University of Massachusetts Amherst

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May 18, 2011

The Benefits of Managing and Publishing ETDs "In House" Using an Open Access Repository

Meghan Banach, University of Massachusetts



Available at: https://works.bepress.com/meghan_banach/4/

The Benefits of Managing and Publishing ETDs "In House" Using an Open Access Repository

MEGHAN BANACH

BIBLIOGRAPHIC ACCESS AND METADATA COORDINATOR

UNIVERSITY OF MASSACHUSETTS AMHERST

MAY 18, 2011 USETDA CONFERENCE ORLANDO, FLORIDA

Where have we been and how did we get here?



History of dissertation publishing in the 20th Century

- 1934: First edition of ARL index of Doctoral Dissertations Accepted By American Universities is published
- 1938: Eugene B. Power founds University Microfilms (UMI)
- 1939: UMI establishes dissertation publishing program





UMI[®] Dissertations

History of dissertation publishing in the 20th Century

- 1951: ARL Committee on Dissertation Publication formed to study the problem of dissertation distribution and use
- 1952: ARL recognizes UMI as publisher of record for all US dissertations

• 1952-onward: Dissertation Abstracts gains in popularity

History of Dissertation Publishing in the 20th Century

- 1987: Dissertation Abstracts Ondisc created on CD-ROM
- 1995: Dissertation Express-Web-based dissertation ordering
- 1997: UMI celebrates 1.5 millionth dissertation added to Dissertation Abstracts databases
- 1997: Dissertations go digital with ProQuest Digital Dissertations
- 1998: Library of Congress recognizes UMI as offsite repository of Digital Dissertations Library

The Rise of IRs: 1990s

- 1990s: First subject repositories are started on file sharing servers
- 1997: RePec, CogPrints and Education Line online repositories are started
- 1999: ArXiv online repository is started
- 1999: Open Archives Initiative enables cross searching of institutional repositories through OAI-PMH

The Rise of IRs: 21st Century

- 2001: EPrints software developed
- 2002: Dspace software is developed at MIT
- 2002: Bepress Digital Commons software developed for the California Digital Library's eScholarship Repository
- 2002: Raym Crowe "The Case for Institutional Repositories"
- 2010: 440 IRs are listed on the *Ranking Web of World Repositories*
- 2011: 1120 IRs are listed on the *Ranking Web of World Repositories*

