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Disentangling Climatic and Anthropogenic Controls on Global Terrestrial Evapotranspiration Trends

Jiafu Mao, Oak Ridge National Laboratory
Wenting Fu, University of Texas at Austin
Xiaoying Shi, Oak Ridge National Laboratory
Daniel M. Ricciuto, Oak Ridge National Laboratory

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Disentangling climatic and anthropogenic controls on global terrestrial evapotranspiration trends


Abstract

We examined natural and anthropogenic controls on terrestrial evapotranspiration (ET) changes from 1982 to 2010 using multiple estimates from remote sensing-based datasets and process-oriented land surface models. A significant increasing trend of ET in each hemisphere was consistently revealed by observationally-constrained data and multi-model ensembles that considered historic natural and anthropogenic drivers. The climate impacts were simulated to determine the spatiotemporal variations in ET. Globally, rising CO₂ ranked second in these models after the predominant climatic influences, and yielded decreasing trends in canopy transpiration and ET, especially for tropical forests and high-latitude shrub land. Increasing nitrogen deposition slightly amplified global ET via enhanced...
plant growth. Land-use-induced ET responses, albeit with substantial uncertainties across the factorial analysis, were minor globally, but pronounced locally, particularly over regions with intensive land-cover changes. Our study highlights the importance of employing multi-stream ET and ET-component estimates to quantify the strengthening anthropogenic fingerprint in the global hydrologic cycle.

1. Introduction


Natural environmental factors (e.g. precipitation, temperature, incident solar radiation, soil moisture, wind and atmospheric teleconnections) regulate ET and its variability across different terrestrial ecosystems (Teuling et al 2009, Jung et al 2010, Wang et al 2010, Vinukollu et al 2011, Zhang et al 2012, Miralles et al 2014). These natural controls and limitations/co-limitations of ET are scale-dependent. Their mechanistic understanding is very important to predict the tendency and variability of ET (Wang and Dickinson 2012). Human-induced land use/land cover change, ground water withdrawals, and irrigation can directly alter the amount and timing of ET by modifying the local water and energy balances (Piao et al 2007, Gerten 2013, Leng et al 2013, 2014a, 2014b, Lo and Famiglietti 2013, Sterling et al 2013, Lei et al 2014c). Human activities that contribute to greenhouse gas emissions, atmospheric nitrogen deposition (NDE), and ozone pollution can also alter ET indirectly through changes in physiological, structural and compositional responses of plants (Gedney et al 2006, Betts et al 2007, Sitch et al 2007, Cao et al 2009, Leakey et al 2009). Discriminating these anthropogenic perturbations from natural factors is expected to increase in importance as anthropogenic transformation of the Earth System becomes more pervasive (Seneviratne et al 2010, Gerten 2013).

Based on mechanistic and empirical algorithms that are driven by remotely sensed observations, a variety of globally gridded diagnostic ET products have been compiled and evaluated in recent years (Willmott et al 1985, Fisher et al 2008, Jiménez et al 2009, Jung et al 2009, Sheffield et al 2010, Zhang et al 2010b, Miralles et al 2011, Mueller et al 2011, Vinukollu et al 2011, Zeng et al 2012, Schwalm et al 2013). These gridded ET estimates offer crucial sources and benchmarks for quantitative investigations of historical ET dynamics over the land surface. However, the accuracy of these observation-based ET products has yet to be reconciled due to limitations in underlying hypotheses and errors in input datasets (Mueller et al 2011, 2013, Polhamsus et al 2012). Moreover, due to their reliance on the satellite observations, these datasets offer a limited historical temporal record that encompasses only a few decades (Badgley et al 2015).

