (SOCRA), Denver, CO, September 28<sup>th</sup>, 2007.
95. Lidz CW: Observing IRB Work: Some early results. Public Responsibility in Medicine and Research (PRIM&R), Boston, MA, Dec 4, 2007
96. Lidz CW: Plenary presentation "Therapeutic Misconception: Where Are We Now", NIMH sponsored conference: Best Practices in Mental Health Research Ethics Conference in St Louis MO June 12, 2008
97. Lidz CW: "How to Improve Informed Consent: What works", NIMH sponsored conference: Best Practices in Mental Health Research Ethics Conference in St Louis MO June 13, 2008
98. Lidz CW "Does Civil Commitment Prevent Violence by Incapacitation?" Presented at the Annual Conference of the Society for the Study of Social Problems, Boston, MA, August 2, 2008.
99. Lidz CW "How Voluntary are Clinical Trials Subjects" Presented at the American Society of Bioethics and the Humanities, Cleveland, OH, Oct. 23<sup>rd</sup>, 2008.
100. Lidz CW & Silverman JS: Assuring Appropriate Use of Animals in Research - What Do We Know about IACUC Decision Making?, 2009 Research Conference on Research Integrity, May 15, 2009, Niagara Falls
101. Lidz CW: The future of Research on Research Integrity. 2009 Research Conference on Research Integrity, May 15, 2009, Niagara Falls
102. Lidz CW: Therapeutic Misconception among Clinical Researchers, 31<sup>st</sup> International Congress on Law and Mental Health. New York, NY, June 30<sup>th</sup>, 2009.
103. Lidz CW: Providing clinical care in clinical trials: Ethical Dilemmas and Resolutions: OHRP Community Forum, Regulatory Responsibility and Innovative Research: An Opportunity for Partnership, May 21, 2010, Chicago IL.
104. Lidz CW: Rethinking IRBs, presented to the American Society of Bioethics and the Humanities, San Diego, October 24, 2010.
104. Lidz CW: Informed Consent is a Critical Part of Modern Medical Research, 17<sup>th</sup> Annual Pitts Memorial Lecture, Medical University of South Carolina, October 29<sup>th</sup>, 2010.
105. Lidz CW: New Methods for Studying IRBs, Plenary Presentation at PRIM&R 2010 Annual Meeting, San Diego, CA, December 8, 2010.
106. Lidz CW: Perceived coercion; What constitutes voluntariness and coercion. An overview of conceptual, methodological, psychometric and validity issues related to the MacArthur Perceived Coercion Scale, Den tredje nasjonale konferansen om forskning og kunnskapsutvikling om bruk av tvang i det psykiske helsevernet. Oslo, Norway April 5, 2011.
107. Lidz CW: Perceived Voluntariness in Decisions about Research Participation, Den tredje nasjonale konferansen om forskning og kunnskapsutvikling om bruk av tvang i det psykiske

helsevernet. Oslo, Norway April 5, 2011.

108. Lidz CW: Most Plausible Factual Account and the Problem of Objective Coercion Den tredje nasjonale konferansen om forskning og kunnskapsutvikling om bruk av tvang i det psykiske helsevernet. Oslo, Norway April 6, 2011.

109. Lidz CW and Myers SG: Following the Rule. Presentation to Conference on Human Subjects Regulations: Proposals for Reform, Berman Institute of Bioethics, Baltimore, MD, April 27<sup>th</sup>, 2012.

## **DISSERTATION**

"Law, Morality and Social Order: Some Observations on the Activities of Court and Police Personnel." Accepted May, 1974.

## **PANELS**

1. Lidz CW, Meisel A, Roth LH: Panel: Informed Consent--Some First Steps. Ethical Issues in Mental Health Research. Carnegie-Mellon University, Pittsburgh, Pennsylvania, November, 1975.

2. Lidz CW, Gerstein D, Helmer J, Jaffe J, Levine H: Panel: Innovations in Substance Abuse: Politics, Practices and Therapeutics. American Sociological Association Meetings, Toronto, Canada, August 28, 1981.

3. Lidz CW, Cooper J, McCarthy CR, Weibel R: Panel: Children as Subjects of Research: Special Problems and Safeguards. Conference on Protection of Human Subjects, Johns Hopkins University, Baltimore, Maryland, June, 1982.

4. Lidz CW, Cassileth B, Cowan D, Porter J: Panel: Oncology Research. Conference on Protection of Human Subjects, Johns Hopkins University, Baltimore, Maryland, June, 1982.