Ranking Web of World Repositories

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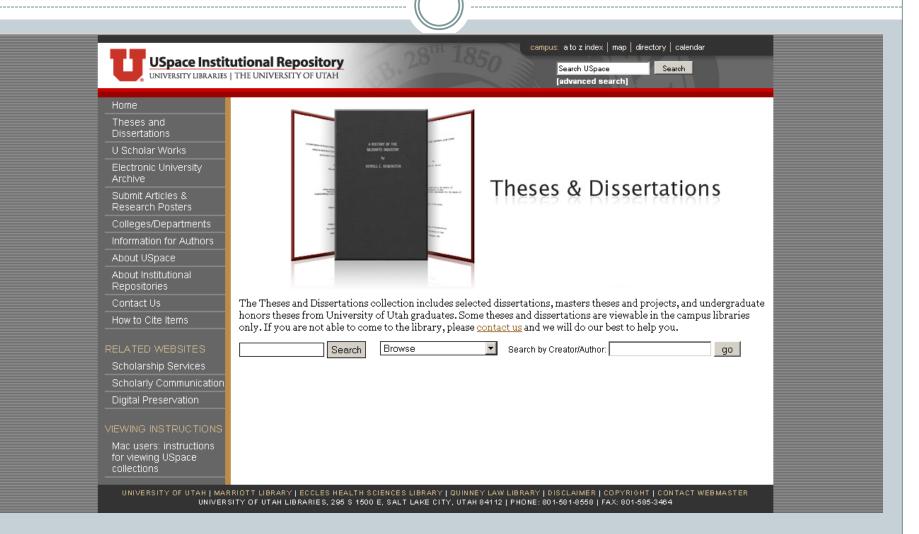
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Top Portals	3	CiteSeerX		2	1	924	2
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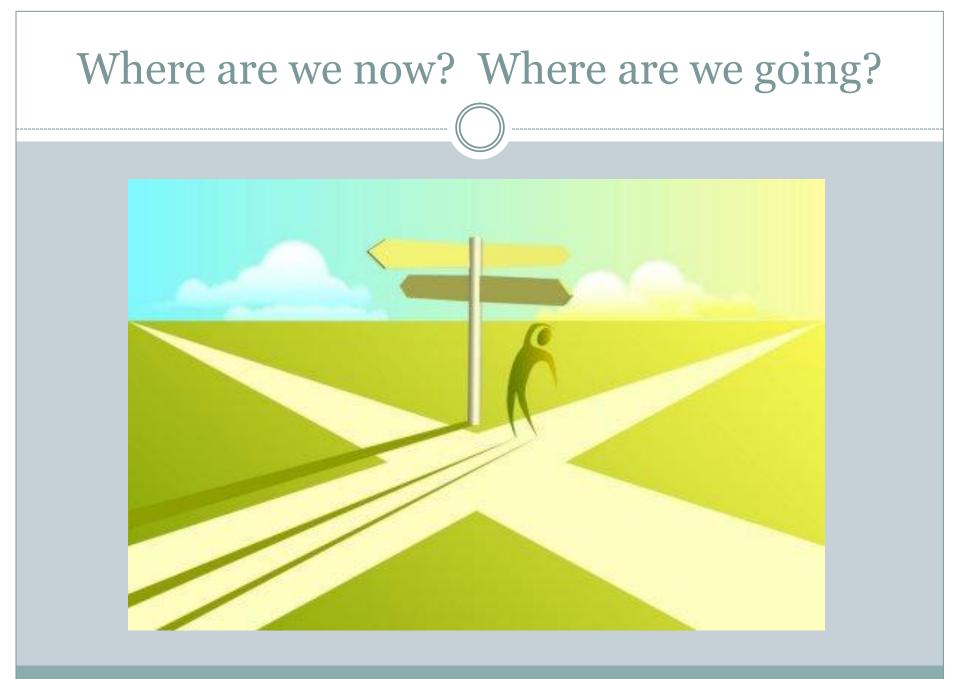
The Rise of ETD Programs

- 1987: First planning meeting for ETDs between UMI, Virginia Tech, University of Michigan. ArborText, and SoftQuad
- 1996: United States funds a three-year effort to spread the concept of ETDs around the US
- 1997: NDLTD was formed
- 1998: First international ETD Conference was held
- By 2000: ETD movement spread internationally to include hundreds of universities around the world

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ETD Programs and IRs





ETD Program at UMass Amherst

- UMass Amherst is flagship of UMass System
- Students
 - 26,000 students; of those 5,770 are grad students
- Faculty
 - **o** 1,170
- Academic
 - 87 bachelor's degree programs, 6 associate's, 73 master's and 51 doctoral programs in 10 schools and colleges
- Research
 - Over \$134 million / year



ETDs at UMass Amherst

- ETDs are collected three times per year (May, September, and February)
- How many?
 - Roughly 300 dissertations per year
 - Roughly 200 theses per year
- Two separate workflows:
 - One for Doctoral dissertations