To predict future changes in ET patterns, process-based simulation and understanding of the magnitudes, mechanisms and interactions that control historical ET dynamics will be required and should be within uncertainty of both historical and present-day observations. Mechanistic land surface models (LSMs), driven by measurement-based environmental properties, are useful tools for the detection and attribution of natural and anthropogenic effects on ET dynamics. For the past decade, global factorial LSM experiments have been conducted and analyzed by different modeling groups to investigate the separate effects of environmental stresses on land surface and subsurface runoff, river flow, ET and water use efficiency (Gedney et al 2006, 2014, Piao et al 2007, Shi et al 2011, 2013, Tian et al 2011, Liu et al 2012, Tao et al 2014). The role of climate impacts on these hydrologic variables has been characterized predominantly across different regions of the globe. The relative role of natural environmental change versus anthropogenic activities, however, was modeled to be heterogeneous and geographically dependent. Nevertheless, due to large differences in initial model conditions, driver data, and complex parameterizations that govern models, the simulated ET was demonstrated to vary in magnitude and responses across models at both temporal and spatial scales (Wang et al 2010).

To disentangle these differences in simulated ET patterns and the relative role of model sensitivity and structure, the experimental setup and boundary/initial data must be similar among different participating models. We leveraged the controlled factorial experiments and model simulation protocol from the Multi-Scale Synthesis and Terrestrial Model Intercomparison Project (MsTMIP) (Huntzinger et al 2013). Further, we synthesized a global ET time series (1982–2010) based on a diverse set of diagnostic ET products (table 1), and the methodology reported recently in Mueller et al (2013). The partitioning of ET
Table 1. Overview of the diagnostic ET datasets used for the merged ET of this study, and the simulated ET from MsTMIP models. Factorial results of the MsTMIP multi-model are ALL: the impact from all historical forcing factors, CLI: the impact from historical climate only, OTH: all anthropogenic impacts, CO\textsubscript{2}: the historical CO\textsubscript{2} impact only, NDE: the historical nitrogen deposition impact only, LUC: the historical land use/land cover change impact only, Y: the availability of ET simulation for the particular impact, and N: the non-availability of ET simulation for the particular impact.

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(e.g. canopy transpiration (Tr) and evaporation from wet canopy and bare soil (ET–Tr)) and the variation of those ET components are poorly understood and less constrained by observations (Lawrence et al. 2007, Jasechko et al. 2013, Swenson and Lawrence 2014, Wang et al. 2014). The MsTMIP modeling framework can advance our understanding of trends in ET by providing predictions of the individual ET components. In this study, we thus further investigated the contribution of individual influencing factors to the spatial and temporal characteristics of these ET constituents.

2. Datasets and methods

We created a merged diagnostic ET data (DIA) from 11 long-term diagnostic datasets, all based on different assumptions and constrained with extensive in situ observations or satellite retrievals or both (table 1). We remapped the monthly raw datasets from their original spatial resolutions to the half-degree resolution of the model output from 1982 through 2010 based on data availability. Following Mueller et al. (2013), we applied both physical and statistical constraints for quality control and bias corrections. For the physical constraint, we developed a dataset of seasonal net radiation maxima using the surface radiation budget (SRB3.0) datasets (Gupta 1983). We then excluded grid points with values exceeding net radiation maxima by more than 25%. The outliers were identified as values that exceed ±3 standard deviations (Weedon 2011). Then the median values of these quality-controlled multiple ET estimates were treated as the merged product, and were comprehensively compared with the LSM results in this study. As shown in figure S1, the annual anomalies of the previously synthesized ET in Mueller et al. (2013) are well within the spread of this newly-merged diagnostic data product. This updated product however, provides longer-term dynamics and is more amenable for studies at multi-decadal timescales.