5. Lidz CW: Round Table: The Legal Environment of Mental Health, American Sociological Association Meetings, Washington, D.C., August, 1985.

6. Lidz CW: Organizer & Discussant: The Sociology of Bioethics. Midwest Sociological Association Meetings, St. Louis, Missouri, April 4, 1989.

7. Lidz CW, Conrad P and Anspach R: Round Table: New Directions in Medical Sociology, American Sociological Association Meetings, Pittsburgh, PA., Aug. 21, 1992.

## **WORKSHOPS, GRAND ROUNDS, POSTER SESSIONS AND OTHER PRESENTATIONS**

1. Roth LH, Meisel A, Lidz CW: Workshop: Obtaining Informed Consent from Psychiatric Patients. American Psychosomatic Society, March, 1976.

2. Lidz, CW: "Grand Rounds: Two Models of Informed Consent" St. Joseph's Hospital, Patterson, N.J. May 11, 1989.

3. Agich GJ and Lidz CW: "The Problem of Autonomy in Long Term Care of the Elderly", Workshop Presented at the Society for Health and Human Values, McLean, VA, October 28, 1989.
4. Lidz CW: "Long-Term Care: Ethnographic Observations", paper presented at Optimizing Function and Preserving Autonomy: Long-Term Care Facilities in the 1990's, Pittsburgh, PA, June 10, 1989.
5. Lidz CW: "Institutional Restraints on Autonomy" Presented to the Annual Meeting of the Southwestern Pennsylvania Partnership for Aging, Pittsburgh, PA, June 7, 1991.
6. Lidz CW "Perceived Coercion in Psychiatric Admission: Pressures and Procedural Justice" poster presented at the NIMH Conference on Health Services Research, September 12, 1995.
7. Mulvey EP and Lidz CW: "Symptomatology and Violence among Psychiatric Patients" poster presented at the NIMH Conference on Health Services Research, September 12, 1995.
8. Lidz CW "The Future of Coercion Research" Psychiatric Grand Rounds, University of Massachusetts Medical Center, April 24, 1997.
9. Lidz CW: Coercive Community Treatment: Research Evidence and Policy Issues. Seminar presentation to Department of Health Care Policy, Harvard Medical School, February 10, 1998.
10. Lidz CW "Assessing Violence Potential: New Directions in Research", Psychiatric Grand Rounds, Mt Auburn Hospital, Cambridge, MA, February 17, 1998.
11. Lidz CW "Beyond Informed Consent: Further Dimensions of Autonomy", Bioethics Grand Rounds, University of Pittsburgh Medical Center, Pittsburgh, PA, November 5, 1998.
12. Lidz CW: Violence involving People with Mental Illness: New Research for a new Mental Health System. Psychiatric and Bioethics Grand Rounds, Cleveland Clinic, Cleveland Ohio, Jan 18, 2001
13. Lidz CW: "Violence Among People with Mental Illness: Beyond the Violent Patient" Emmaus Agency, Haverhill, MA, March 1, 2001
14. Lidz CW: "Community Violence Involving People with Mental Illness" presented to DMH conference on The Evolving Continuum of Care: New System Challenges, Westborough MA, Sept 13, 2001
15. Lidz CW: "Current Research Trends on the Assessment of Violence" plenary session at the Conference on Inmates with Disordered Behavior: Maintaining Therapeutic Optimism" Mass Department of Corrections, Shrewsbury, MA, April 26, 2002.
16. Lidz CW: Violence and Mental Illness: New Developments" Psychiatric Grand Rounds, University of Massachusetts Medical School, February 13, 2003
- 17: Lidz CW: Risk Assessment: Research Past and Present. Bridgewater State Hospital, Nov 4, 2004
18. Lidz CW: Why we need research. NAMI of Central Massachusetts, March 3, 2006.

19. Lidz CW, Pandiani J, Nicholson J: Every Number Tells a Story, Don't It: The Creative Genius of Steve Banks, Grand Rounds, UMass Medical School, September 28<sup>th</sup>, 2007.
20. Lidz CW & Candilis P: Ethics and Research Integrity, Workshop presented to the UMass Department of Psychiatry Research Day, October 21, 2008.
21. Lidz CW Competing Commitments in Clinical Trials, Presented to the Columbia University CTSA Program on Ethics
22. Lidz CW "Power and Recovery in Mental Health", Presented to the Greenwall Postdoctoral Fellows Program, Johns Hopkins University, March 8<sup>th</sup>, 2010.
23. . Lidz CW " Rethinking IRBs with some empirical evidence.", Presented to the Johns Hopkins Berman Institute of Bioethics, Johns Hopkins University, March 8<sup>th</sup>, 2010.
24. Participant: Recovery Advisory Roundtable, National Association of Drug Court Professionals, Boston, MA, June 2, 2010.