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• One for Masters theses



History of Electronic Theses and Dissertations at UMass Amherst

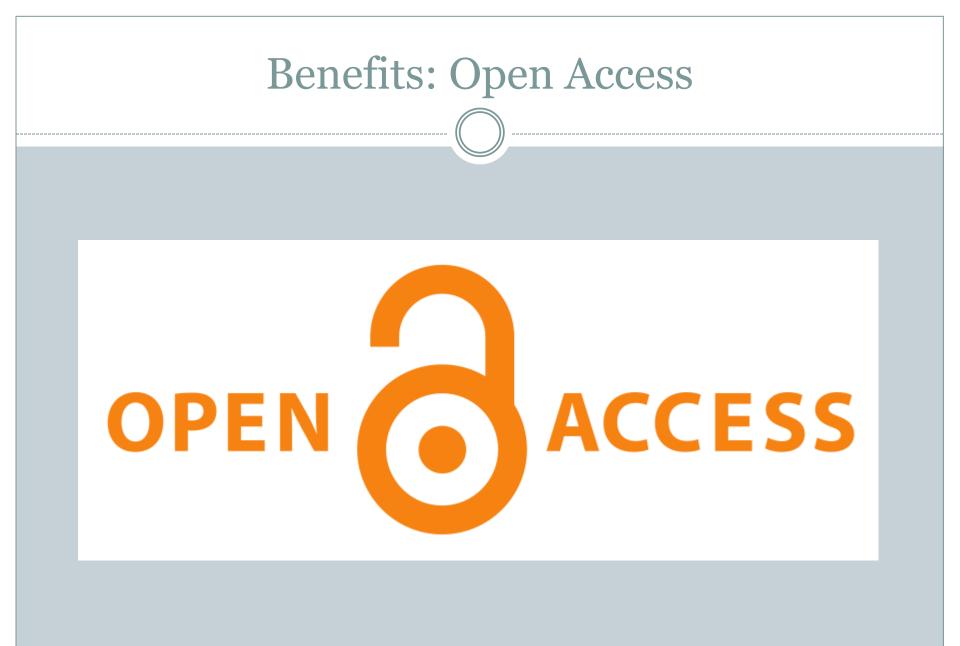
- <u>1997</u>: Began accepting electronic submissions of doctoral dissertations through the UMI Proquest online ETD submission system
- <u>2006</u>: Began a Digital Commons repository, called ScholarWorks@UMass Amherst, to showcase the research and scholarly output of our students, faculty, and researchers
- <u>2007</u>: Started collecting electronic submissions of Masters Theses for the first time. Students submit their theses via an online deposit to ScholarWorks.
- <u>2009</u>: UMass Amherst Graduate Council institutes a new policy allowing students to choose open access, campus access, and embargoes for their theses and dissertations
- <u>2010</u>: Library decides to go completely e-only for dissertations and theses

Open Access, Campus Access, & Embargoes

- <u>Open access</u>: full content available to all
- <u>Campus access</u>: full content only available to those using a computer on the UMass Amherst campus, to those with a valid UMass Amherst user name and password, or through Interlibrary Loan

• <u>Embargo</u>:

- Full content made available six months after degree date (no justification required)
- Full content made available after a period of longer than six months (approval of academic department and Graduate Dean required)



Benefits: Increased Usage

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Benefits: Showcasing our Own Research

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Dissertations available from Proquest:

Full text is available to UMass faculty, students, and staff on campus through this site. For off-campus access, use <u>Proquest's</u> <u>Digital Dissertations</u>. Access to abstracts is unrestricted.

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Masters Theses:

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Benefits: Simplified Workflows

- Students submit their theses via an online deposit to ScholarWorks
- Staff in the Graduate School review theses using the Digital Commons peer review editing system on the backend of ScholarWorks
- After review and revisions are completed theses are published to the Masters Theses Series on ScholarWorks
- After publication to ScholarWorks theses are cataloged by the Thesis and Dissertations Cataloger in the Library

As opposed to more complicated workflows:

- Students submit their dissertations through the UMI Proquest online ETD submission system
- Staff in the Graduate School review dissertations for format, style, and completeness according to a set of *"Guidelines for Master's Theses and Doctoral Dissertations"*
- After review and revisions are completed dissertations are released for publication by UMI Proquest

Complicated Workflows (Continued)

- Proquest then sends our dissertation files back to the Library via FTP
- Library stores dissertation files on our own servers
- Student staff in the library upload the open access dissertations to an open access dissertations series on ScholarWorks
- After publication the dissertations are cataloged by the Thesis and Dissertations Cataloger in the Library

Benefits: Easy Submission Process

III The Cataloging Calculator

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Masters Theses

Welcome to the Masters Theses section of Scholarworks! The Graduate School and the University Libraries of the University of Massachusetts Amherst offer electronic copies of Masters Theses written by students who have submitted them electronically since 2007. To view previously approved Masters Theses, **Browse by Year** or **Search by Author** in the form below, or perform a more comprehensive Search.