To isolate the contributions of environmental drivers to multi-year ET variations, we utilized the factorial ET simulations from the MsTMIP data archive. Driven by the same environmental forcing (climate variability and trends, rising atmospheric CO2 concentrations causing fertilization and reducing stomatal opening, nitrogen deposition, land use/land cover change, and soil texture and vegetation types), these state-of-the-art LSMs were employed to identify the principal drivers of interannual variability and multi-decadal changes of ET. Because the evaporation component for canopy and soil, and the snow sublimation, were not separately archived in the standard model outputs in the MsTMIP I protocol (Huntzinger et al. 2013, 2015), we included all relevant available outputs, namely the ET, Tr and the total evaporation (ET–Tr). Four model experiments: (1) SG1 (time-varying climate), (2) SG2 (time-varying climate and land use change history), (3) SG3 (time-varying climate, land use, and atmospheric CO2), and (4) BG1 (time-varying climate, land-use, atmospheric CO2 and nitrogen deposition), were analyzed to quantify the effects of each environmental forcing factor on the study variables for the years 1982 through 2010. The transient simulations began in 1901, turning on one time-varying driver at a time. Simulations BG1 or SG3 were used to address the combined impacts from various historical forcing agents for models with (BG1) or without (SG3) an explicit nitrogen cycle. Simulation or simulation differencing was used to quantify the contribution to ET and ET components changes from climate change (CLI) (derived from SG1), land use/land cover change (LUC) (derived from SG2-SG1), rising atmospheric CO2 (CO2) (derived from SG3-SG2), NDE (derived from BG1-SG3), or all forcing (ALL) (derived from BG1 or SG3) (table 1). To account for the overall effects from human activity (OTH), we derived the human-induced ET to be the difference between the BG1 and SG1 or SG3 and SG1 simulations.

Annual cropland area and total tree coverage information for the 1982–2010 period were derived from the merged product of the SYnergetic land cover MAP (Jung et al. 2006) and the annual time series of the land use harmonization data (Hurtt et al. 2011). Additional details on the aforementioned driver data and experimental design can be found in Wei et al. (2014a, 2014b) and Huntzinger et al. (2013, 2015).

Growing season ET generally dominates the annual sum over the vegetated area of land (Wang et al. 2007). We focused our analysis on growing season ET for all observational and modeled data. The dynamic annual growing season information, used to mask the monthly ET between 1982 and 2010, was first determined from the global inventory modeling and mapping studies normalized difference vegetation index (NDV13g) dataset (Pinzon and Tucker 2014) using a Savitzky–Golay filter (Chen et al. 2004, Jonsson and Ekblundh 2004). It was then refined by excluding the freeze period identified by the Freeze/Thaw Earth System Data Record (Kim et al. 2011, 2012). In particular, the growing season of tropical rainforests was set to 12 months and it started in January.

3. Results

Across the globe, statistically significant increasing trends of ET were recorded from 1982 to 2010 in the observation-based ET estimates (DIA) (1.18 mm yr-2) and modeled ET from the ALL simulation (0.93 ± 0.31 mm yr-2) (figures 1 and S2, and table S1). Significantly positive annual correlations between the simulated ALL ET and the observed ET were obtained, particularly in the Northern hemisphere (NH) (Land: \( R^2 = 0.58, p < 0.01 \), NH: \( R^2 = 0.72 \),
p < 0.01, and the Southern hemisphere (SH): $R^2 = 0.46$, $p < 0.01$). The simulated multiyear increasing trend and interannual variability of the ALL ET were mainly explained by the CLI ET. In contrast, the overall human-induced OTH ET was predicted to decrease somewhat, and to exhibit relatively small interannual variations.

Spatial analysis of linear trends of ET for the merged observation product revealed remarkably consistent increasing tendency over most continents (figure 2(a)). Local hotspots of reduced ET were diagnosed to occur in the arid regions of Western North America, central Africa, Northern China and Southeastern Asia. By contrast, the modeled changes of ALL ET underestimated the magnitude of ET changes in Eastern North America and Western Europe, and missed the ET decreases in central Africa. But the placement of increasing or decreasing trends in ALL ET largely agreed favorably with those of the observed ET trends, indicating the suitability of examining multiyear ET trends using the all-factor simulations.