## **BOOK REVIEWS AND OTHERS**

1. Lidz CW: Review of L. Berkson: The Concept of Cruel and Unusual Punishment. *Contemporary Sociology* 5:6, November 1976.
2. Lidz CW: Review of R. Retting, et al.: Manny: A Criminal Addict's Story. *Qualitative Sociology*, 1:3, November, 1978.
3. Lidz CW: Review of G. Wheeler: Counter-deterrence. *American Journal of Sociology* 86(3):444-446, 1981.
4. Lidz CW: Review of T. Hirschi and M. Gottfredson: Understanding Crime. *Contemporary Sociology* 12(1):54, 1983.
5. Appelbaum PS, Roth LH, and Lidz CW: Ethics of Randomized Clinical Trials (letter), *New England Journal of Medicine*, 308: 344, 1983
6. Lidz CW: Review of J. Schneider and J. Kitsuse (eds.): Studies in the Sociology of Social Problems. *Qualitative Sociology* 17(3):309-311, 1986.
7. Lidz CW: Review of R. Veatch: The Physician-Patient Relation: Patient as Partner, Part 2, *Contemporary Sociology* 20(6):833-835, 1991
8. Lidz CW: A New Mental Health Law?: Change and Persistence: A review of P. Appelbaum: Almost a Revolution, J. Monahan and H. Steadman: Violence and Mental Disorder, and D. Wexler and B. Winick: Essays in Therapeutic Jurisprudence. *Criminal Justice Review*, 20(2): 187-191, 1996.
9. Geller, J & Lidz CW: Review of : Research Ethics: A Psychological Approach, edited by Barbara H. Stanley, Joan E. Sieber and Gary B. Melton, *Psychiatric Services*, 48: 9, 1208, 1997.

10. Lidz CW: Review of Hans-Georg Gadamer; *The Enigma of Health*, *Contemporary Sociology* , 26: 3, 387 - 88, 1997.
11. Lidz CW: Review of Willard Gaylin and Bruce Jennings: *The Perversion of Autonomy*, *Psychiatric Services*, 49, 9, 1245., 1998.
12. Lidz, CW, Hoge SK, & Monahan J: *Perception of Coercion*, (letter), *Nordik Journal of Psychiatry*, 51, 3, 216, 1997.
13. Lidz CW: Review of James Wilson: *Moral Judgment*, *Psychiatric Services*, 49, 8, 1097-8, 1998.
14. Lidz CW: Review of Norman Denzin and Yvonna Lincoln, *The Handbook of Qualitative Methods*, *Psychiatric Services*, 50, 7, 973-4, 1999.
15. Lidz CW: Review of Nancy M. P. King, Gail E. Henderson and Jane Stein (eds), *Beyond Regulation: Ethics in Human Subjects Research*, *Contemporary Sociology*, 29:5:752-3, 2000
16. Lidz CW & Renaud M.: Review of Janice Morse, Janice M. Swanson and Anton J. Kuzel (eds.). *The Nature of Qualitative Evidence*, *Psychiatric Services* 53:216-217, 2002
17. Lidz CW, Grisso T, Albert K, Smith K: *Violence and People with Mental Illness in the Public Mental Health System*. *CMHSR Issue Brief*, 1, 7, 2004
18. Aaker, E, Lidz CW, Simon L. *Estimating Frequencies from Multiple Source Data*. *CMHSR Issue Brief* 29, 3/15/07.
19. Lidz, CW, Review of James Dubois. *Ethics in Mental Health Research*. *Psychiatric Services*, 59:5, 580, 2008.
20. Lidz CW: Review of William Laffleur, Gernot Böhme & Susumu Shimazono, *Dark Medicine: Rationalizing Unethical Medical Research*, *Psychiatric Services*, 60:8, 1146.
21. Lidz CW: *Institutionalizing Ethical Research*. Review of Laura Stark, *IRBs and the Making of Ethical Research*, *Science*, Jan 13, 2012, p.170.
22. Lidz, CW., W Fisher, R.T.Stone. *Employment, Careers, and Recovery for Young Adults* *Focal Point* 2013, 27(1).