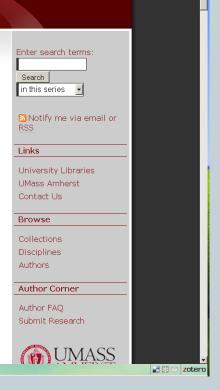
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To submit an electronic thesis, click the **Submit Research** link in the sidebar. View <u>summarized submission instructions</u> (PDF).

We welcome comments regarding the online theses submission process. Please email questions or comments to: <u>the Graduate School Office of Degree</u> <u>Requirements</u>.

Theses from 2010

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Benefits: Control over ETD Metadata

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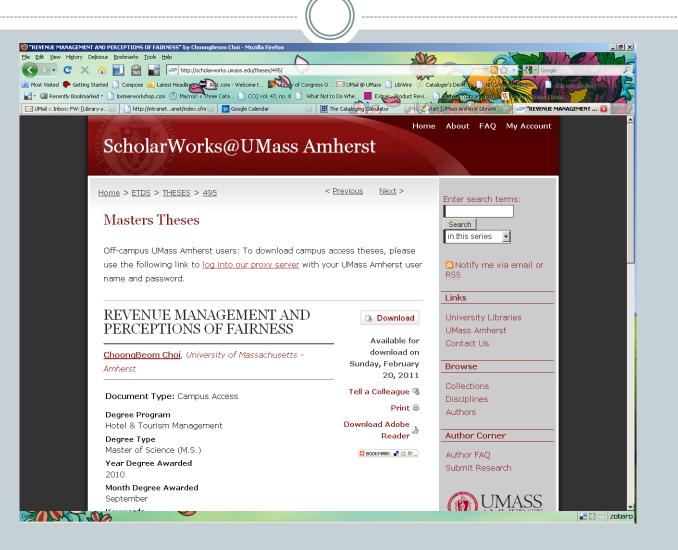
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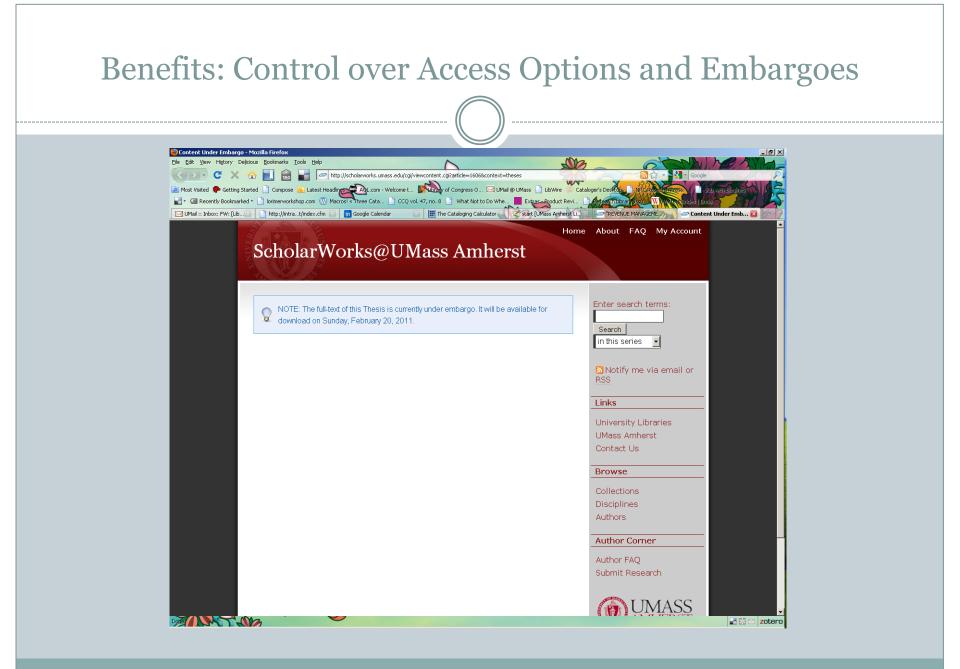
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Benefits: Ability to have ETD metadata harvested