Spatial patterns of ET changes that are consistent between the ALL and CLM estimates confirm the dominance of climate forcing in explaining annual ET trends (figures 2(b), (c) and 3(a)). This dominant climatic response of ET trends was chiefly associated with concurrent annual precipitation changes (spatial $R^2 = 0.34$ for ALL ET and precipitation trend, and spatial $R^2 = 0.30$ for CLI ET and precipitation trends, respectively, $P < 0.01$), and tended to show large

**Figure 1.** Time series of annual anomalies of growing season ET (mm yr$^{-1}$) over (a) the globe, (b) the NH, and (c) the SH from 1982 to 2010. Solid lines are the median values of the merged ET (ET_DIA, black), MsTMIP ET of ALL (ET_ALL, red), CLI (ET_CLI, blue), and OTH (ET_OTH, green). Shaded areas indicate the ET range of independent MsTMIP models.
spatial heterogeneities of sign and magnitude (figure 4(a)). The spatial dominance patterns based on the partial correlations among the total growing season ET, precipitation, temperature and incident solar radiation affirmed that for the MsTMIP models, annual precipitation drove not only the interannual variability of ET, but primarily accounted for the multiyear ET trends over most land areas (figures 4(e) and (f)). Combined anthropogenic effects tended to decrease ET, most notably in Northeastern North America, Western Amazon, Northwestern Europe and tropical Asia (figure 2(d)). These effects were subject primarily to the net physiological and structural impacts of CO₂ concentration on the growth of plants in ecosystems (figures 2(e), 3(d), S2 and S3(a)).

Increasing nitrogen deposition led to increasing leaf area index (LAI) (figures 4(b) and S3(b)), and consequently to enhanced terrestrial ET, particularly over South America, Africa and Southeastern China (figures 2(f) and S2). The areas undergoing strong increase in forest fraction and decrease in cropland fraction, such as in central Eastern North America and central Europe, clearly showed increasing annual ET (figures 2(g), 4(c) and (d)). In contrast, regions with evident loss of trees, such as Eastern China and Southeastern South America, show a downtrend of annual

Figure 2. Spatial distribution of the linear trends in ET median values (mm yr⁻²) for (a) ET_DIA, (b) ET_ALL, (c) ET_CLI, (d) ET_OTH, (e) CO₂ (ET_CO₂), (f) NDE (ET_NDE), and (g) LUC (ET_LUC) from 1982 to 2010. The stippled areas represent the trends are statistically significant (P < 0.05), and the insets show the frequency distribution of the corresponding change.
ET. Compared to the CO$_2$ and nitrogen deposition effects, however, the effect of LUC on land ET was important locally. Relatively large uncertainties from the LUC were also found between individual models (figures S2 and S6).

Trends for the Tr and total ET–Tr were dominated by the climatic changes across various continents. For Tr, 85.4% of the study area was impacted by the climatic changes, and 88.7% for ET–Tr (figures 3(b), (c), S4(a)–(f)). Congruent with the response of ET changes to rising CO$_2$ (48.4 ppm during the period 1982–2010), most areas, especially these regions covered by tropical broadleaf evergreen trees and high latitude shrubs, showed decreasing Tr. This is due to the CO$_2$-induced reduction in stomatal conductance overwhelming the LAI-induced increase of canopy evaporation and transpiration under elevated CO$_2$ concentration (figures 3(e) and S4(j)). On the other hand, CO$_2$ fertilization would enhance canopy LAI through increasing photosynthesize allocation to leaves, and caused more canopy transpiration and evaporation than the reduced transpiration by CO$_2$ physiological effects, especially over dry areas with sparse vegetation (e.g. the Western North America, central Eurasia, and Australia) (figures S3(a) and S4(j)). Reversed ET–Tr trends in these arid regions imply that decreasing soil evaporation was the dominant factor in changing ET–Tr (figures S4(j)–(l)). For most areas that showed decreasing Tr but increasing ET–Tr under CO$_2$ enrichment, the augmented evaporation of intercepted rainfall and increasing soil evaporation may have been coincidental.

