

Brian J. Broughman

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EDUCATION:

University of California, Berkeley, CA

Ph.D. candidate in Jurisprudence and Social Policy, Expected October 2008

- Dissertation Topic: Opportunistic Conduct and Governance Structure in Startup Firms
- Committee: Robert Cooter, Jesse Fried, Dan Rubinfeld, Steve Tadelis, and Eric Talley

University of Michigan Law School, Ann Arbor, MI

J.D. May 2001

University of Chicago, Chicago, IL

B.A. in Philosophy and Math, May 1998

PUBLICATIONS AND WORKING PAPERS:

Published/Finished Papers

Brian Broughman, 2008. *The Role of Independent Directors in VC-Backed Firms*,
Available at: <http://ssrn.com/abstract=1162372>

Brian Broughman, 2008. *Independent Directors and Board Control in Venture
Finance*, Available at: <http://ssrn.com/abstract=1123840>

Brian Broughman and Jesse Fried, 2008. *Renegotiation of Cash Flow Rights in the
Sale of VC-Backed Firms*, Available at SSRN:
<http://ssrn.com/abstract=956243>

- Forthcoming: *Journal of Financial Economics*

Robert Cooter and Brian Broughman, 2005. *Charity, Publicity and the Donation
Registry*, *The Economists' Voice*: Vol. 2: No. 3, Article 4.
<http://www.bepress.com/ev/vol2/iss3/art4>

Brian Broughman and Robert Cooter, 2008. *Charity and Information: How to
Increase Donations by Correcting the Failure of a Disjunctive Social Norm*,
Available at: http://works.bepress.com/brian_broughman/6

Work-in-progress

Investor Opportunism and Governance in Venture Capital (Book Chapter, commissioned for publication in Blackwell's Companion to Venture Capital, expected 2009)

Do VCs Misbehave? Some Evidence from Silicon Valley (with Jesse Fried)

The Incorporation Choices of VC-Backed Firms (with Jesse Fried and Darian Ibrahim)

Carrots and Sticks: How VCs Engineer the Sale of Portfolio Firms (with Jesse Fried)

Choice of Organizational Form: Preliminary Data

Relational Contracting and Business Norms in Entrepreneurial Finance

RESEARCH PRESENTATIONS:

Renegotiation of Cash Flow Rights in the Sale of VC-Backed Firms

- Conference on Empirical Legal Studies (CELS), First Annual Conference, Austin, TX. Oct. 2006.
- Law and Society Association (LSA), Annual Conference, Baltimore, MD. July 2006.
- University of Wisconsin, Law and Entrepreneurship (LEN) retreat, Madison, WI. June 2007.
- Law and Economics Workshop, Boalt Hall, Berkeley, CA. September 2006.
- Business and Public Policy Graduate Student Workshop, Haas School of Business, Berkeley, CA. September 2006.

Charity and Information: Correcting the Failure of a Disjunctive Social Norm

- American Law and Economics Association (ALEA), Annual Conference, Berkeley, CA. May 2006.
- Center for the Study of Law and Society, Berkeley, CA. February, 2005.

Independent Directors and Board Control in Venture Finance

- American Law and Economics Association (ALEA), Annual Conference, New York, NY. May 2008 (presentation canceled due to birth of daughter)

The Role of Independent Directors in VC-Backed Firms

- Institute for Humane Studies, Summer Research Fellows Conference, Arlington, VA. August 2007.
- Law and Society Association (LSA), Annual Conference, Berlin, Germany. July 2007.

FELLOWSHIPS AND HONORS:

- Kauffman Dissertation Fellowship (2007-08)
- Dean's Normative Time Fellowship (2007-08)
- Selznick Grant (2003: funded 3 semesters of graduate study)
- Garvin Prize in Law and Economics (2003 – 04)
- John M. Olin Fellowship in Law and Economics (2004 – 05)
- Humane Studies Fellowship (2005 – 06; 2007 – 08)
- IHS Summer Research Fellowship (2007)
- Riesenfeld Fellow (2005, 2006)

TEACHING EXPERIENCE:

Instructor (Riesefeld Fellow: UC Berkeley, School of Law)

- Introduction to U.S. Law (a one-week course for LLM students), Summer 2005 & Summer 2006 (designed syllabus and co-taught course)

Graduate Student Instructor (UC Berkeley)

- Law and Economics I (Legal Studies 145), Prof. Dan Ingberman, Fall 2006
- Law and Economics II (Legal Studies 147), Prof. Robert Cooter, Spring 2005, 2007
- Law and Economics I (Legal Studies 145), Prof. Dan Rubinfeld, Fall 2004
- Law, Politics, and Society (Legal Studies 182), Prof. Malcolm Feeley, Fall 2003

PRIOR EMPLOYMENT:

Bell, Boyd & Lloyd LLC, Chicago, IL. (2001 – 2003)

- Associate Attorney, Corporate and Securities Department

REFERENCES:

Robert Cooter

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Jesse Fried

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Eric Talley

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(510) 642-7875 (or) (617) 496-4099 at Harvard Fall 2008
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