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Benefits: Control over Access Options and Embargoes





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The Journal of Medicinally Active Plants is a peer-reviewed, interdisciplinary, on-line professional journal sponsored by the American Council for Medicinally Active Plants. The Journal publishes scientific manuscripts related to the analysis, application, bioactivity, collection, conservation, cultivation, growth, harvest, identification, physiology, processing, and other issues related to medicinally active plant material. Investigators working with these plant materials are invited to submit articles for publication. Guidelines for publication are <u>here</u>.

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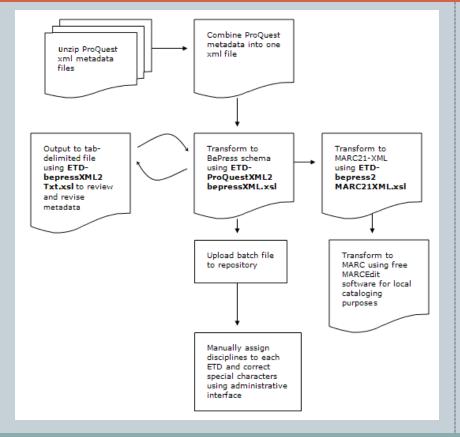
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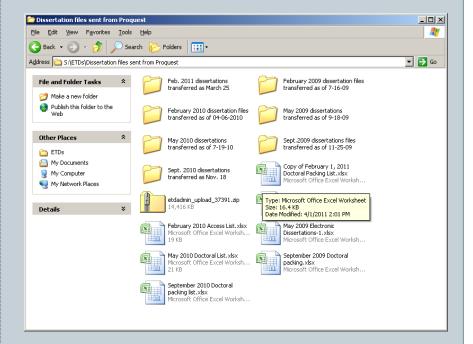
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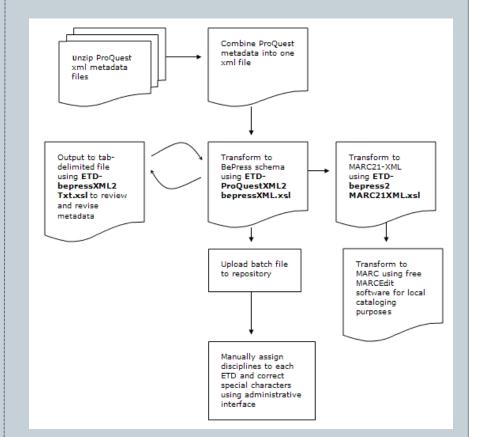
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Possible Solutions: Objections to Open Access

- Scholarly communications program to promote the benefits of open access, author rights, and open access repositories to:
 - Grad Students
 - Grad School administrators
 - Faculty
 - Faculty Senate Committees
- Embargoes
- Campus access option
- Paper submissions for creative writing ETDs
- Educate faculty and graduate students about the consequences of embargoes and campus only access
- Share best practices for implementing embargoes with Grad School administrators

Promoting Open Access to the Grad Students

- Workshops each semester on the benefits of open access
- Encourage choosing embargoes over campus access
- Currently we get about 60% open access and 40% campus access
- 25% of students choose embargoes
- Goal is to increase the percentage of open access ETDs

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