Increasing ET caused by nitrogen deposition was due to enhanced Tr (figures 2(f), S4(m) and S5). A decrease of ET–Tr caused by the nitrogen deposition effect, as seen in central North America and in Western Europe, was due to reduced soil evaporation (figures S4(n) and S5). The latter is a consequence of the increasing LAI providing more shade and so reducing solar energy for soil evaporation. In addition, the increasing Tr further depleted soil water, which reduced soil evaporation. In the evergreen broadleaf forests of the Western Amazon and Congo basin, nitrogen deposition and higher LAI resulted in increasing canopy evaporation. The increase in canopy evaporation more than offset the decrease in soil evaporation and hence dominated the increasing ET–Tr and even the nitrogen-induced increase in total ET (figures S4(m)–(o)).

LUC led to a decreasing trend in Tr across densely inhabited regions that had experienced substantial land use perturbations (e.g. clearing trees for crops) during the study period. These occurred mainly in Southeastern South America and the Eastern China (figures 4(c), (d), S4(p) and S5). Tr trends showed a general negative sign over central Eastern North America and Western Europe, where croplands had been replaced mainly by forests and woodlands. This reduction of Tr with reforestation implies that the tree species that replaced the crops had lower stomatal conductance than the crop species, the younger and smaller trees of the returning forests had lower LAI than the croplands they replaced, or the available soil water for plants decreased because of the removal of irrigation. These aspects deserve further study.

4. Discussion

Between 1982 and 2010, the observation-based and simulated ALL ET consistently showed a significantly increasing trend across the globe. These findings are consistent with previous studies, which reported an intensified global hydrological cycle in response to global warming following the Clausius–Clapeyron law (the relationship between equilibrium water vapor pressure and temperature, about 7% per °C of warming) (Held and Soden 2006), as well as increasing
importance of the radiative component of ET (Johnson and Sharma 2010). Climatic factors accounted for much of the spatial and temporal variations in terrestrial ET, Tr and ET–Tr. This supports previous studies regarding the prevalent climatic mechanisms controlling the long-term ET trends such as temperature, precipitation, soil moisture, energy and internal climate variability (Teuling et al 2009, Jung et al 2010, Wang et al 2010, Vinukollu et al 2011, Zhang et al 2012, Ukkola and Prentice 2013, Miralles et al 2014).

In our study, the rising atmospheric CO2 concentration, as tested by model factorial experiments, induced an overall suppression of Tr and hence a general decreasing ET. Our results further suggest that the sign of change and regional pattern of these CO2 physiological effects on ET were moderated by changes in LAI. The overall response of ET was eventually determined by the balance among the changes of Tr, canopy evaporation and soil evaporation. These results are consistent with modeled and observed plant physiological responses to the increase of CO2 concentration in the atmosphere (Betts et al 2007, Leakey et al 2009). They also reiterate previous findings that show the concurrent physiological and structural responses of vegetation to rising CO2, and associated hydrological effects (Gedney et al 2006, Leipprand and Gerten 2006, Ainsworth and Rogers 2007, Betts et al 2007, Kurc and Small 2007, Piao et al 2007, Cao et al 2009, Leakey et al 2009, Lei et al 2014b).

Simulation experiments that consider NDE showed enhanced global LAI as a result of increasing nutrient availability (figures 4(b) and S3(b)). The nitrogen-induced enhancement of canopy Tr and canopy evaporation, however, was regionally offset by decreasing soil evaporation, and led to lower ET for the nitrogen fertilization effect. Nonetheless, mineralized nitrogen in the rooting system was governed by not only the amount of deposited N, but also by leaching and denitrification, which are affected by environmental conditions (Hovenden et al 2014). This highlights the necessity of better understanding the interactions among these environmental drivers, and the underlying mechanisms responsible for biogeochemical and hydrologic cycles.