## **PROFESSIONAL ACTIVITIES**

### **TEACHING:**

#### **UNDERGRADUATE:**

##### **Sociology**

"Family, Socialization and Cognitive Development." Tutorial, Harvard University, Department of Social Relations (1968).

"Deviance and Social Control." Department of Sociology, Emmanuel College (1968-1969).

"An Alternate Approach to Insanity." Department of Sociology, Yale University (1973).

"Law and Society." Department of Sociology, University of Pittsburgh (1975-1976).

"Deviance and Social Control." Department of Sociology, University of Pittsburgh (1978-1980).

## **GRADUATE**

"The Sociology of Moral Evaluation" , Department of Sociology, University of Pittsburgh (1977)

"Qualitative Methods in Sociology", Department of Sociology, University of Pittsburgh (1983)

### Dissertation and Comprehensive Examination Committees:

Jeffrey Guest (sociology PHD)	1975
Mary Carter (sociology PHD)	1984
Susan Stewart (communications PHD)	1986
Karen Evanczuk (nursing PHD)	1988
Valerie Swigart (nursing PHD)	1993
Rodney Benz (medical ethics MA)	1996
Rachel Majeske (medical ethics MA)	1995
Daniel Crane-Hirsch (medical ethics MA)	1996
Donald Ainslie (medical ethics MA)	1996
Brian G. McKenna (Ph.D. Psychiatry – Univ of Auckland) –External Examiner	2004
Lauren Gellar (CPHR UMass)	2010

### **Medicine and Psychiatry (University of Pittsburgh):**

Social and Community Psychiatry and Research Methods. Seminars for residents (bi-weekly)	1976-1977
Doctor-Patient Relationship, Deviance, Sociology of Medicine, to first year medical students	1977-1979
Research Methodology, for psychiatric residents	1978-1980
Informed Consent, to third year medical students	1983-1984
Sociological Theory, Sociology of Medicine and Deviance, to post-doctoral fellows in Clinical Research Training in Psychiatry (3 lectures annually)	1981-1986

Clinical Ethics, to third year medical students (4 small group sessions annually)	1984-1991
Informed Consent and Dangerousness Research, to psychiatric residents (2 seminars, 4 times a year)	1985-1990
Informed Consent and Competency to psychiatric residents (annually)	1991 to present
Research supervision for Medical Students (4), Mellon Fellows (5), and Social Work (1) Students	1975 to present
Co-Training Director of Clinical Services Research Training Program for NIMH Post Doctoral Fellows (H.C. Schulberg, Director) Primary Supervisor For:	April 1986-1990
Ye-Fan Glavin, Ph.D. 4/86 - 11/86 Jeffrey Wilson, M.D. 4/86 - 2/87 Edward Gondolf, Ed.D. 9/86 - 8/88 Steven Jarvis, M.D. 9/87- 6/88 Douglas Luke, Ph.D. 9/89 - 9/90	
Sponsor and Supervisor, John Encandela PhD., NIMH Post-Doctoral Fellow	1995 - 1997
Small Group Facilitator - Patient Doctor Relationship (20 hours, MS1)	1992
Small Group Facilitator - Behavioral Medicine (10 hours, MS1)	1992
Co-Director and course developer Medicine and Society Course, Medical School (90 hours, MS1 and 2).	1993 - 1995
Small Group Facilitator Ethics Medical School (30 hours, MS1)	1994 - 1995
Small Group Facilitator - Patient Doctor Relationship (20 hours, MS1)	1996

**University of Massachusetts Medical School**

Sponsor and Supervisor, Philip Candilis MD.,

NIMH K Awardee 1999 – 2004

Research Ethics to Clinical and Population Health Research  
and Masters in Clinical Investigation students 2010 –

Mentoring roles

Colleen McKay M.A. 2004-  
Sarah Guzofski MD 2005- 2008  
Sun Kim Ph.D. 2007-  
Nicole Bizamcer MD 2007- 2008  
Rosalie Torres Stone 2006-

