

ETHAN STONE
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EDUCATION:

Columbia University School of Law – J.D. 1995 (Columbia Law Review Articles Editor, James Kent Scholar 1992-93, 1994-95, Harlan Fiske Stone Scholar 1993-94)

Harvard University – A.B. *cum laude* 1991 (Near Eastern Language & Cultures, Phi Beta Kappa)

PRACTICE EXPERIENCE:

ADVANTA, Spring House, PA

Counsel, 2008 to present

Intellectual Property and Technology: Primary legal responsibility for consulting and vendor agreements, and inbound and outbound software, web and trademark licenses and contracts, and mergers and acquisitions, including web user access agreements, reselling agreements, customer referral and marketing agreements, and agreements for the licensing of web applications. Primary responsibility for overseeing trademark portfolio, including registration and enforcement.

Regulation, Compliance and Litigation: Shared responsibility for regulatory analysis and compliance, including federal and Utah banking regulations, credit card regulations and federal securities laws. Shared supervision of litigation against the FDIC in federal court and administrative proceedings.

Mergers and Acquisitions/Strategic Investments: Primary responsibility for negotiating proposed acquisitions and investments, sales of several subsidiaries, as well as the unwinding of a hiring-related business acquisition.

LATHAM & WATKINS, Los Angeles, CA

Associate, 2000 to 2002

Intellectual Property and Technology: Represented technology companies in licensing and technology development and information services agreements.

Mergers and Acquisitions: Represented public and private companies in mergers, acquisitions and joint ventures. Negotiated and drafted acquisition agreements, licenses, employment and consulting agreements, voting agreements and limited liability company agreements. Led legal diligence teams.

Financing: Represented technology companies in venture capital equity, strategic investments, and bridge loan financings. Negotiated and drafted certificates of incorporation, investors rights agreements, voting agreements, registration rights agreements, employment and consulting agreements, licenses, warrants and loan and security agreements.

GANG, TYRE, RAMER & BROWN, Beverly Hills, CA

Associate, 1999 to 2000

Represented high-profile entertainment clients in their business dealings, including entity choice and formation, trademark clearance, registration and counseling, technology development and investment, individual and business tax planning, and private business acquisitions.

IRELL & MANELLA, Los Angeles, CA

Associate, 1996 to 1999

Intellectual Property and Technology: Represented technology companies in licensing and technology development and information services agreements.

Mergers and Acquisitions: Represented public and private companies in mergers, acquisitions and joint ventures. Negotiated and drafted acquisition agreements, licenses, employment and consulting agreements, voting agreements and limited liability company agreements. Led legal diligence teams.

Financing: Represented technology companies in venture capital equity and strategic investments. Represented lenders and underwriters in secured and unsecured debt transactions. Negotiated and drafted certificates of incorporation, voting and shareholder agreements, licenses, warrants, loan and security agreements, and placement memoranda. Led legal diligence teams.

TEACHING EXPERIENCE:

UNIVERSITY OF IOWA COLLEGE OF LAW, Iowa City, IA Associate Professor, 2003-2008

Courses taught: Corporations, Securities Regulation, Mergers & Acquisitions (drafting and diligence practice)

CHAPMAN UNIVERSITY SCHOOL OF LAW, Orange, CA Visiting Assistant Professor, 2002-2003

Courses taught: Corporations, Sales, Wills and Trusts

CLERKSHIP:

U.S. Court of Appeals, Ninth Circuit, Pasadena, CA, Law Clerk to Hon. Alex Kozinski, 1995-96

BAR ADMISSIONS: California (inactive, admitted 1996), Iowa (active, admitted 2003), Pennsylvania (active, admitted 2009)

CONTINUING LEGAL EDUCATION PROGRAMS (full text available at <http://home.comcast.net/~ethanstone88/site>):

Circular 230 – Standards of Tax Practice (University of Iowa, September 2008)

Ethical Landscape in the Wake of Sarbanes-Oxley (Los Angeles County Bar Ass'n, October 2007, Iowa State Bar Ass'n, September 2004)

Recent Developments in Director and Officer Indemnification and Insurance (Iowa State Bar Ass'n, October 2006)

The Sarbanes-Oxley Ethics Rules: Innovation and Continuity in Federal Securities Law Regulation (University of Iowa Faculty Presentation, November 2003)

Outline of Securities and Exchange Commission's Attorney Conduct Rules for Non-Securities Lawyers (Johnson County Bar Ass'n, November 2003, Iowa State Bar Ass'n, October 2003)

PUBLICATIONS (full text available at <http://home.comcast.net/~ethanstone88/site>):

Halos, Billboards, and the Taxation of Charitable Sponsorships, 82 IND. L.J. 213 (2007).

Business Strategists and Election Commissioners: The Analysis and Legal Manifestations of the Board's Distinct Fiduciary Roles, 31 J. CORP. L. 893 (2006).

Adhering to the Old Line: Uncovering The History and Political Function of the Unrelated Business Income Tax, 54 EMORY L.J. 1475 (2005).

Must We Teach Abstinence? Pensions' Relationship Investments and the Lessons of Fiduciary Duty, 94 COLUM. L. REV. 2222 (1994).

LANGUAGES: Hebrew (good writing, reading and speaking), Spanish (good writing, reading and speaking).

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