Figure 4. Spatial distribution of trends in (a) precipitation (PRE, mm/yr−1), (b) nitrogen deposition (NDE, mg N m−2 yr−1), (c) fractional tree coverage (TREE, %/yr−1), and (d) fractional crop coverage (CROP, %/yr−1) over the period 1982–2010, and spatial distribution of dominant climatic variable (precipitation, temperature (TEM) and incident solar radiation (RAD)) responsible for (e) ET variability, and (f) both variability and trend. For (e), the dominance was derived by comparing the R² of the partial correlations between detrended ET and individual climatic factor. For (f), the dominance was derived by comparing the R² of the partial correlations between un-detrended ET and individual climatic factor. Both (e) and (f) share the same color legend in (f).
Previous modeling studies (Boisier et al 2012, 2014, Shi et al 2013, Sterling et al 2013, Tao et al 2014) agree with our results that anthropogenic activities modified ET and its components locally, and human-induced LUC effects tended to counteract each other at a global scale. We found large uncertainties associated with LUC impacts among the MsTMIP LSMs, particularly over the NH and areas having marked land cover conversions. Though based on the same merged LUC dataset, different LSM groups prescribed the dynamic evolution of plant functional types with model-specific classifications (Wei et al 2014a, 2014b). The sensitivity of biophysical and biogeochemical processes to the reconstructed historical scenario of LUC, moreover, varied considerably from model to model (Huntzinger et al 2013). For example, for the SIB3-JPL models, abnormally higher LUC ET was simulated over the NH and global land compared to that of other models (figure S6). In SIB3-JPL, ET is a function of stomatal conductance and is sensitive to changes in photosynthetically active radiation (PAR). In LUC simulations, plant functional type changes over time, but the PAR is prescribed from present day NDVI climatology and is thus fixed to modern vegetation. This can lead to a bias in gross primary production in cases where grasslands are converted to forests, since the NDVI and resulting fraction of incident PAR absorbed by green leaves in the canopy (IPAR) are calculated from a modern day forest ecosystem but used to estimate stomatal conductance and ET for the historical grassland it replaced. The sensitivity to land-use change and cultivated ecosystems (e.g., irrigated croplands) reinforce the need for better LUC characterization, improved parameterization of ET in croplands, and the development of forcing datasets (e.g., PAR) that are not artifically dependent upon land cover. Improvements in these areas may help reduce the large inter-model spreads in the responses of ET to LUC.

Quantitative estimation of ET partitioning has been refined recently, but information on long-term variations and the precise drivers of each ET component are lacking (Jasechko et al 2013, Wang et al 2014). By using a multi-model ensemble, we assessed the annual trends of the \( \Delta T \) and ET–\( \Delta T \) over nearly three decades, and further estimated their spatial-temporal responses to various environmental stresses. These modeled results, however, remain rather uncertain without observational constrains that are sufficiently long and representative. Comprehensive synthesis of long-term observation-constrained ET components is needed to improve our understanding of the controlling mechanisms, and to better characterize the partitioning schemes.

5. Conclusions

The relative contribution of climate and anthropogenic activities to the spatio-temporal changes in ET was quantitatively characterized with the newly-merged ET and multifactor ensemble simulations from MsTMIP. In the LSMs, climate, CO\(_2\), nitrogen deposition, and land use impacts were separated experimentally to determine the ET variations between 1982 and 2010. Climate, and in particular, changes in precipitation, was the dominant control of multi-year ET trends and variability. The overall CO\(_2\) physiological and structural effect induced decreasing plants transpiration and the total ET, especially in areas where vegetation was dense. Compared to climate change and the elevated CO\(_2\) effects, the impacts of nitrogen deposition and land use change on ET were less important and acted locally. Other detailed explorations are needed, such as the implementation of more compelling statistical techniques and fully-coupled modeling systems (Douville et al 2013, Wu et al 2013, Gedney et al 2014) to detect and attribute the natural and anthropogenic effects on ET with more certainty. ET-related feedback studies are also required to account for land-atmosphere interactions and anthropogenic impacts in the integrated earth system models (Seneviratne et al 2010, Bond-Lamberty et al 2014, Collins et al 2015) and to understand future trajectories of drought (Sheffield et al 2012, Zarch et al 2015). Given that human activities continue to grow and intensify in the Anthropocene Epoch, we emphasize utilizing multi-stream datasets and multi-modeling frameworks to better diagnose and project anthropogenic influences on terrestrial ET, hydrologic cycle and overall climate change.

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