Sponsor and Supervisor, Paul Christopher MD.,  
NIMH K Awardee 2012-2017

**GRANTS AWARDED:**

1. Co-Principal Investigator. "An Empirical Study of Informed Consent in Psychiatry." *NIMH*, #R12 MH27553, 1976-1979. (Loren H. Roth, M.D., M.P.H., P.I.)
2. Principal Investigator. "Informed Consent and the Structure of Medical Care." Funded by the *President's Commission for the Study of Ethical Problems in Medicine and Biomedical and Behavioral Research*, 1981-1982.
3. Principal Investigator. "A Study of the Clinical Management of Dangerousness." *NIMH* Grant #1-RO1-MH40030, 1985-1988.
4. Co-Investigator. "Involuntary Guardianship for the Elderly in Long Term Care: Process and Outcome." *Retirement Research Foundation*, 1987-1988 (Robert Wettstein, M.D., P.I.)
5. Principal Investigator. "An Ethnographic Study of Institutional Aspects of Erosion of Autonomy in the Elderly." *Retirement Research Foundation*, 1987-1989.
6. Principal Investigator. "Purchase of UNIX Research Computer." *Allegheny County Medical Society*, (small grant).
7. Principal Investigator. "Conditional Prediction and the Management of Dangerousness." *NIMH* Grant #MH40030, 1988-1992.
8. Principal Investigator. "Decision-Making When Entering the Hospital." *MacArthur Foundation* Program of Research on Mental Health and the Law, University of Virginia Subcontract No. 5-37515, 1990-1996.
9. Co-Principal Investigator. "Referral to Clinical Trials: A Population Based Model." (Laura Siminoff, Ph.D., P.I.). Funded by The *National Center for Health Services Research*, 1990-1993.
10. Co-Principal Investigator. "Family Decision Making About Life Support" (Valerie Swigart P.I.). Funded by the *National Center for Nursing Research*, 1992-1993.
11. Principal Investigator. "Improving the Prediction of Dangerousness" *NIMH* Grant #MH40030, 1992-94.

12. Co-Principal Investigator "Prospective Study of Family Life Support Decision Making" (Valerie Swigart P.I.). Funded by the *National Center for Nursing Research*, 1995-1997.
13. Co-Principal Investigator "Intensive Follow-up of Violent Patients" (Edward Mulvey P.I.) *NIMH*, 1997-2001.
14. Principal Investigator "Informed Consent and the Therapeutic Misconception", *NIMH* Grant #MH58097, 1998-2001.
15. Principal Investigator "Intensive Study of Violent Incidents" *NIMH* Grant #MH57685, 1998-2002.
16. Co-Principal Investigator "Research Integrity and Conflicts of Interest in Pharmacologic Clinical Trials" (William Gardner PI) *NINDS* Grant #NS42449, 2001-2003.
17. Principal Investigator "Competition between Science and Care in Clinical Trials" *NINDS* Grant #NS049595, 2004-2007
- 18 Principal Investigator "An Observational Descriptive Study of IRB Practices: NCI Grant # 1 R01 CA107295, 2006 – 2012.
19. Co-Investigator "Computerized HIV Vaccine Trial Assent: Will It Improve Adolescent Comprehension?" 1 R21 HD 57786-01 (Diane Blake PI) 6/1/08 – 5/30/10
- 23.Co-Investigator: "Self Perceptions of Risk for Patients with Co-Occurring Disorders" 1 R01 MH083799-01A2 (Jennifer Skeem PI) 1/2010 – 12/2014.
24. Principal Investigator "The Blurring of Treatment and Research in Clinical Trials: two problems" *NINR* Grant # 1 RC1 NR011612-01 2009-2012
- 25.Co-Investigator: Adaptation of Multisystemic Therapy in Transition Age Youth (RFA-MH-07-051) Maryann Davis (PI) 07/01/07 - 06/30/10 *NIMH*
- 26.Co- Investigator "Learning and Working During the Transition to Adulthood Research and Training Center (Maryann Davis P.I.) *NIDRR*, 2009-2014.
27. Principal Investigator: Investigators and IACUCs: integrity in animal research. *NCCR* grant # 1R21RR031900, 2010-2012.

**SERVICE:**

**COMMUNITY ACTIVITIES:**

1966-1967

Co-Founder and Chair  
New Haven Half-Way House  
New Haven, Connecticut

1978-1983

Board of Directors PERSAD

	Pittsburgh, Pennsylvania (Vice President, 1981-82; Treasurer, 1982-83)
1980-1981	Board of Directors House of the Crossroads Pittsburgh, Pennsylvania
1981-1986	Board of Directors Cancer Guidance Institute Pittsburgh, Pennsylvania (Secretary, 1985; Vice-President, 1985)
1982 - 1999	Board of Directors American Chronic Pain Association (a self-help organization) Pittsburgh, Pennsylvania  (Vice President, 1983-85; President, 1985-86; 1988-89, Executive Committee 1983 - 1996)
1986 – 1996	Board of Directors Transitional Services, Inc. Pittsburgh, Pennsylvania Pension Board of Trustees (1990-1994) Vice Chair 1991-1992  Board of Directors  Genesis Clubhouse Worcester, MA , Treasurer 2004-2006 Vice-President 2006-2009 President 2009-

**PROFESSIONAL ACTIVITIES:**

1982 - Special Reviewer: NIMH

1983-1986 Review Editor: *Symbolic Interaction*.

1983-1987 Committee Member: NIMH Applied Mental Health Research Education Review Committee

1984-1987 Associate Editor: *Urban Life*.

1984-  
National Institute of Justice (occasional service)

Peer Review Board:

1984-1995 Research Committee: W.P.I.C.

1985- 1995 Health Center Ethics Panel: University of Pittsburgh

1985-1986 Committee Member: Permanent Organization Committee, Society for the Study of Social Problems

1985 Contributor Psychiatric Resident: In-Training Examination

1987-1992 Editor: *Qualitative Sociology*

1989-1990 Candace Rogers Award Committee: Eastern Sociological Society

1991 Committee Member: Behavioral Sciences Teaching in the Medical School, University of Pittsburgh

1993 Guest Editor (with S.K. Hoge MD): Special Issue on Coercion, Summer 1993, *Behavioral Sciences and the Law*.

1993 – 2000 Advisor Editorial Board: *Qualitative Sociology*

1993, 1994 Member: Special Review Committee to Review Applications for Centers for Research on Services for People with Severe Mental Disorders, NIMH

1995 – 2006 Editorial Advisory Board: *International Journal of Law and Psychiatry*

2003, 2005 NIMH Services Center Review Committee (Special Reviews)

2003 - Special Reviewer: Social Sciences and Humanities Research Council of Canada

2003, 2005, 2006, 2008, 2011,2012 NIH Review Panel on ORI research initiatives

2006 – NIH Special Review Group – Alzheimer’s Disease Cooperative Study (ethics reviewer)

2004, 2007, 2008 Reviewer, Wellcome Trust Grants

2007-2010 UMass Medical School Council on Diversity and Equal Opportunity

2008 – Grant reviewer: German-Israeli Foundation for Scientific Research and Development

2009 – Grant Reviewer Robert Wood Johnson Foundation

2010 – Special Reviewer for NY State Education Department, Columbia University Masters Program in Bioethics.

2010 - Editorial Board, Journal of Empirical Research in Human Research Ethics.

2010 – Editorial Board, Narrative Inquiry in Bioethics

2010 – Special Grant Reviewer for W.T. Grant Foundation

2012 – Grant Reviewer – NIDDK – Informed Consent Initiative

2012 – Grant Review – NIDDK - “Improving Adherence in Pre-teens, Adolescents and Young Adults with Type 1 Diabetes (DP3)”

NIH Ethics and Research Integrity (multiple years – no standing committee so I often serve if I don't have a grant being reviewed)

**MANUSCRIPT REVIEWER:**

- 1 Qualitative Sociology
- 2 Social Problems
- 3 Hastings Center Report
- 4 Annals of Internal Medicine
- 5 Journal of Health and Social Behavior
- 6 American Journal of Psychiatry
- 7 Psychiatric Services
- 8 The Gerontologist
- 9 The Journal of Clinical Ethics
- 10 Behavioral Sciences and the Law
- 11 International Journal of Law and Psychiatry
- 12 Journal of the American Medical Association
- 13 Journal of Family Violence
- 14 Journal of Nervous and Mental Disorders
- 15 JAMA
- 16 Law and Human Behavior
- 17 Journal of General Internal Medicine

- 18 Acta Psychiatrica Scandinavica
- 19 IRB
- 20 Medical Anthropology Quarterly
- 21 Medical Care
- 22 Journal of Contemporary Ethnography
- 23 Kennedy Institute of Ethics Journal
- 24 Assessment
- 25 Epilepsia
- 26 Journal of Empirical Research on Human Research Ethics
27. Clinical Trials
28. Nature
29. Journal of Behavioral Health Services & Research
30. Archives of General Psychiatry
31. Administration and Policy in Mental Health and Mental Health Services Research
32. BMC Psychiatry
33. Contemporary Clinical Trials
34. American Journal of Bioethics
35. The American Journal of Drug and Alcohol Abuse
36. Genetics in Medicine
37. BMC Research Notes
38. Trials
39. Journal of Philosophy, Science, and Law
40. Accountability in Research: Policies and Quality Assurance
41. BMC Medical Ethics